

# DESIGN AND ANALYSIS OF ALGORITHM [23CS501]

## UNIT I:

**Introduction:** Algorithm, Psuedo code for expressing algorithms, Performance Analysis- Space complexity, Time complexity, Asymptotic Notation- Big oh notation, Omega notation, Theta notation and Little oh notation.

**Divide and conquer:** General method, applications-Binary search, Quick sort, Merge sort, Strassen's matrix multiplication.

## INTRODUCTION TO ALGORITHM

### History of Algorithm

The word algorithm comes from the name of a Persian author, Abu Ja'far Mohammed ibnMusa al Khwarizmi (c. 825 A.D.), who wrote a textbook on mathematics.

He is credited with providing the step-by-step rules for adding, subtracting, multiplying, and dividing ordinary decimal numbers.

When written in Latin, the name became Algorismus, from which algorithm is but a small step. This word has taken on a special significance in computer science, where “algorithm” has come to refer to a method that can be used by a computer for the solution of a problem.

Between 400 and 300 B.C., the great Greek mathematician Euclid invented an algorithm.

Finding the greatest common divisor (gcd) of two positive integers.

The gcd of X and Y is the largest integer that exactly divides both X and Y .

Eg., the gcd of 80 and 32 is 16.

The Euclidian algorithm, as it is called, is considered to be the first non-trivial algorithm ever devised.

### What is an Algorithm?

**Algorithm** is a set of steps to complete a task.

For example,

**Task: to make a cup of tea.**

Algorithm:

add water and milk to the kettle,

boil it, add tea leaves,

Add sugar, and then serve it in cup.

**“a set of steps to accomplish or complete a task that is described precisely enough that a computer can run it”.**

**Described precisely:** very difficult for a machine to know how much water, milk to be added etc. in the above tea making algorithm.

These algorithms run on computers or computational devices. For example, GPS in our smartphones, Google hangouts.

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GPS uses shortest path algorithm.. Online shopping uses cryptography which uses RSA algorithm.

### Algorithm Definition1:

An algorithm is a finite set of instructions that, if followed, accomplishes a particular task. In addition, all algorithms must satisfy the following criteria:

Input. Zero or more quantities are externally supplied.

Output. At least one quantity is produced.

Definiteness. Each instruction is clear and unambiguous.

Finiteness. The algorithm terminates after a finite number of steps.

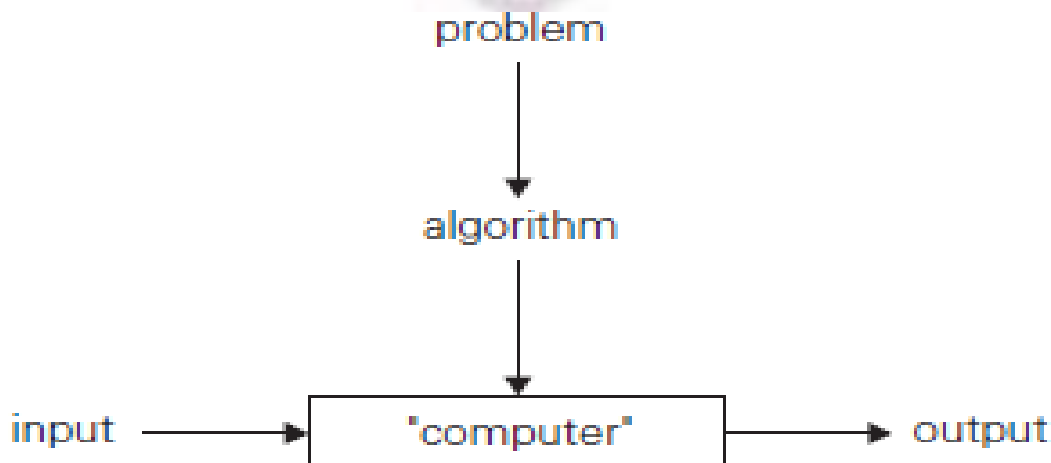
Effectiveness. Every instruction must be very basic enough and must be feasible.

### Algorithm Definition2:

An algorithm is a sequence of unambiguous instructions for solving a problem, i.e., for obtaining a required output for any legitimate input in a finite amount of time.

Algorithms that are definite and effective are also called computational procedures.

A program is the expression of an algorithm in a programming language



### Algorithms for Problem Solving

The main steps for Problem Solving are:

Problem definition

Algorithm design / Algorithm specification

Algorithm analysis

Implementation

Testing

[Maintenance]

#### Step1. Problem Definition

What is the task to be accomplished?

Ex: Calculate the average of the grades for a given student

#### Step2. Algorithm Design / Specifications:

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Describe: in natural language / pseudo-code / diagrams / etc

### Step3. Algorithm analysis

Space complexity - How much space is required

Time complexity - How much time does it take to run the algorithm

An algorithm is a procedure (a finite set of well-defined instructions) for accomplishing some tasks which, given an initial state terminate in a defined end-state

The computational complexity and efficient implementation of the algorithm are important in computing, and this depends on suitable data structures.

### Steps 4,5,6: Implementation, Testing, Maintenance

#### Implementation:

Decide on the programming language to use C, C++, Lisp, Java, Perl, Prolog, assembly, etc., etc.

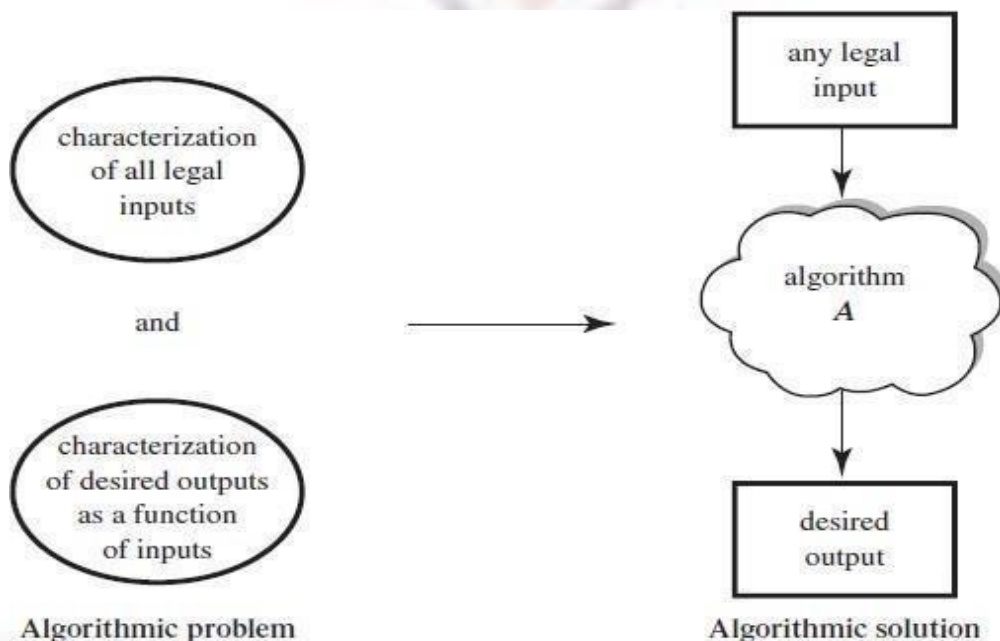
Write clean, well documented code

#### Test, test, test

Integrate feedback from users, fix bugs, ensure compatibility across different versions

Maintenance. Release Updates, fix bugs

Keeping illegal inputs separate is the responsibility of the algorithmic problem, while treating special classes of unusual or undesirable inputs is the responsibility of the algorithm itself.



### **4 Distinct areas of study of algorithms:**

How to devise algorithms.   └ Techniques – Divide & Conquer, Branch and Bound ,Dynamic Programming

How to validate algorithms.

Check for Algorithm that it computes the correct answer for all possible legal inputs.   └

algorithm validation.   ┐ First Phase

Second phase   └ Algorithm to Program   └ Program Proving or Program Verification

┐ Solution be stated in two forms:

First Form: Program which is annotated by a set of assertions about the input and output variables of the program   └ predicate calculus

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Second form: is called a specification

4 Distinct areas of study of algorithms (..Contd)

How to analyze algorithms.

Analysis of Algorithms or performance analysis refer to the task of determining how much computing time & storage an algorithm requires

How to test a program ⊆ 2 phases ⊆

Debugging - Debugging is the process of executing programs on sample data sets to determine whether faulty results occur and, if so, to correct them.

Profiling or performance measurement is the process of executing a correct program on data sets and measuring the time and space it takes to compute the results

### **PSEUDOCODE:**

Algorithm can be represented in Text mode and Graphic mode

Graphical representation is called Flowchart

Text mode most often represented in close to any High level language such as C, Pascal ⊆ Pseudocode

### **Pseudocode: High-level description of an algorithm.**

- More structured than plain English.
- ⊆ Less detailed than a program.
- ⊆ Preferred notation for describing algorithms.
- ⊆ Hides program design issues.

### **Example of Pseudocode:**

To find the max element of an array

**Algorithm** *arrayMax*(*A*, *n*) Input array *A* of *n* integers Output maximum element of *A*

*currentMax* ⊆ *A*[0]

for *i* ⊆ 1 to *n* ⊆ 1 do

if *A*[*i*] ⊆ *currentMax* then

*currentMax* ⊆ *A*[*i*]

return *currentMax*

Control flow

if ... then ... [else ...]

while ... do ...

repeat ... until ...

for ... do ...

Indentation replaces braces

Method declaration

Algorithm *method* (*arg* [, *arg*...])

Input ...

Output ...

Method call

*var.method* (*arg* [, *arg*...])

Return value

return *expression*

Expressions

Assignment (equivalent to ⊆ )

Equality testing (equivalent to ⊆ ⊆ )

*n*<sup>2</sup> Superscripts and other mathematical formatting allowed

## **PERFORMANCE ANALYSIS:**

What are the Criteria for judging algorithms that have a more direct relationship to performance? computing time and storage requirements.

**Performance evaluation** can be loosely divided into two major phases:

a priori estimates and

a posteriori testing.

refer as performance analysis and performance measurement respectively

The space complexity of an algorithm is the amount of memory it needs to run to completion.

The time complexity of an algorithm is the amount of computer time it needs to run to completion.

## **Space Complexity:**

Space Complexity Example:

Algorithm abc(a,b,c)

{

return  $a+b+c+(a+b-c)/(a+b) + 4.0$ ;

}

The Space needed by each of these algorithms is seen to be the sum of the following component

A fixed part that is independent of the characteristics (eg: number, size) of the inputs and outputs.

The part typically includes the instruction space (ie. Space for the code), space for simple variable and fixed-size component variables (also called aggregate) space for constants, and so on.

2. A variable part that consists of the space needed by component variables whose size is dependent on the particular problem instance being solved, the space needed by referenced variables (to the extent that it depends on instance characteristics), and the recursion stack space.

The space requirement  $s(p)$  of any algorithm  $p$  may therefore be written as,  $S(P) = c + S_p(\text{Instance characteristics})$

Where 'c' is a constant.

## **Example 2:**

Algorithm sum(a,n)

{  $s=0.0$ ;

for  $I=1$  to  $n$  do  $s=s+a[I]$ ; return  $s$ ;

}

The problem instances for this algorithm are characterized by  $n$ , the number of elements to be summed.

The space needed by 'n' is one word, since it is of type integer.

The space needed by 'a' is the space needed by variables of type array of floating point numbers.

This is at least 'n' words, since 'a' must be large enough to hold the 'n' elements to be summed.

So, we obtain  $S_{\text{sum}}(n) \geq (n+s)$

[n for a[], one each for n, I a & s]

## **Time Complexity:**

The time  $T(p)$  taken by a program  $P$  is the sum of the compile time and the run time (execution time)

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The compile time does not depend on the instance characteristics. Also we may assume that a compiled program will be run several times without recompilation. This run time is denoted by  $T_p(\text{instance characteristics})$ .

The number of steps any problem statement is assigned depends on the kind of statement.

- For example, comments à 0 steps.

Assignment statements is 1 steps.

[Which does not involve any calls to other algorithms]

Interactive statement such as for, while & repeat-until à Control part of the statement.

We introduce a variable, count into the program statement to increment count with initial value 0. Statement to increment count by the appropriate amount are introduced into the program.

This is done so that each time a statement in the original program is executed count is incremented by the step count of that statement.

### Algorithm:

Algorithm sum(a,n)

```
{
s= 0.0;
count = count+1; for I=1 to n do
{
count =count+1; s=s+a[I]; count=count+1;
}
count=count+1; return s;
}
```

If the count is zero to start with, then it will be  $2n+3$  on termination. So each invocation of sum execute a total of  $2n+3$  steps.

The second method to determine the step count of an algorithm is to build a table in which we list the total number of steps contributed by each statement.

□ First determine the number of steps per execution (s/e) of the statement and the total number of times (ie., frequency) each statement is executed.

□ By combining these two quantities, the total contribution of all statements, the step count for the entire algorithm is obtained.

Statement	Steps per execution	Frequency	Total
1. Algorithm	0	-	0
Sum(a,n)2. {	0	-1	0
3. S=0.0;	1	$n+1$	1
4. for I=1 to n do	1	-	$n+1$
5. s=s+a[I];	1	-	0
6. return s;7. }	1	-	
	0		
Total			$2n+3$



## analyse an Algorithm?

Let us form an algorithm for Insertion sort (which sort a sequence of numbers). The pseudocode for the algorithm is given below.

## Pseudo code for insertion Algorithm:

Identify each line of the pseudo code with symbols such as C1, C2 ..

Pseudo code for Insertion Algorithm	Line Identification
for j=2 to A length	C1
key=A[j]	C2
//Insert A[j] into sorted Array A[1.....j-1]	C3
i=j-1	C4
while i>0 & A[j]>key	C5
A[i+1]=A[i]	C6
i=i-1	C7
A[i+1]=key	C8

Let  $C_i$  be the cost of  $i$ th line. Since comment lines will not incur any cost  $C_3=0$ .

Cost	No. Of times Executed
C1	N
C2	n-1
C3=0	n-1
C4	n-1
C5	$\sum_{j=2}^{n-1} t_j$
C6	$\sum_{j=2}^n t_j - 1$
C7	$\sum_{j=2}^n t_j - 1$
C8	n-1

Running time of the algorithm is:

$$T(n) = C_1n + C_2(n-1) + 0(n-1) + C_4(n-1) + C_5\left(\sum_{j=2}^{n-1} t_j\right) + C_6\left(\sum_{j=2}^n t_j - 1\right) + C_7\left(\sum_{j=2}^n t_j - 1\right) + C_8(n-1)$$

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### **Best case:**

It occurs when Array is sorted. All  $t_j$  values are 1.

$$T(n) = C_1n + C_2(n-1) + 0(n-1) + C_4(n-1) + C_5\left(\sum_{j=2}^{n-1} 1\right) + C_6\left(\sum_{j=2}^{n-1} 1-1\right) + C_7\left(\sum_{j=2}^{n-1} 1-1\right) + C_8(n-1)$$

$$= C_1n + C_2(n-1) + 0(n-1) + C_4(n-1) + C_5 + C_8(n-1)$$

$$= (C_1 + C_2 + C_4 + C_5 + C_8)n - (C_2 + C_4 + C_5 + C_8)$$

· Which is of the form  $an+b$ .

□ · Linear function of  $n$ .

□ So, linear growth.

### **Worst case:**

It occurs when Array is reverse sorted, and  $t_j = j$

$$T(n) = C_1n + C_2(n-1) + 0(n-1) + C_4(n-1) + C_5\left(\sum_{j=2}^{n-1} j\right) + C_6\left(\sum_{j=2}^{n-1} j-1\right) + C_7\left(\sum_{j=2}^{n-1} j-1\right) + C_8(n-1)$$

$$= C_1n + C_2(n-1) + C_4(n-1) + C_5\left(\frac{n(n-1)}{2} - 1\right) + C_6\left(\frac{n(n-1)}{2}\right) + C_7\left(\frac{n(n-1)}{2}\right) + C_8(n-1)$$

which is of the form  $an^2 + bn + c$

Quadratic function. So in worst case insertion sort grows in  $n^2$ . Why we concentrate on worst-case running time?

· The worst-case running time gives a guaranteed upper bound on the running time for any input.

· For some algorithms, the worst case occurs often. For example, when searching, the worst case often occurs when the item being searched for is not present, and searches for absent items may be frequent.

· Why not analyze the average case? Because it's often about as bad as the worst case.

### **Order of growth:**

It is described by the highest degree term of the formula for running time. (Drop lower-order terms. Ignore the constant coefficient in the leading term.)

Example: We found out that for insertion sort the worst-case running time is of the form  $an^2 + bn + c$ .

Drop lower-order terms. What remains is  $an^2$ . Ignore constant coefficient. It results in  $n^2$ . But we cannot say that the worst-case running time  $T(n)$  equals  $n^2$ . Rather It grows like  $n^2$ . But it doesn't equal  $n^2$ . We say that the running time is  $\Theta(n^2)$  to capture the notion that the order of growth is  $n^2$ .



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We usually consider one algorithm to be more efficient than another if its worst-case running time has a smaller order of growth.

### Complexity of Algorithms

The complexity of an algorithm  $M$  is the function  $f(n)$  which gives the running time and/or storage space requirement of the algorithm in terms of the size ' $n$ ' of the input data. Mostly, the storage space required by an algorithm is simply a multiple of the data size ' $n$ '.

Complexity shall refer to the running time of the algorithm.

The function  $f(n)$ , gives the running time of an algorithm, depends not only on the size ' $n$ ' of the input data but also on the particular data. The complexity function  $f(n)$  for certain cases are:

1. **Best Case** : The minimum possible value of  $f(n)$  is called the best case.
2. **Average Case** : The expected value of  $f(n)$ .
3. **Worst Case** : The maximum value of  $f(n)$  for any key possible input.

### ASYMPTOTIC NOTATION

#### Formal way notation to speak about functions and classify them

The following notations are commonly used notations in performance analysis and used to characterize the complexity of an algorithm:

1. Big-OH ( $O$ ) ,
2. Big-OMEGA ( $\Omega$ ),
3. Big-THETA ( $\Theta$ ) and
4. Little-OH ( $o$ )

#### **Asymptotic Analysis of Algorithms:**

Our approach is based on the *asymptotic complexity* measure. This means that we don't try to count the exact number of steps of a program, but how that number grows with the size of the input to the program. That gives us a measure that will work for different operating systems, compilers and CPUs. The asymptotic complexity is written using big-O notation.

- It is a way to describe the characteristics of a function in the limit.
- It describes the rate of growth of functions.
- Focus on what's important by abstracting away low-order terms and constant factors.
- It is a way to compare "sizes" of functions:  $O \approx \leq$

$$\cdot \Theta \approx = o \approx < \omega \approx >$$

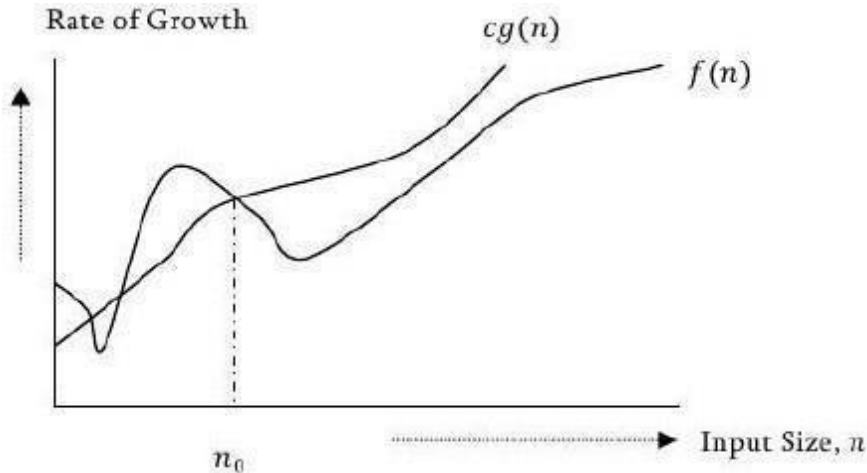
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Time complexity	Name	Example
$O(1)$	Constant	Adding an element to the front of a linked list
$O(\log n)$	Logarithmic	Finding an element in a sorted array
$O(n)$	Linear	Finding an element in an unsorted array
$O(n \log n)$	Linear	Logarithmic Sorting n items by 'divide-and-conquer' - Mergesort
$O(n^2)$	Quadratic	Shortest path between two nodes in a graph
$O(n^3)$	Cubic	Matrix Multiplication
$O(2^n)$	Exponential	The Towers of Hanoi problem

- **Big 'oh':** the function  $f(n)=O(g(n))$  iff there exist positive constants  $c$  and  $n_0$  such that  $f(n) \leq c \cdot g(n)$  for all  $n, n \geq n_0$ .
- **Omega:** the function  $f(n)=\Omega(g(n))$  iff there exist positive constants  $c$  and  $n_0$  such that  $f(n) \geq c \cdot g(n)$  for all  $n, n \geq n_0$ .
- **Theta:** the function  $f(n)=\Theta(g(n))$  iff there exist positive constants  $c_1, c_2$  and  $n_0$  such that  $c_1 g(n) \leq f(n) \leq c_2 g(n)$  for all  $n, n \geq n_0$ .

### · Big-O Notation

- This notation gives the tight upper bound of the given function. Generally we represent it as  $f(n) = O(g(n))$ . That means, at larger values of  $n$ , the upper bound of  $f(n)$  is  $g(n)$ . For example, if  $f(n) = n^4 + 100n^2 + 10n + 50$  is the given algorithm, then  $n^4$  is  $g(n)$ . That means  $g(n)$  gives the maximum rate of growth for  $f(n)$  at larger values of  $n$ .
- **O —notation** defined as  $O(g(n)) = \{f(n): \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq c \cdot g(n) \text{ for all } n \geq n_0\}$ .  $g(n)$  is an asymptotic tight upper bound for  $f(n)$ . Our objective is to give some rate of growth  $g(n)$  which is greater than given algorithm's rate of growth  $f(n)$ .
- In general, we do not consider lower values of  $n$ . That means the rate of growth at lower values of  $n$  is not important. In the below figure,  $n_0$  is the point from which we consider the rate of growth for a given algorithm. Below  $n_0$  the rate of growth may be different.



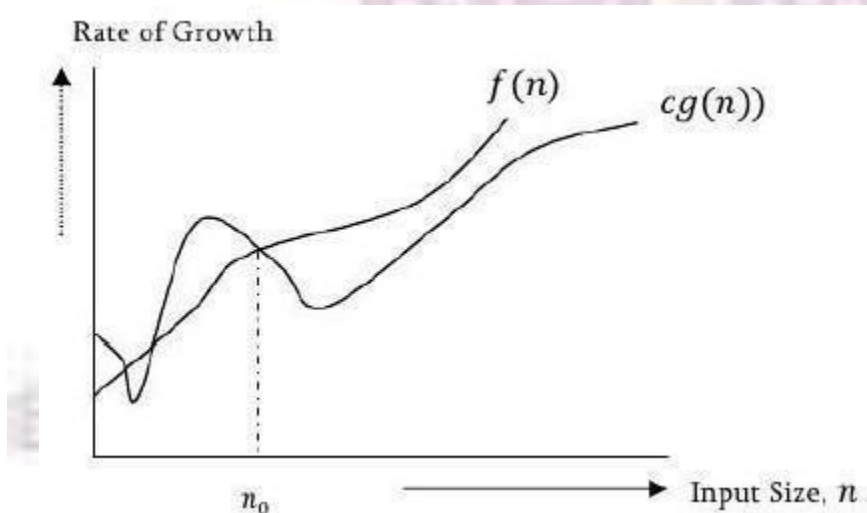
Note Analyze the algorithms at larger values of  $n$  only What this means is, below  $n_0$  we don't care for rates of growth.

## Omega— $\Omega$ notation

Similar to above discussion, this notation gives the tighter lower bound of the given algorithm and we represent it as  $f(n) = \Omega(g(n))$ . That means, at larger values of  $n$ , the tighter lower bound of  $f(n)$  is  $g$ .

For example, if  $f(n) = 100n^2 + 10n + 50$ ,  $g(n)$  is  $\Omega(n^2)$ .

The  $\Omega$  notation as defined as  $\Omega(g(n)) = \{f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq cg(n) \leq f(n) \text{ for all } n \geq n_0\}$ .  $g(n)$  is an asymptotic lower bound for  $f(n)$ .  $\Omega(g(n))$  is the set of functions with smaller or same order of growth as  $f(n)$ .



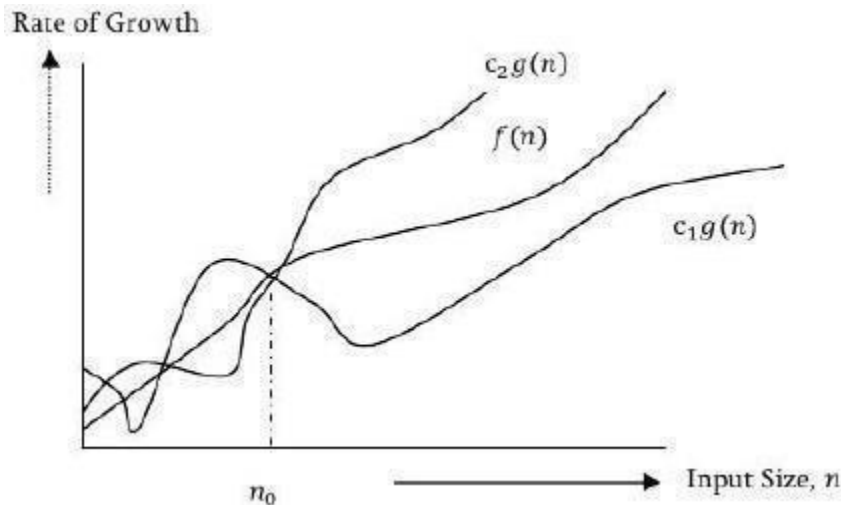
## Theta- $\Theta$ notation

This notation decides whether the upper and lower bounds of a given function are same or not. The average running time of algorithm is always between lower bound and upper bound.

If the upper bound ( $O$ ) and lower bound ( $\Omega$ ) gives the same result then  $\Theta$  notation will also have the same rate of growth. As an example, let us assume that  $f(n) = 10n + n$  is the expression. Then, its tight upper bound  $g(n)$  is  $O(n)$ . The rate of growth in best case is  $g(n) = \Omega(n)$ . In this case, rate of growths in best case and worst are same. As a result, the average case will also be same.

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None: For a given function (algorithm), if the rate of growths (bounds) for  $O$  and  $\Omega$  are not same then the rate of growth  $\Theta$  case may not be same.



Now consider the definition of  $\Theta$  notation. It is defined as  $\Theta(g(n)) = \{f(n) : \text{there exist positive constants } C_1, C_2 \text{ and } n_0 \text{ such that } C_1g(n) \leq f(n) \leq C_2g(n) \text{ for all } n \geq n_0\}$ .  $g(n)$  is an asymptotic tight bound for  $f(n)$ .  $\Theta(g(n))$  is the set of functions with the same order of growth as  $g(n)$ .

### Important Notes

For analysis (best case, worst case and average) we try to give upper bound ( $O$ ) and lower bound ( $\Omega$ ) and average running time ( $\Theta$ ). From the above examples, it should also be clear that, for a given function (algorithm) getting upper bound ( $O$ ) and lower bound ( $\Omega$ ) and average running time ( $\Theta$ ) may not be possible always.

For example, if we are discussing the best case of an algorithm, then we try to give upper bound ( $O$ ) and lower bound ( $\Omega$ ) and average running time ( $\Theta$ ).

In the remaining chapters we generally concentrate on upper bound ( $O$ ) because knowing lower bound ( $\Omega$ ) of an algorithm is of no practical importance and we use  $\Theta$  notation if upper bound ( $O$ ) and lower bound ( $\Omega$ ) are same.

### Little Oh Notation

The little Oh is denoted as  $o$ . It is defined as: Let,  $f(n)$  and  $g(n)$  be the non negative functions then  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$

such that  $f(n) = o(g(n))$  i.e  $f$  of  $n$  is little Oh of  $g$  of  $n$ .

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$f(n) = o(g(n))$  if and only if  $f(n) = o(g(n))$  and  $f(n) \neq \Theta\{g(n)\}$

### Applications of Divide and conquer rule or algorithm:

- Binary search,
- Quick sort,
- Merge sort,
- Strassen's matrix multiplication.

### Binary search or Half-interval search algorithm:

1. This algorithm finds the position of a specified input value (the search "key") within an array sorted by key value.
2. In each step, the algorithm compares the search key value with the key value of the middle element of the array.
3. If the keys match, then a matching element has been found and its index, or position, is returned.
4. Otherwise, if the search key is less than the middle element's key, then the algorithm repeats its action on the sub-array to the **left** of the middle element or, if the search key is greater, then the algorithm repeats on sub array to the **right** of the middle element.
5. If the search element is less than the minimum position element or greater than the maximum position element then this algorithm returns not found.

### Binary search algorithm by using recursive methodology:

Program for binary search (recursive)	Algorithm for binary search (recursive)
<pre> int binary_search(int A[], int key, int imin, int imax) { if (imax &lt; imin) return array is empty; if(key&lt;imin    K&gt;imax) return element not in array list; else { int imid = (imin +imax)/2; if (A[imid] &gt; key) return binary_search(A, key, imin, imid-1); else if (A[imid] &lt; key) return binary_search(A, key, imid+1, imax); else return imid; } } </pre>	<pre> Algorithm binary_search(A, key, imin, imax) { if (imax &lt; imin) then return "array is empty"; if(key&lt;imin    K&gt;imax) then return "element not in array list"; else { imid = (imin +imax)/2; if (A[imid] &gt; key) then return binary_search(A, key, imin, imid-1); else if (A[imid] &lt; key) then return binary_search(A, key, imid+1, imax); else return imid; } } </pre>

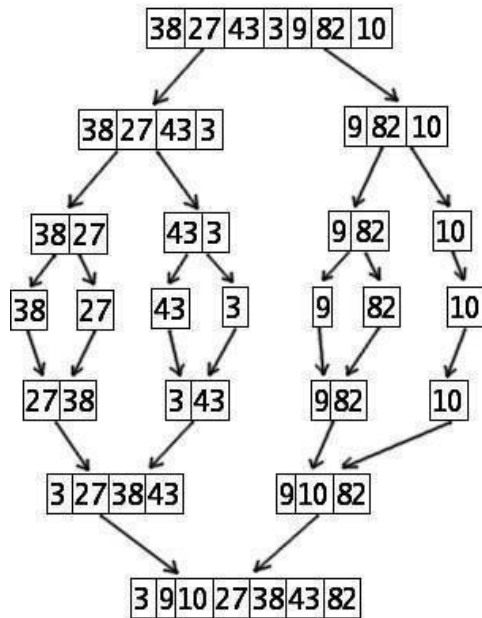
### Time Complexity: Data structure:- Array

For successful search	Unsuccessful search
Worst case $\hookrightarrow O(\log n)$ or $\theta(\log n)$ Average case $\hookrightarrow O(\log n)$ or $\theta(\log n)$ Best case $\hookrightarrow O(1)$ or $\theta(1)$	$\theta(\log n)$ :- for all cases.

### MERGE SORT:

The merge sort splits the list to be sorted into two equal halves, and places them in separate arrays. This sorting method is an example of the DIVIDE-AND-CONQUER paradigm i.e. it breaks the data into two halves and then sorts the two half data sets recursively, and finally merges them to obtain the complete sorted list. The merge sort is a comparison sort and has an algorithmic complexity of  $O(n \log n)$ .

Elementary implementations of the merge sort make use of two arrays - one for each half of the data set. The following image depicts the complete procedure of merge sort.



### Advantages of Merge Sort:

1. Marginally faster than the heap sort for larger sets
2. Merge Sort always does lesser number of comparisons than Quick Sort. Worst case for merge sort does about 39% less comparisons against quick sort's average case.
3. Merge sort is often the best choice for sorting a linked list because the slow random-access performance of a linked list makes some other algorithms (such as quick sort) perform poorly, and others (such as heap sort) completely impossible.

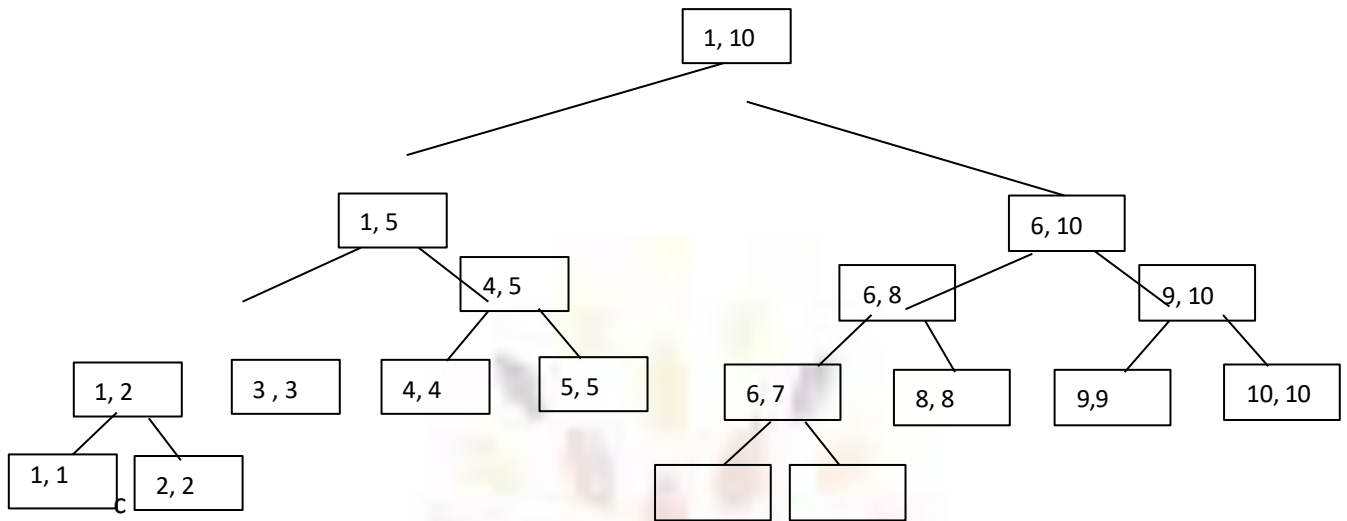
Algorithm for Merge sort:



```
Algorithm mergesort(low, high)
{
if(low<high) then      // Dividing Problem into Sub-problems and
{
    this "mid" is for finding where to split the set.mid=(low+high)/2;
mergesort(low,mid);
mergesort(mid+1,high); //Solve the sub-problemsMerge(low,mid,high); // Combine the solution
}
}
void Merge(low, mid,high){k=low;
i=low; j=mid+1;
while(i<=mid&& j<=high) do {if(a[i]<=a[j]) then
{
temp[k]=a[i];i++;
k++;
}
else
{
temp[k]=a[j];j++;
k++;
}
}
while(i<=mid) do {temp[k]=a[i];
i++;k++;
}
while(j<=high) do {temp[k]=a[j];
j++;k++;
}
For k=low to high do a[k]=temp[k];
}
For k:=low to high do a[k]=temp[k];
}
```

## Tree call of Merge sort

Consider a example: (From text book)  $A[1:10] = \{310, 285, 179, 652, 351, 423, 861, 254, 450, 520\}$



## Tree call of Merge sort (1, 10)

all of Merge Sort Represents the sequence of recursive calls that are produced by

Tree

“Once observe the explained notes in class room”

## Computing Time for Merge sort:

The time for the merging operation is proportional to  $n$ , then computing time for merge sort is described by using recurrence relation.

$$T(n) = a \text{ if } n=1; 2T(n/2) + cn \text{ if } n>1$$

Here  $c, a$  Constants. If  $n$  is power of 2,  $n=2^k$

$$\text{Form recurrence relation } T(n) = 2T(n/2) + cn$$

$$2[2T(n/4) + cn/2] + cn$$

$$4T(n/4) + 2cn$$

$$2^2 T(n/4) + 2cn$$

$$2^3 T(n/8) + 3cn$$

$$2^4 T(n/16) + 4cn = 2^k T(1) + kcn = a + cn(\log n)$$

## DESIGN AND ANALYSIS OF ALGORITHM [23CS501]

merge sort. By representing it by in the form of Asymptotic notation  $O$  is  $T(n)=O(n \log n)$

### QUICK SORT

Quick Sort is an algorithm based on the DIVIDE-AND-CONQUER paradigm that selects a pivot element and reorders the given list in such a way that all elements smaller to it are on one side and those bigger than it are on the other. Then the sub lists are recursively sorted until the list gets completely sorted. The time complexity of this algorithm is  $O(n \log n)$ .

➤ Auxiliary space used in the average case for implementing recursive function calls is  $O(\log n)$  and hence proves to be a bit space costly, especially when it comes to large data sets.

➤ Its <sup>1, 3</sup>worst case has a time complexity of  $O(n^2)$  which can prove very fatal for large data sets.

Competitive sorting algorithms

#### Algorithm for Quick sort

```
Algorithm quickSort (a, low, high) {If(high>low) then { m=partition(a,low,high);
if(low<m) then quick(a,low,m); if(m+1<high) then quick(a,m+1,high);
}}
```

```
Algorithm partition(a, low, high) {i=low,j=high;
mid=(low+high)/2;pivot=a[mid];
while(i<=j) do { while(a[i]<=pivot)
i++;
while(a[j]>pivot)j--;
if(i<=j){ temp=a[i];
a[i]=a[j]; a[j]=temp;
i++;
j--;
}}
return j;
}
```

Name	Time Complexity			Space Complexity
	Best case	AverageCase	WorstCase	
Bubble	$O(n)$	-	$O(n^2)$	$O(n)$
Insertion	$O(n)$	$O(n^2)$	$O(n^2)$	$O(n)$
Selection	$O(n^2)$	$O(n^2)$	$O(n^2)$	$O(n)$
Quick	$O(\log n)$	$O(n \log n)$	$O(n^2)$	$O(n + \log n)$

## DESIGN AND ANALYSIS OF ALGORITHM [23CS501]

<b>Merge</b>	$O(n \log n)$	$O(n \log n)$	$O(n \log n)$	$O(2n)$
<b>Heap</b>	$O(n \log n)$	$O(n \log n)$	$O(n \log n)$	$O(n)$

### Strassen's Matrix Multiplication:

Let A and B be two  $n \times n$  Matrices. The product matrix  $C=AB$  is also a  $n \times n$  matrix whose  $i, j^{\text{th}}$  element is formed by taking elements in the  $i^{\text{th}}$  row of A and  $j^{\text{th}}$  column of B and multiplying them to get  $C(i, j)=\sum_{1 \leq k \leq n} A(i, k)B(k, j)$

Here  $1 \leq i \& j \leq n$  means i and j are in between 1 and n.

To compute  $C(i, j)$  using this formula, we need n multiplications.

The divide and conquer strategy suggests another way to compute the product of two  $n \times n$  matrices.

For Simplicity assume n is a power of 2 that is  $n=2^k$

Here  $k \geq 0$  any nonnegative integer.

If n is not power of two then enough rows and columns of zeros can be added to both A and B, so that resulting dimensions are a power of two.

Let A and B be two  $n \times n$  Matrices. Imagine that A & B are each partitioned into four square sub matrices. Each sub matrix having dimensions  $n/2 \times n/2$ .

The product of AB can be computed by using previous formula. If AB is product of  $2 \times 2$  matrices then

$$\begin{pmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{pmatrix} \begin{pmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{pmatrix} = \begin{pmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{pmatrix}$$

$$C_{11}=A_{11}B_{11}+A_{12}B_{21} \quad C_{12}=A_{11}B_{12}+A_{12}B_{22} \quad C_{21}=A_{21}B_{11}+A_{22}B_{21} \quad C_{22}=A_{21}B_{12}+A_{22}B_{22}$$

Here 8 multiplications and 4 additions are performed.

Note that Matrix Multiplication are more Expensive than matrix addition and subtraction.

$$T(n) = \begin{cases} b & \text{if } n \leq 2; \\ 8T(n/2) + cn^2 & \text{if } n > 2 \end{cases}$$

Volker strassen has discovered a way to compute the  $C_{ij}$  of above using 7 multiplications and 18 additions or subtractions.

For this first compute 7  $n/2 \times n/2$  matrices P, Q, R, S, T, U &  $VP=(A_{11}+A_{22})(B_{11}+B_{22})$

$Q=(A_{21}+A_{22})B_{11}$   $R=A_{11}(B_{12}-B_{22})$   $S=A_{22}(B_{21}-B_{11})$   $T=(A_{11}+A_{12})B_{22}$   $U=(A_{21}-A_{11})(B_{11}+B_{12})$

$V=(A_{12}-A_{22})(B_{21}+B_{22})$

$$C_{11}=P+S-T+V$$

$$C_{12}=R+T$$

$$C_{21}=Q+S$$

$$C_{22}=P+R-Q+U$$

$$T(n) = \begin{cases} b & \text{if } n \leq 2; \\ 7T(n/2) + cn^2 & \text{if } n > 2 \end{cases}$$



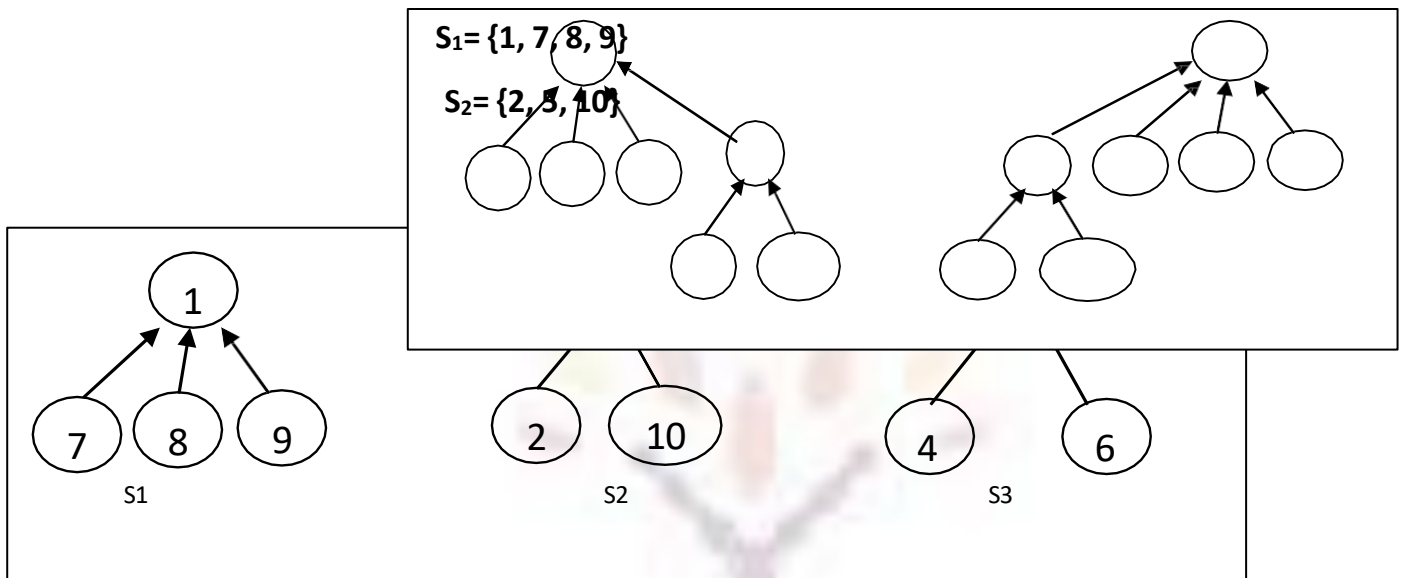
## UNIT-II

**Disjoint Sets:** Disjoint set operations, union and find algorithms

Backtracking: General method, applications, n-queen's problem, sum of subsets problem, graph coloring

**Disjoint Sets:** If  $S_i$  and  $S_j$ ,  $i \neq j$  are two sets, then there is no element that is in both  $S_i$  and  $S_j$ .

For example:  $n=10$  elements can be partitioned into three disjoint sets,



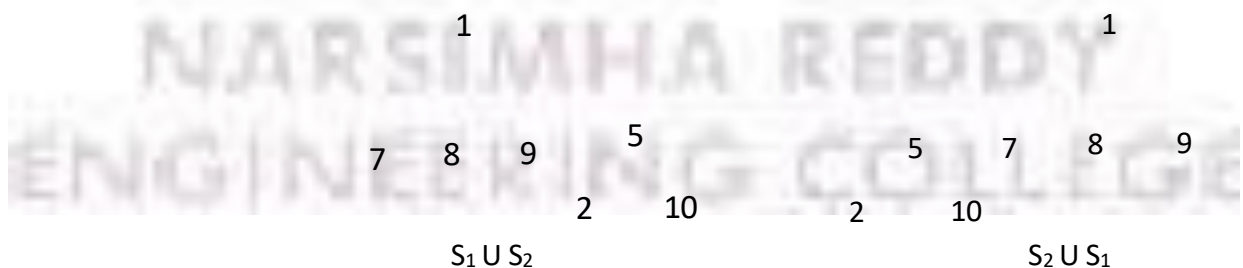
### Disjoint set Operations:

- ☐ Disjoint set Union
- ☐ Find(i)

### Disjoint set Union:

Means  $S_1 \cup S_2$  combination of two disjoint sets elements. Form above example  $S_1 \cup S_2 = \{1, 7, 8, 9, 5, 2, 10\}$

For  $S_1 \cup S_2$  tree representation, simply make one of the tree is a subtree of the other.



**Find:** Given element  $i$ , find the set containing  $i$ .

Form above example:

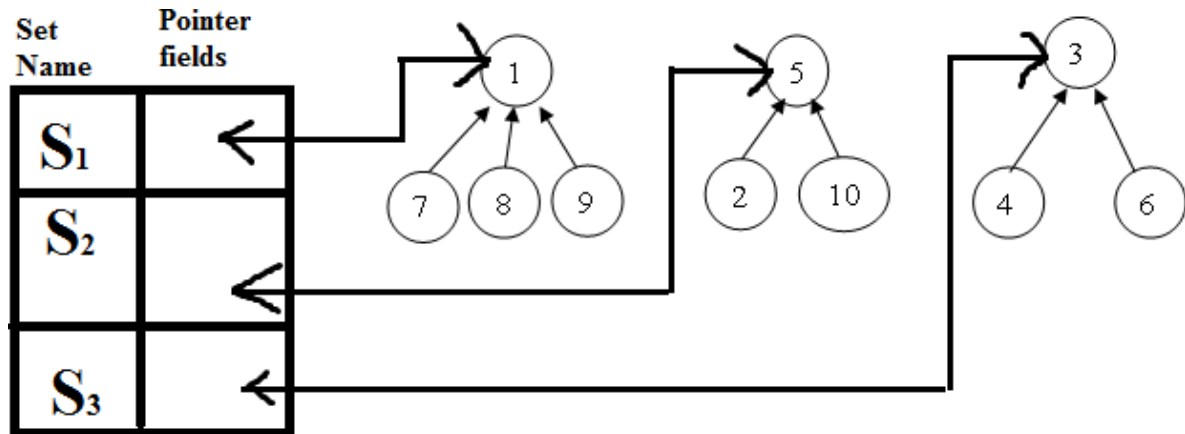
Find(4)  $S_3$  Find(1)

$S_1$  Find(10)  $S_2$

### DATA REPRESENTATION OF SETS:

This can be accomplished easily if, with each set name, we keep a pointer to the root of the tree representing that set.

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]



For presenting the union and find algorithms, we ignore the set names and identify sets just by the roots of the trees representing them.

**For example:** if we determine that element 'i' is in a tree with root 'j' has a pointer to entry 'k' in the set name table, then the set name is just **name[k]**

For unite (**adding or combine**) to a particular set we use FindPointer function.

**Example:** If you wish to unite S<sub>i</sub> and S<sub>j</sub> then we wish to unite the tree with roots FindPointer (S<sub>i</sub>) and FindPointer (S<sub>j</sub>)

FindPointer □ is a function that takes a set name and determines the root of the tree that represents it.

For determining operations:

Find(i) □ 1<sup>st</sup> determine the root of the tree and find its pointer to entry in setname table. Union(i, j) □ Means union of two trees whose roots are i and j.

If set contains numbers 1 through n, we represent tree node

**P[1:N].**

Maximum number of elements.

i	1	2	3	4	5	6	7	8	9	10
P	-1	5	-1	3	-1	3	1	1	1	5

-1.

nd(i) by following the indices, starting at i until we reach a node with parent value  
 Example: Find(6) start at 6 and then moves to 6's parent. Since P[3] is negative, we reached the root.



## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

Algorithm for finding Union(i, j):	Algorithm for find(i)
Algorithm Simple union(i, j) { P[i]:=j; // Accomplishes the union }	Algorithm SimpleFind(i) { While(P[i]≥0) do i:=P[i]; return i; }

If n numbers of roots are there then the above algorithms are not useful for union and find. For union of n trees Union(1,2), Union(2,3), Union(3,4),.....Union(n-1,n).

For Find i in n trees □ Find(1), Find(2),....Find(n).

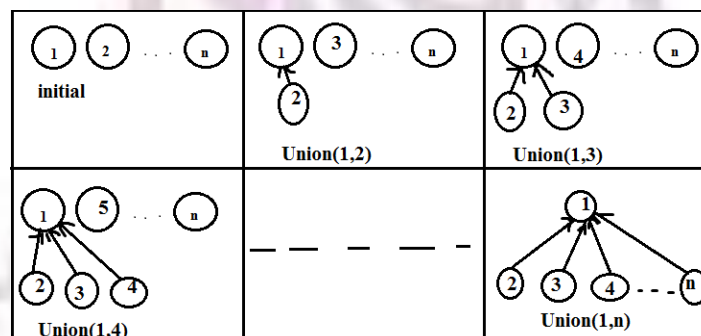
Time taken for the union (simple union) is □ O(1) (constant).  
 For the n-1 unions □ O(n).

Time taken for the find for an element at level i of a tree is □ O(i).  
 For n finds □ O(n<sup>2</sup>).

To improve the performance of our union and find algorithms by avoiding the creation of degenerate trees. For this we use a weighting rule for union(i, j)

### WEIGHTING RULE FOR UNION(i, j):

If the number of nodes in the tree with root 'i' is less than the tree with root 'j', then make 'j' the parent of 'i'; otherwise make 'i' the parent of 'j'.



Tree obtained using the weighting rule

## Algorithm for weightedUnion(i, j)

Algorithm WeightedUnion(i,j)

//Union sets with roots i and j,  $i \neq j$

// The weighting rule,  $p[i] = -\text{count}[i]$  and  $p[j] = -\text{count}[j]$ .

{

temp :=  $p[i] + p[j]$ ;

~~if ( $p[i] > p[j]$ ) then~~

{ // i has fewer  
nodes.  $P[i] := j$ ;

$P[j] := \text{temp}$ ;

}

else

{ // j has fewer or equal  
nodes.  $P[j] := i$ ;

$P[i] := \text{temp}$ ;

}

## N-QUEENS PROBLEM

N - Queens problem is to place n - queens in such a manner on an  $n \times n$  chessboard that no queens attack each other by being in the same row, column or diagonal.

It can be seen that for  $n=1$ , the problem has a trivial solution, and no solution exists for  $n=2$  and  $n=3$ . So first we will consider the 4 queens problem and then generate it to n - queens problem.

Given a  $4 \times 4$  chessboard and number the rows and column of the chessboard 1 through 4.

	1	2	3	4
1				
2				
3				
4				

4x4 chessboard

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

Since, we have to place 4 queens such as  $q_1$   $q_2$   $q_3$  and  $q_4$  on the chessboard, such that no two queens attack each other. In such a conditional each queen must be placed on a different row, i.e., we put queen " $i$ " on row " $i$ ."

Now, we place queen  $q_1$  in the very first acceptable position (1, 1). Next, we put queen  $q_2$  so that both

these queens do not attack each other. We find that if we place  $q_2$  in column 1 and 2, then the dead end is encountered. Thus the first acceptable position for  $q_2$  in column 3, i.e. (2, 3) but then no position is left for placing queen ' $q_3$ ' safely. So we backtrack one step and place the queen ' $q_2$ ' in (2, 4), the next best possible solution. Then we obtain the position for placing ' $q_3$ ' which is (3, 2). But later this position also leads to a dead end, and no place is found where ' $q_4$ ' can be placed safely. Then we have to backtrack till ' $q_1$ ' and place it to (1, 2) and then all other queens are placed safely by moving  $q_2$  to (2, 4),  $q_3$  to (3, 1) and  $q_4$  to (4, 3). That is, we get the solution (2, 4, 1, 3). This is one possible solution for the 4-queens problem. For another possible solution, the whole method is repeated for all partial solutions. The other solutions for

	1	2	3	4
1			$q_1$	
2	$q_2$			
3				$q_3$
4		$q_4$		

4 - queens problems is (3, 1, 4, 2) i.e.

The implicit tree for 4 - queen problem for a solution (2, 4, 1, 3) is as follows:

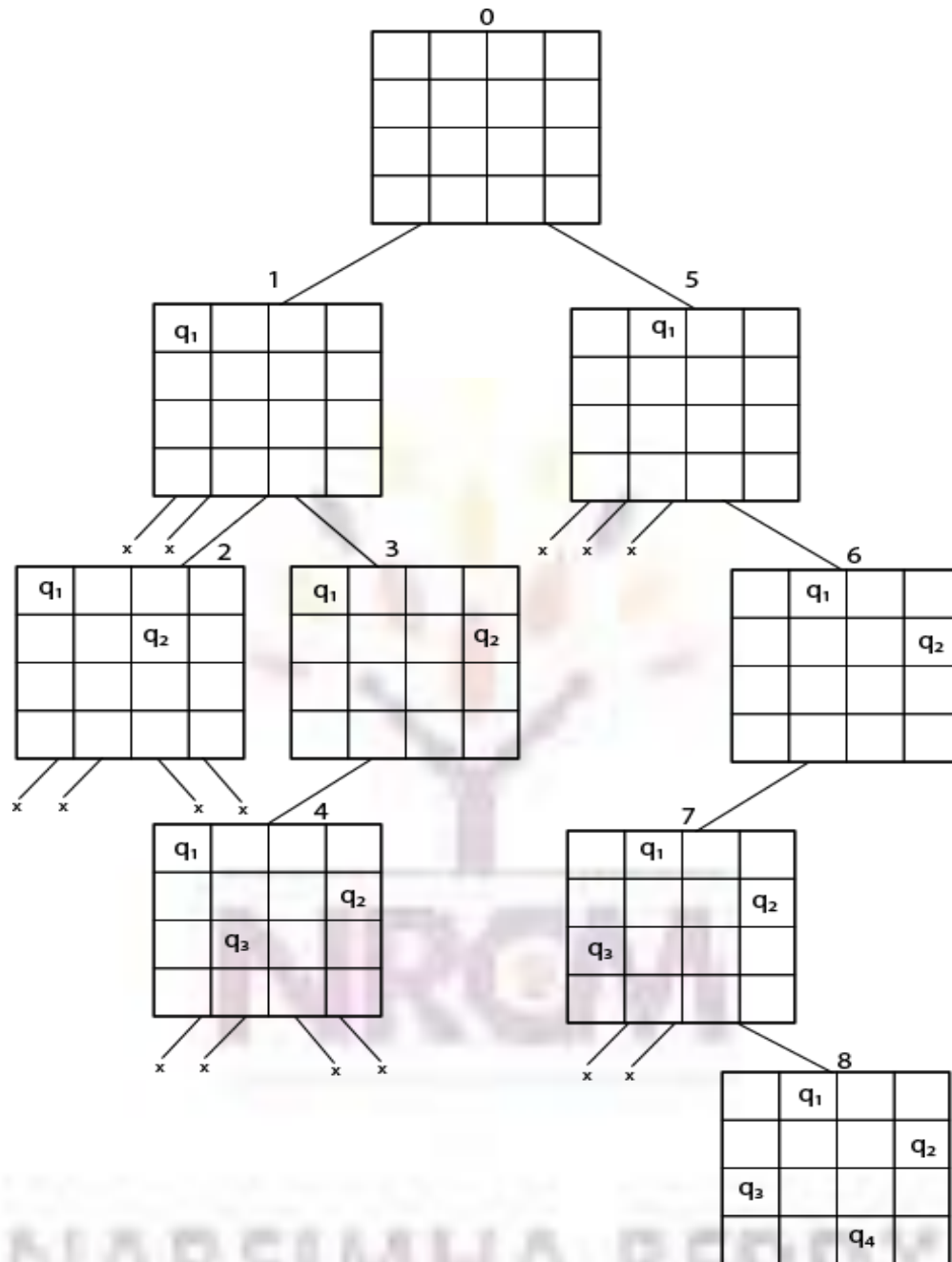
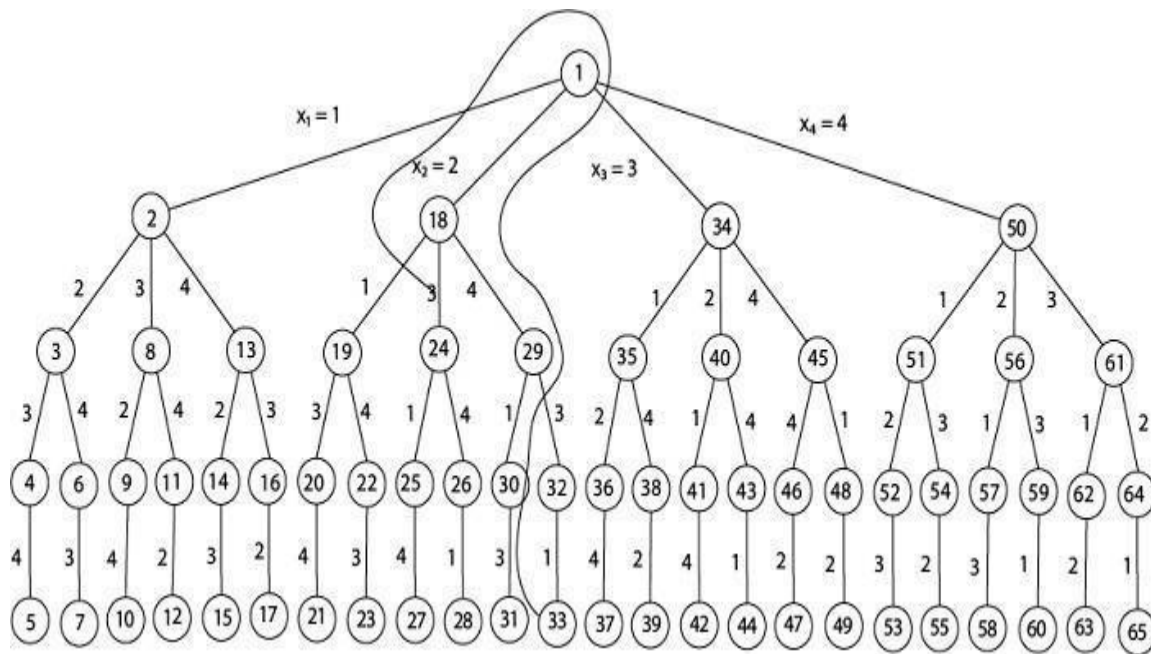


Fig shows the complete state space for 4 - queens problem. But we can use backtracking method to generate the necessary node and stop if the next node violates the rule, i.e., if two queens are attacking.



## ALGORITHM:

### Place (k, i)

```

{
  For j ← 1 to k - 1
    do if (x[j] = i)
      or (Abs x[j] -
i) = (Abs (j - k))
    then return
false;
  RETURN TRUE;
}
    
```

### N - QUEENS (K, N)

```

{
  For i ← 1 to n
    do if Place (k, i) then
      {
        x[k] ← i;
        if (k == n) then write (x [1      n]);
        else
          N - Queens (k + 1, n);
      }
}
    
```

## SUBSET SUM PROBLEM

It is one of the most important problems in complexity theory. The problem is given an A set of integers  $a_1, a_2, \dots$ , an upto n integers. The question arises that is there a non-empty subset such that the sum of the subset is given as M integer?. For example, the set is given as [5, 2, 1, 3, 9],

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

and the sum of the subset is 9; the answer is YES as the sum of the subset [5, 3, 1] is equal to 9. This is an NP-complete problem again. It is the special case of knapsack

Let's understand this problem through

an example.problem.

We have a set of 5 integers given below:

$$N = 4, -2, 2, 3, 1$$

We want to find out the subset whose sum is equal to 5. There are many solutions to this problem.

The naïve approach, i.e., brute-force search generates all the possible subsets of the original array, i.e., there are  $2^n$  possible states. Here the running time complexity would be exponential. Then, we consider all these subsets in  $O(N)$  linear running time and checks whether the sum of the items is M or not.

The dynamic programming has pseudo-polynomial running time.

**Statement:** Given a set of positive integers, and a value sum, determine that the sum of the subset of a given set is equal to the given sum.

### THERE ARE TWO WAYS OF SOLVING THE SUBSET PROBLEM:

- Recursion
- Dynamic programming

### METHOD 1: RECURSION

**Let's understand that how can we solve the problem using recursion. Consider the array which is given below:**

$$ARR = [3, 4, 5, 2]$$

sum = 9 result = []

In the above example, we have taken an array, and the empty array named result that stores all the values whose resultant sum is equal to 9.

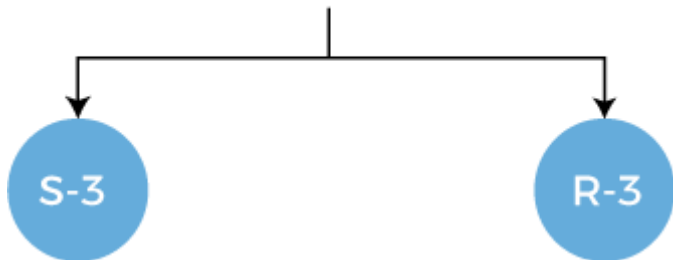
### FIRST ELEMENT IN AN ARRAY IS 3. THERE ARE TWO SCENARIOS:

- First scenario is select. The sum is equal to the **target sum - value of first element, i.e.,**  $9 - 3 = 6$  and the first element, i.e., 3 gets stored in the result array, i.e., **result[]**.
- Second scenario is reject. The array arr contains the elements 4, 5, 2, i.e., arr = [4, 5, 2] and sum would be same as 9 as we are rejecting the element 3. The **result[]** array would remain empty.
-



arr = [3,4,5,2], sum = 9

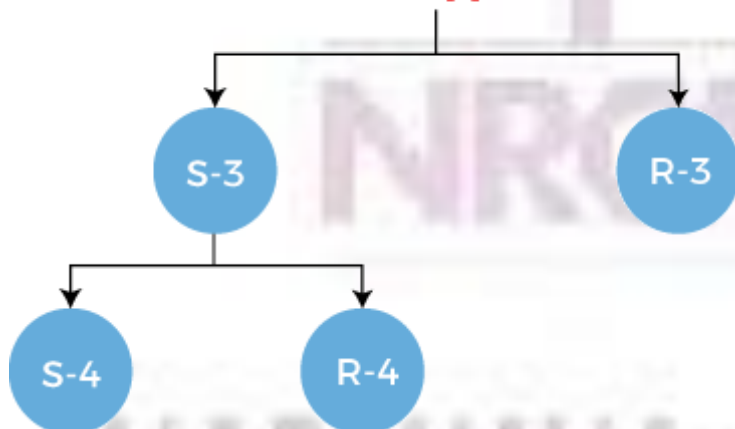
res [ ]



- NOW WE PERFORM THE SAME SELECT AND REJECT OPERATION ON ELEMENT 4 AS IT IS THE FIRST ELEMENT OF THE ARRAY NOW.
- Select the element 4 from the array. Since we are selecting 4 from the array so array arr would contain the elements 5, 2, i.e., arr = [5, 2]. The sum is equal to the 6-4 = 2 and the element 4 gets stored in the result arr. The result[] = {3, 4}.
- Reject the element 4 from the array. Since we are rejecting the 4 from the array so array arr would contain the elements 5, 2, i.e., arr = [5, 2]. The sum would remain same as 6 and the result array would be same as previous, i.e., {3}.

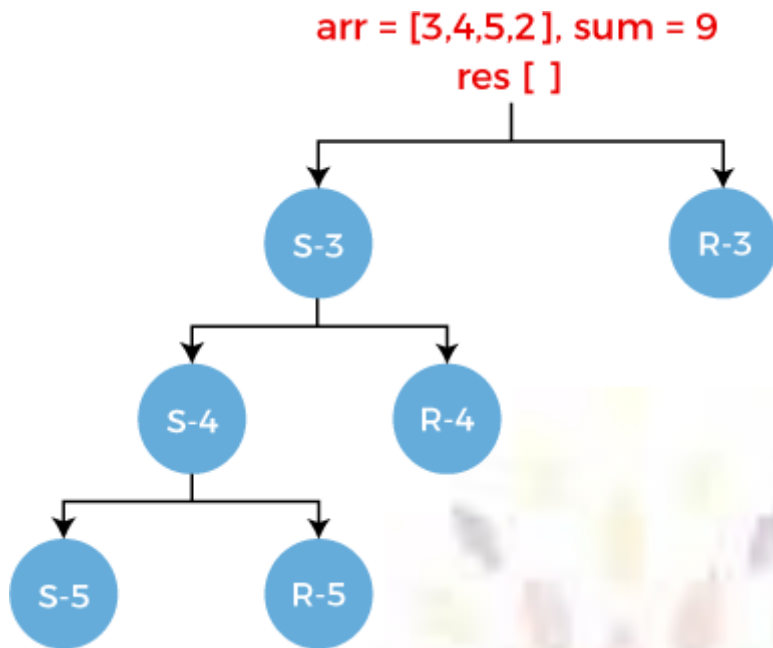
arr = [3,4,5,2], sum = 9

res [ ]



**NOW WE PERFORM THE SELECT AND REJECT OPERATION ON ELEMENT 5.**

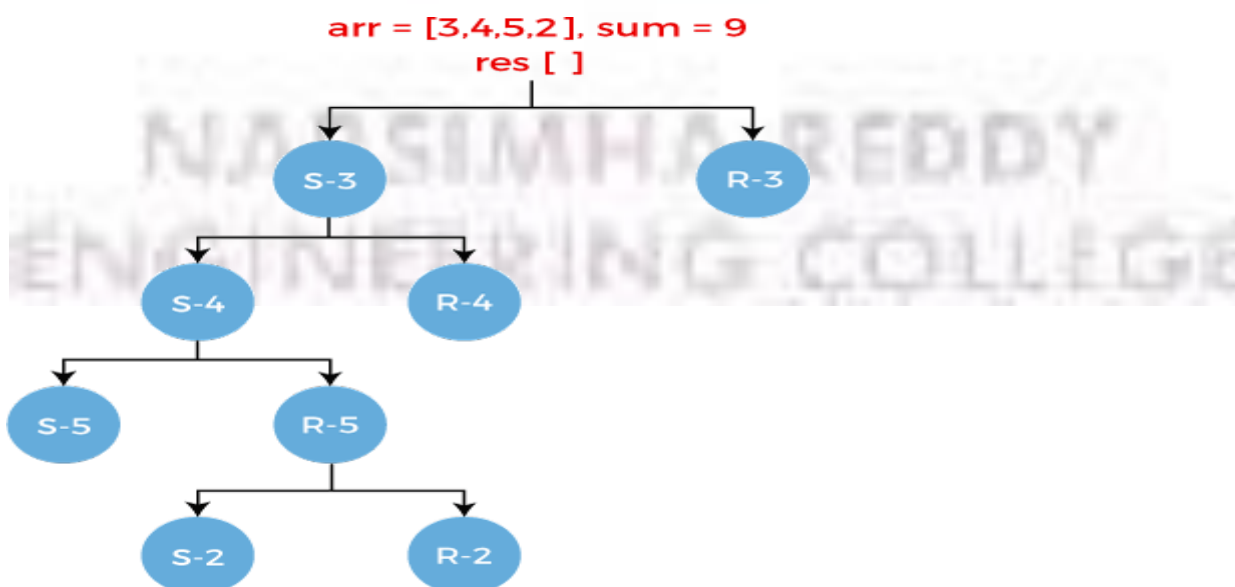
- Select the element 5 from the array. Since we are selecting 5 from the array so array arr would contain the elements 2, i.e., arr = [2]. The sum is equal to the 2 - 5 equals to -3 and the element 5 gets stored in the result arr. The result[] = {3, 4, 5}.
- Reject the element 5 from the array. Since we are rejecting 5 from the array so array arr would contain the element 2, i.e., arr = [2]. The sum would remain same as previous, i.e., 6 and the result array would be same as previous, i.e., {3, 4}.



If we observe S-5, we can see that the sum is negative that returns false. It means that there is no further subset available in the set.

CONSIDER R-5. IT ALSO HAS TWO SCENARIOS:

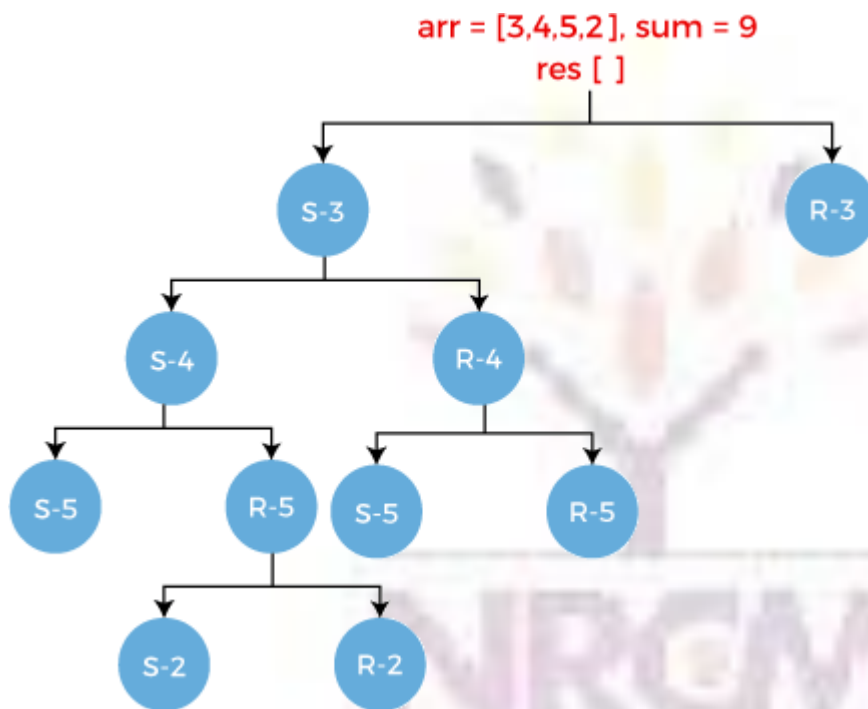
- Select the element 2 from the array. Once the element 2 gets selected, the array becomes empty, i.e.,  $arr[] = []$ . The sum would be  $2-2$  equals to 0 and the element 2 gets stored in the result array. The  $result[] = [3, 4, 2]$ .
- Reject the element 2 from the array. Once the element 2 gets rejected, the array becomes empty, i.e.,  $arr[] = []$ . The sum would be same as previous, i.e., 2 and the result array would also be same as previous, i.e.,  $[3, 4]$ .



CONSIDER R-4. IT HAS TWO SCENARIOS:

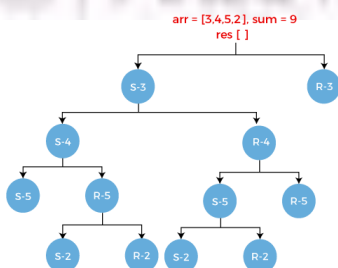
## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

- Select the element 5 from the array. Since we are selecting 5 from the array so array arr would contain the elements 2, i.e.,  $\text{arr} = [2]$ . The sum would be  $6-5$  equals to 1 and the element 5 gets stored in the result array. The  $\text{result}[] = [3, 5]$ .
- Reject the element 5 from the array. Since we are rejecting 5 from the array so array arr would contain the element 2, i.e.,  $\text{arr} = [2]$ . The sum would remain same as previous, i.e., 6 and the result array would be same as previous, i.e.,  $\{3\}$ .



CONSIDER S-5. IT HAS TWO SCENARIOS:

- Select the element 2 from the array. Since we are selecting 2 from the array so array arr would be empty, i.e.,  $\text{arr} = [ ]$ . The sum would be  $1-2$  equals to -1 and the element 2 gets stored in the result array. The  $\text{result}[] = [3, 5, 2]$ .
- Reject the element 2 from the array. Since we are rejecting 2 from the array so array arr would become empty. The sum would remain same as previous, i.e., 1 and the result array would be same as previous, i.e.,  $\{3, 5\}$ .



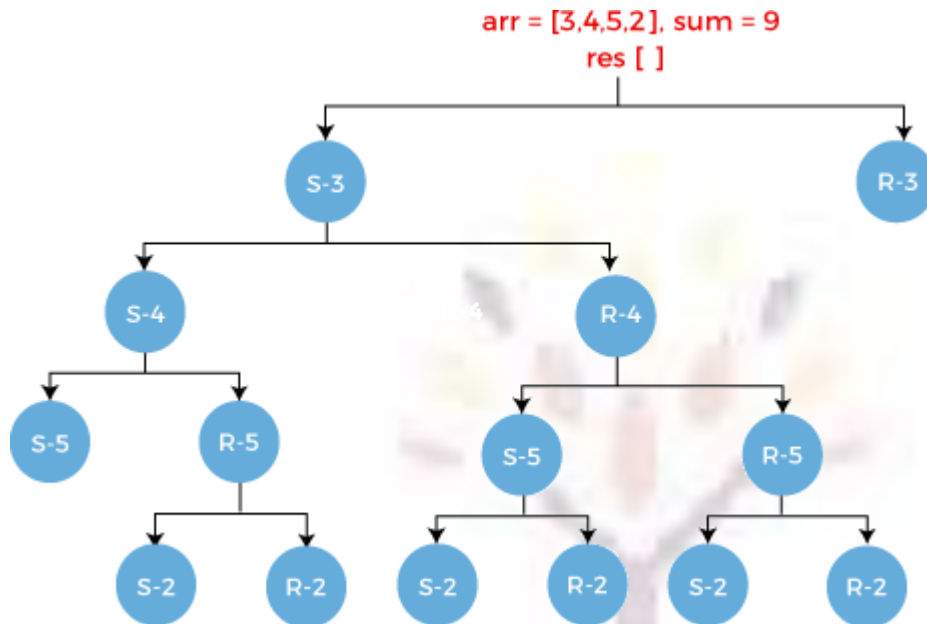
CONSIDER R-5. IT HAS TWO SCENARIOS:

- Select the element 2 from the array. Since we are selecting 2 from the array so

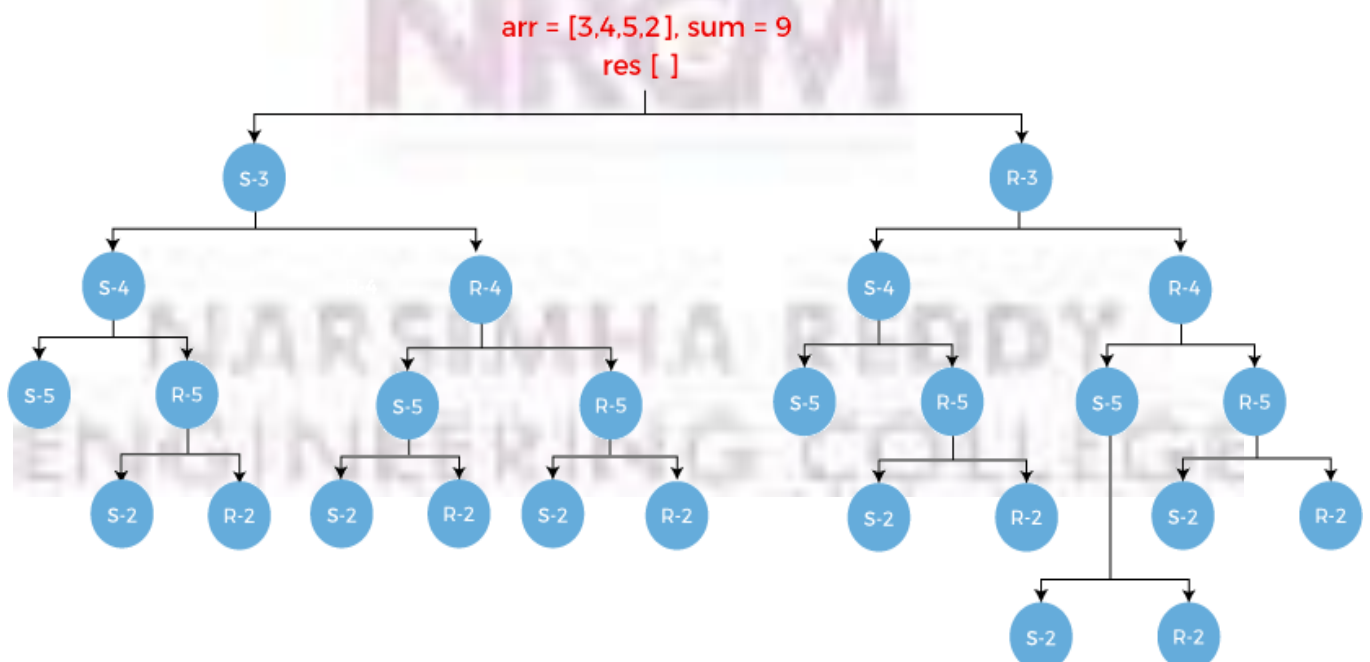
## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

array arr would be empty, i.e.,  $arr = []$ . The sum would be  $6-2$  equals to 4 and the element 2 gets stored in the result array. The  $result[] = [3, 2]$ .

- Reject the element 2 from the array. Since we are rejecting 2 from the array so array arr would become empty. The sum would remain same as previous, i.e., 6 and the result array would be same as previous, i.e.,  $\{3\}$ .



Similarly, we get the reject case, i.e., R-3 as shown as below:



## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

Algorithm:

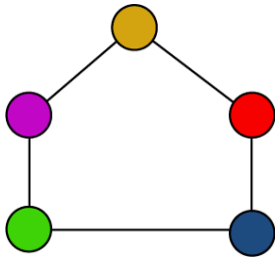
```
def sum_subset(arr, res, sum):
    if sum == 0:
        return True
    if sum < 0:
        return False
    if len(arr) == 0 and sum != 0:
        return False
    arr.pop(0)
    if len(arr) > 0:
        res.append(arr[0])
    select = sum_subset(arr, sum-arr[0], res)
    reject = sum_subset(arr, res, sum)
    return reject or select
```

### Graph coloring

Graph coloring can be described as a process of assigning colors to the vertices of a graph. In this, the same color should not be used to fill the two adjacent vertices. We can also call graph coloring as Vertex Coloring. In graph coloring, we have to take care that a graph must not contain any edge whose end vertices are colored by the same color. This type of graph is known as the Properly colored graph.

### Example of Graph coloring

In this graph, we are showing the properly colored graph, which is described as follows:



The above graph contains some points, which are described as follows:

- The same color cannot be used to color the two adjacent vertices.
- Hence, we can call it as a properly colored graph.

## APPLICATIONS OF GRAPH COLORING

There are various applications of graph coloring. Some of their important applications are described as follows:

- Assignment
- Map coloring
- Scheduling the tasks
- Sudoku
- Prepare time table
- Conflict resolution

## GREEDY ALGORITHM

There are various steps to solve the greedy algorithm, which are described as follows:

**Step 1:** In the first step, we will color the first vertex with first color.

**Step 2:** Now, we will one by one consider all the remaining vertices (V -1) and do the following:

- We will color the currently picked vertex with the help of lowest number color if and only if the same color is not used to color any of its adjacent vertices.
- If its adjacent vertices are using it, then we will select the next least numbered color.
- If we have already used all the previous colors, then a new color will be used to fill or assign to the currently picked vertex.

## UNIT-III

**Dynamic Programming:** General method, applications- Optimal binary search trees, 0/1 knapsack problem, All pairs shortest path problem, Traveling sales person problem, Reliability design.

### Optimal Binary Search Tree

We know the key values of each node in the tree, and we also know the frequencies of each node in terms of searching means how much time is required to search a node. The frequency and key-value determine the overall cost of searching a node. The cost of searching is a very important factor in various applications. The overall cost of searching a node should be less. The time required to search a node in BST is more than the balanced binary search tree as a balanced binary search tree contains a lesser number of levels than the BST. There is one way that can reduce the cost of a binary search tree is known as an **optimal binary search tree**. The Formula for calculating the number of trees:

$$\frac{2^n C_n}{n+1}$$

Dynamic Approach

Consider the below table, which contains the keys and frequencies.

	1	2	3	4
Keys →	10	20	30	40
Frequency →	4	2	6	3

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i \ j	0	1	2	3	4
0					
1					
2					
3					
4	32				



**FIRST, WE WILL CALCULATE THE VALUES WHERE J-I IS EQUAL TO ZERO.**

When  $i=0, j=0$ , then  $j-i$

$= 0$  When  $i = 1, j=1$ ,

then  $j-i = 0$  When  $i = 2$ ,

$j=2$ , then  $j-i = 0$  When  $i$

$= 3, j=3$ , then  $j-i = 0$

When  $i = 4, j=4$ , then

$j-i = 0$

Therefore,  $c[0, 0] = 0, c[1, 1] = 0, c[2,2] = 0, c[3,3] = 0, c[4,4] = 0$

**NOW WE WILL CALCULATE THE VALUES WHERE J-I EQUAL TO 1.**

When  $j=1, i=0$  then  $j-i = 1$

When  $j=2, i=1$  then

$j-i = 1$  When  $j=3, i=2$

then  $j-i = 1$  When

$j=4, i=3$  then  $j-i = 1$

Now to calculate the cost, we will consider only the  $j$ th value.

The cost of  $c[0,1]$  is 4 (The key is 10, and the cost corresponding to key 10 is

4). The cost of  $c[1,2]$  is 2 (The key is 20, and the cost corresponding to key 20 is

2). The cost of  $c[2,3]$  is 6 (The key is 30, and the cost corresponding to key 30 is

6). The cost of  $c[3,4]$  is 3 (The key is 40, and the cost corresponding to key 40 is

3)

	0	1	2	3	4
0	0	4			
1		0	2		
2			0	6	
3				0	3
4					0

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**NOW WE WILL CALCULATE THE VALUES WHERE  $J-I = 2$**

When  $j=2$ ,  $i=0$  then

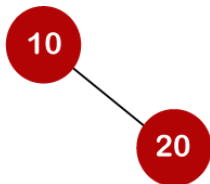
$j-i = 2$  When  $j=3$ ,  $i=1$

then  $j-i = 2$  When

$j=4$ ,  $i=2$  then  $j-i = 2$

In this case, we will consider two keys.

- When  $i=0$  and  $j=2$ , then keys 10 and 20. There are two possible trees that can be made out from these two keys shown below:



In the first binary tree, cost would be:  $4*1 + 2*2 = 8$

In the second binary tree, cost would be:  $4*2 + 2*1 = 10$

The minimum cost is 8; therefore,  $c[0,2] = 8$

	0	1	2	3	4
0	0	4	8		
1		0	2		
2			0	6	
3				0	3
4					0

- When  $i=1$  and  $j=3$ , then keys 20 and 30. There are two possible trees that can be made out from these two keys shown below:

In the first binary tree, cost would be:  $1*2 + 2*6 = 14$

In the second binary tree, cost would be:  $1*6 + 2*2 =$

10 The minimum cost is 10; therefore,  $c[1,3] = 10$

- When  $i=2$  and  $j=4$ , we will consider the keys at 3 and 4, i.e., 30 and 40. There are two possible trees that can be made out from these two keys shown as

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

below:

In the first binary tree, cost would be:  $1*6 + 2*3 = 12$

In the second binary tree, cost would be:  $1*3 + 2*6 =$

i \ j	0	1	2	3	4
0	0	4	8 <sup>1</sup>		
1		0	2	10 <sup>3</sup>	
2			0	6	12 <sup>3</sup>
3				0	3
4					0

The minimum cost is 12, therefore,  $c[2,4] = 12$

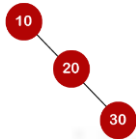
Now we will calculate the values when  $j-i$

$= 3$  When  $j=3, i=0$  then  $j-i = 3$

When  $j=4, i=1$  then  $j-i = 3$

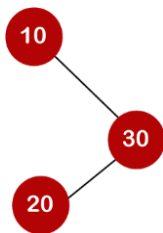
- When  $i=0, j=3$  then we will consider three keys, i.e., 10, 20, and 30.

The following are the trees that can be made if 10 is considered as a root node.



In the above tree, 10 is the root node, 20 is the right child of node 10, and 30 is the right child of node 20.

Cost would be:  $1*4 + 2*2 + 3*6 = 26$

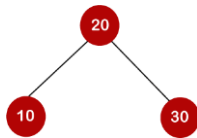


## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

In the above tree, 10 is the root node, 30 is the right child of node 10, and 20 is the left child of node 20.

Cost would be:  $1*4 + 2*6 + 3*2 = 22$

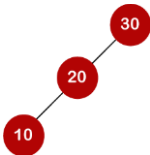
The following tree can be created if 20 is considered as the root node.



In the above tree, 20 is the root node, 30 is the right child of node 20, and 10 is the left child of node 20.

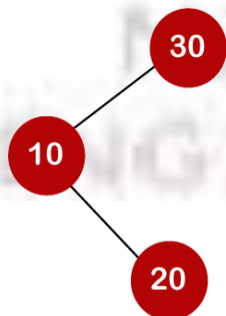
Cost would be:  $1*2 + 4*2 + 6*2 = 22$

The following are the trees that can be created if 30 is considered as the root node.



In the above tree, 30 is the root node, 20 is the left child of node 30, and 10 is the left child of node 20.

Cost would be:  $1*6 + 2*2 + 3*4 = 22$



In the above tree, 30 is the root node, 10 is the left child of node 30 and 20 is the right child of node 10.

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

Cost would be:  $1*6 + 2*4 + 3*2 = 20$

Therefore, the minimum cost is 20 which is the 3<sup>rd</sup> root. So,  $c[0,3]$  is equal to 20.

- When  $i=1$  and  $j=4$  then we will consider the keys 20,

30, 40  $c[1,4] = \min\{c[1,1] + c[2,4], c[1,2] + c[3,4], c[1,3] +$

$c[4,4]\} + 11$

$= \min\{0+12, 2+3, 10+0\} + 11$

$= \min\{12, 5, 10\} + 11$

The minimum value is 5; therefore,  $c[1,4] = 5+11 = 16$

i \ j	0	1	2	3	4
0	0	4	8 <sup>1</sup>	20 <sup>3</sup>	
1		0	2	10 <sup>3</sup>	16 <sup>3</sup>
2			0	6	12 <sup>3</sup>
3				0	3
4					0

NOW WE WILL CALCULATE THE VALUES WHEN  $J-I = 4$

When  $j=4$  and  $i=0$  then  $j-i = 4$

In this case, we will consider four keys, i.e., 10, 20, 30 and 40. The frequencies of 10, 20, 30 and 40 are 4, 2, 6 and 3 respectively.

$w[0, 4] = 4 + 2 + 6 + 3 = 15$

If we consider 10 as the root node

then  $C[0, 4] = \min\{c[0,0] +$

$c[1,4]\} + w[0,4]$

$\min\{0 + 16\} + 15 = 31$

If we consider 20 as the root node

then  $C[0,4] = \min\{c[0,1] + c[2,4]\}$

$+ w[0,4]$

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

$$= \min\{4 + 12\} + 15$$

$$= 16 + 15 = 31$$

If we consider 30 as the root node

$$\text{then, } C[0,4] = \min\{c[0,2] + c[3,4]\}$$

$$+ w[0,4]$$

$$= \min\{8 + 3\} + 15$$

$$= 26$$

If we consider 40 as the root node

$$\text{then, } C[0,4] = \min\{c[0,3] + c[4,4]\}$$

$$+ w[0,4]$$

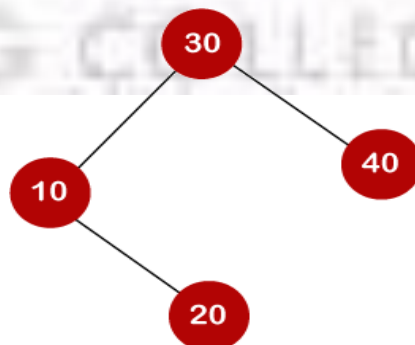
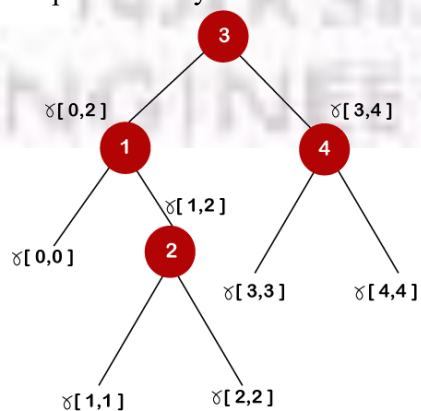
$$= \min\{20 + 0\} + 15$$

$$= 35$$

In the above cases, we have observed that 26 is the minimum cost; therefore,  $c[0,4]$  is equal to 26.

i \ j	0	1	2	3	4
0	0	4	8 <sup>1</sup>	20 <sup>3</sup>	26 <sup>3</sup>
1		0	2	10 <sup>3</sup>	16 <sup>3</sup>
2			0	6	12 <sup>3</sup>
3				0	3
4					0

The optimal binary tree can be created as:





## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

**GENERAL FORMULA FOR CALCULATING THE MINIMUM COST IS:**

$$C[i,j] = \min\{c[i, k-1] + c[k,j]\} + w(i,j)$$

ALGORITHM:

**Algorithm** OBST(p, q, n)

// e[1...n+1, 0...n] : Optimal sub tree

// w[1...n+1, 0...n] : Sum of probability

// root[1...n, 1...n] : Used to construct OBST

**for** i ← 1 to n + 1

DO

e[i, i - 1] ← q<sub>i</sub> - 1

w[i, i - 1] ← q<sub>i</sub> - 1

END

**for** m ← 1 to n **do**

**for** i ← 1 to n - m + 1 **do**

j ← i + m - 1

e[i, j] ← ∞

w[i, j] ← w[i, j - 1] + p<sub>j</sub> + q<sub>j</sub>

**for** r ← i to j **do**

t ← e[i, r - 1] + e[r + 1, j] + w[i, j]

**if** t < e[i, j] **then**

e[i, j] ← t

root[i, j] ← r

E

N

D

E

N

D

E

N

D

**end**

**return** (e, root)

### COMPLEXITY ANALYSIS OF OPTIMAL BINARY SEARCH TREE

It is very simple to derive the complexity of this approach from the above algorithm. It uses three nested loops. Statements in the innermost loop run in  $O(1)$  time. The running time of the algorithm is computed as

$$\begin{aligned} T(n) &= \sum_{m=1}^n \sum_{i=1}^{n-m+1} \sum_{j=i}^{n-i+1} O(1) \\ &= \sum_{m=1}^n \sum_{i=1}^{n-m+1} n = \sum_{m=1}^n n^2 \\ &= O(n^3) \end{aligned}$$

### 0/1 Knapsack problem

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

Here knapsack is like a container or a bag. Suppose we have given some items which have some weights or profits. We have to put some items in the knapsack in such a way total value produces a maximum profit.

For example, the weight of the container is 20 kg. We have to select the items in such a way that the sum of the weight of items should be either smaller than or equal to the weight of the container, and the profit should be maximum.

Consider the problem having weights and profits are:

Weights: {3, 4, 6, 5}

Profits: {2, 3, 1, 4}

The weight of the knapsack is

8 kg The number of items is 4

The above problem can be solved by using the following method:

$x_1 = \{1, 0, 0, 1\}$

$= \{0, 0, 0, 1\}$

$= \{0, 1, 0, 1\}$

The above are the possible combinations. 1 denotes that the item is completely picked and 0 means that no item is picked. Since there are 4 items so possible combinations will be:

**$2^4 = 16$** ; So. There are 16 possible combinations that can be made by using the above problem. Once all the combinations are made, we have to select the combination that provides the maximum profit.

Another approach to solve the problem is dynamic programming approach. In dynamic programming approach, the complicated problem is divided into sub-problems, then we find the solution of a sub-problem and the solution of the sub-problem will be used to find the solution of a complex problem.

First,

we create a matrix shown as below:

	0	1	2	3	4	5	6	7	8
0									
1									
2									
3									

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

4

In the above matrix, columns represent the weight, i.e., 8. The rows represent the profits and weights of items. Here we have not taken the weight 8 directly, problem is divided into sub-problems, i.e., 0, 1, 2, 3, 4, 5, 6, 7, 8. The solution of the sub-problems would be saved in the cells and answer to the problem would be stored in the final cell. First, we write the weights in the ascending order and profits according to their weights shown as below:

Start filling the table row wise top to bottom from left to right using the formula-

$$T(I, J) = \text{MAX} \{ T(I-1, J), \text{VALUE}_I + T(I-1, J - \text{WEIGHT}_I) \}$$

$$w_i = \{3, 4, 5, 6\}$$

$$P_i = \{2, 3, 4, 1\}$$

**The first row and the first column would be 0 as there is no item for  $w=0$**

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0								
2	0								
3	0								
4	0								

WHEN  $I=1, W=1$

$w_1 = 3$ ; Since we have only one item in the set having weight 3, but the capacity of the knapsack is 1. We cannot fill the item of 3kg in the knapsack of capacity 1 kg so add 0 at  $M[1][1]$  shown as below:

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0							
2	0								
3	0								
4	0								

WHEN  $I = 1, W = 2$

$w_1 = 3$ ; Since we have only one item in the set having weight 3, but the capacity of the knapsack is 2. We cannot fill the item of 3kg in the knapsack of capacity 2 kg so add 0 at  $M[1][2]$  shown as below:

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0						
2	0								
3	0								
4	0								

WHEN  $I=1, W=3$

$w_1 = 3$ ; Since we have only one item in the set having weight equal to 3, and weight of the knapsack is also 3; therefore, we can fill the knapsack with an item of weight equal to 3. We put profit corresponding to the weight 3, i.e., 2 at  $M[1][3]$  shown as below:

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	2					
2	0								
3	0								
4	0								

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

WHEN  $I=1$ ,  $W=4$

$w_1 = 3$ ; Since we have only one item in the set having weight equal to 3, and weight of the knapsack is 4; therefore, we can fill the knapsack with an item of weight equal to 3. We put profit corresponding to the weight 3, i.e., 2 at  $M[1][4]$  shown as below:

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	2	2				
2	0								
3	0								
4	0								

WHEN  $I=1$ ,  $W=5$

$w_1 = 3$ ; Since we have only one item in the set having weight equal to 3, and weight of the knapsack is 5; therefore, we can fill the knapsack with an item of weight equal to 3. We put profit corresponding to the weight 3, i.e., 2 at  $M[1][5]$  shown as below:

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	2	2	2			
2	0								
3	0								
4	0								

WHEN  $I=1$ ,  $W=6$

$w_1 = 3$ ; Since we have only one item in the set having weight equal to 3, and weight of the knapsack is 6; therefore, we can fill the knapsack with an item of weight equal to 3. We put profit corresponding to the weight 3, i.e., 2 at  $M[1][6]$  shown as below:



## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	2	2	2	2		
2	0								
3	0								
4	0								

WHEN  $I=1$ ,  $W = 7$

$w_1 = 3$ ; Since we have only one item in the set having weight equal to 3, and weight of the knapsack is 7; therefore, we can fill the knapsack with an item of weight equal to 3. We put profit corresponding to the weight 3, i.e., 2 at  $M[1][7]$  shown as below:

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	2	2	2	2	2	
2	0								
3	0								
4	0								

WHEN  $I=1$ ,  $W = 8$

$w_1 = 3$ ; Since we have only one item in the set having weight equal to 3, and weight of the knapsack is 8; therefore, we can fill the knapsack with an item of weight equal to 3. We put profit corresponding to the weight 3, i.e., 2 at  $M[1][8]$  shown as below:

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	2	2	2	2	2	2
2	0								
3	0								
4	0								

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

NOW THE VALUE OF 'I' GETS INCREMENTED, AND  
BECOMES 2.

WHEN  $I=2$ ,  $W = 1$

The weight corresponding to the value 2 is 4, i.e.,  $w_2 = 4$ . Since we have only one item in the set having weight equal to 4, and the weight of the knapsack is 1. We cannot put the item of weight 4 in a knapsack, so we add 0 at  $M[2][1]$  shown as below:

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	2	2	2	2	2	2
2	0	0							
3	0								
4	0								

WHEN  $I=2$ ,  $W = 2$

The weight corresponding to the value 2 is 4, i.e.,  $w_2 = 4$ . Since we have only one item in the set having weight equal to 4, and the weight of the knapsack is 2. We cannot put the item of weight 4 in a knapsack, so we add 0 at  $M[2][2]$  shown as below:

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	2	2	2	2	2	2
2	0	0	0						
3	0								

WHEN  $I=2$ ,  $W = 3$

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

The weight corresponding to the value 2 is 4, i.e.,  $w_2 = 4$ . Since we have two items in the set having weights 3 and 4, and the weight of the knapsack is 3. We can put the item of weight 3 in a knapsack, so we add 2 at  $M[2][3]$  shown as below:

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	2	2	2	2	2	2
2	0	0	0	2					
3	0								
4	0								

WHEN  $I=2$ ,  $W=4$

The weight corresponding to the value 2 is 4, i.e.,  $w_2 = 4$ . Since we have two items in the set having weights 3 and 4, and the weight of the knapsack is 4. We can put item of weight 4 in a knapsack as the profit corresponding to weight 4 is more than the item having weight 3, so we add 3 at  $M[2][4]$  shown as below:

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	2	2	2	2	2	2
2	0	0	0	2	3				
3	0								
4	0								

WHEN  $I=2$ ,  $W=5$

The weight corresponding to the value 2 is 4, i.e.,  $w_2 = 4$ . Since we have two items in the set having weights 3 and 4, and the weight of the knapsack is 5. We can put item of weight 4 in a knapsack and the profit corresponding to weight is 3, so we add 3 at  $M[2][5]$  shown as below:

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

1	0	0	0	2	2	2	2	2	2
2	0	0	0	2	3	3			
3	0								
4	0								

WHEN  $I = 2$ ,  $W = 6$

The weight corresponding to the value 2 is 4, i.e.,  $w_2 = 4$ . Since we have two items in the set having weights 3 and 4, and the weight of the knapsack is 6. We can put item of weight 4 in a knapsack and the profit corresponding to weight is 3, so we add 3 at  $M[2][6]$  shown as below:

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	2	2	2	2	2	2
2	0	0	0	2	3	3	3		
3	0								
4	0								

WHEN  $I = 2$ ,  $W = 7$

The weight corresponding to the value 2 is 4, i.e.,  $w_2 = 4$ . Since we have two items in the set having weights 3 and 4, and the weight of the knapsack is 7. We can put item of weight 4 and 3 in a knapsack and the profits corresponding to weights are 2 and 3; therefore, the total profit is 5, so we add 5 at  $M[2][7]$  shown as below:

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	2	2	2	2	2	2
2	0	0	0	0	3	3	3	5	
3	0								
4	0								

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

WHEN  $I = 2$ ,  $W = 8$

The weight corresponding to the value 2 is 4, i.e.,  $w_2 = 4$ . Since we have two items in the set having weights 3 and 4, and the weight of the knapsack is 7. We can put item of weight 4 and 3 in a knapsack and the profits corresponding to weights are 2 and 3; therefore, the total profit is 5, so we add 5 at  $M[2][7]$  shown as below:

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	2	2	2	2	2	2
2	0	0	0	2	3	3	3	5	5
3	0								
4	0								

NOW THE VALUE OF 'I' GETS INCREMENTED, AND

BECOMES 3.

WHEN  $I = 3$ ,  $W = 1$

The weight corresponding to the value 3 is 5, i.e.,  $w_3 = 5$ . Since we have three items in the set having weights 3, 4, and 5, and the weight of the knapsack is 1. We cannot put neither of the items in a knapsack, so we add 0 at  $M[3][1]$  shown as below:

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	2	2	2	2	2	2
2	0	0	0	2	3	3	3	5	5
3	0	0							

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

4    0

WHEN  $I = 3$ ,  $W = 2$

The weight corresponding to the value 3 is 5, i.e.,  $w_3 = 5$ . Since we have three items in the set having weight 3, 4, and 5, and the weight of the knapsack is 1. We cannot put neither of the items in a knapsack, so we add 0 at  $M[3][2]$  shown as below:

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	2	2	2	2	2	2
2	0	0	0	2	3	3	3	5	5
3	0	0	0						
4	0								

WHEN  $I = 3$ ,  $W = 3$

The weight corresponding to the value 3 is 5, i.e.,  $w_3 = 5$ . Since we have three items in the set of weight 3, 4, and 5 respectively and weight of the knapsack is 3. The item with a weight 3 can be put in the knapsack and the profit corresponding to the item is 2, so we add 2 at  $M[3][3]$  shown as below:

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	2	2	2	2	2	2
2	0	0	0	2	3	3	3	5	5
3	0	0	0	2					
4	0								

WHEN  $I = 3$ ,  $W = 4$

The weight corresponding to the value 3 is 5, i.e.,  $w_3 = 5$ . Since we have three items in the set of weight 3, 4, and 5 respectively, and weight of the knapsack is 4. We can keep the item of either weight 3 or 4; the profit (3) corresponding to the weight 4 is more than the profit corresponding to the weight 3 so we add 3 at  $M[3][4]$  shown as below:

	0	1	2	3	4	5	6	7	8
--	---	---	---	---	---	---	---	---	---



## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

0	0	0	0	0	0	0	0	0	0
1	0	0	0	2	2	2	2	2	2
2	0	0	0	2	3	3	3	5	5
3	0	0	0	1	3				
4	0								

WHEN  $I = 3$ ,  $W = 5$

The weight corresponding to the value 3 is 5, i.e.,  $w_3 = 5$ . Since we have three items in the set of weight 3, 4, and 5 respectively, and weight of the knapsack is 5. We can keep the item of either weight 3, 4 or 5; the profit (3) corresponding to the weight 4 is more than the profits corresponding to the weight 3 and 5 so we add 3 at  $M[3][5]$  shown as below:

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	2	2	2	2	2	2
2	0	0	0	2	3	3	3	5	5
3	0	0	0	1	3	3			
4	0								

WHEN  $I = 3$ ,  $W = 6$

The weight corresponding to the value 3 is 5, i.e.,  $w_3 = 5$ . Since we have three items in the set of weight 3, 4, and 5 respectively, and weight of the knapsack is 6. We can keep the item of either weight 3, 4 or 5; the profit (3) corresponding to the weight 4 is more than the profits corresponding to the weight 3 and 5 so we add 3 at  $M[3][6]$  shown as below:

0	1	2	3	4	5	6	7	8	
0	0	0	0	0	0	0	0	0	0
1	0	0	0	2	2	2	2	2	2
2	0	0	0	2	3	3	3	5	5

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

3    0    0    0    1    3    3    3  
4    0

WHEN  $I=3$ ,  $W = 7$

The weight corresponding to the value 3 is 5, i.e.,  $w_3 = 5$ . Since we have three items in the set of weight 3, 4, and 5 respectively, and weight of the knapsack is 7. In this case, we can keep both the items of weight 3 and 4, the sum of the profit would be equal to  $(2 + 3)$ , i.e., 5, so we add 5 at  $M[3][7]$  shown as below:

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	2	2	2	2	2	2
2	0	0	0	2	3	3	3	5	5
3	0	0	0	1	3	3	3	5	
4	0								

WHEN  $I = 3$ ,  $W = 8$

The weight corresponding to the value 3 is 5, i.e.,  $w_3 = 5$ . Since we have three items in the set of weight 3, 4, and 5 respectively, and the weight of the knapsack is 8. In this case, we can keep both the items of weight 3 and 4, the sum of the profit would be equal to  $(2 + 3)$ , i.e., 5, so we add 5 at  $M[3][8]$  shown as below:

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	2	2	2	2	2	2
2	0	0	0	2	3	3	3	5	5
3	0	0	0	1	3	3	3	5	5
4	0								

NOW THE VALUE OF 'I' GETS INCREMENTED AND BECOMES

4.

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

WHEN  $I = 4$ ,  $W = 1$

The weight corresponding to the value 4 is 6, i.e.,  $w_4 = 6$ . Since we have four items in the set of weights 3, 4, 5, and 6 respectively, and the weight of the knapsack is 1. The weight of all the items is more than the weight of the knapsack, so we cannot add any item in the knapsack; Therefore, we add 0 at  $M[4][1]$  shown as below:

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	2	2	2	2	2	2
2	0	0	0	2	3	3	3	5	5
3	0	0	0	1	3	3	3	5	5
4	0	0							

WHEN  $I = 4$ ,  $W = 2$

The weight corresponding to the value 4 is 6, i.e.,  $w_4 = 6$ . Since we have four items in the set of weights 3, 4, 5, and 6 respectively, and the weight of the knapsack is 2. The weight of all the items is more than the weight of the knapsack, so we cannot add any item in the knapsack; Therefore, we add 0 at  $M[4][2]$  shown as below:

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	2	2	2	2	2	2
2	0	0	0	2	3	3	3	5	5
3	0	0	0	1	3	3	3	5	5
4	0	0	0						

WHEN  $I = 4$ ,  $W = 3$

The weight corresponding to the value 4 is 6, i.e.,  $w_4 = 6$ . Since we have four items in the set of weights 3, 4, 5, and 6 respectively, and the weight of the knapsack is 3. The item with a weight 3 can be put in the knapsack and the profit corresponding to the weight 4 is 2, so we will add 2 at  $M[4][3]$  shown as below:

	0	1	2	3	4	5	6	7	8
--	---	---	---	---	---	---	---	---	---

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

0	0	0	0	0	0	0	0	0	0
1	0	0	0	2	2	2	2	2	2
2	0	0	0	2	3	3	3	5	5
3	0	0	0	1	3	3	3	5	5
4	0	0	0	2					

WHEN  $I = 4$ ,  $W = 4$

The weight corresponding to the value 4 is 6, i.e.,  $w_4 = 6$ . Since we have four items in the set of weights 3, 4, 5, and 6 respectively, and the weight of the knapsack is 4. The item with a weight 4 can be put in the knapsack and the profit corresponding to the weight 4 is 3, so we will add 3 at  $M[4][4]$  shown as below:

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	2	2	2	2	2	2
2	0	0	0	2	3	3	3	5	5
3	0	0	0	1	3	3	3	5	5
4	0	0	0	2	3				

WHEN  $I = 4$ ,  $W = 5$

The weight corresponding to the value 4 is 6, i.e.,  $w_4 = 6$ . Since we have four items in the set of weights 3, 4, 5, and 6 respectively, and the weight of the knapsack is 5. The item with a weight 4 can be put in the knapsack and the profit corresponding to the weight 4 is 3, so we will add 3 at  $M[4][5]$  shown as below:

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	2	2	2	2	2	2
2	0	0	0	2	3	3	3	5	5

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

3	0	0	0	1	3	3	3	5	5
4	0	0	0	2	3	3			

WHEN  $I = 4$ ,  $W = 6$

The weight corresponding to the value 4 is 6, i.e.,  $w_4 = 6$ . Since we have four items in the set of weights 3, 4, 5, and 6 respectively, and the weight of the knapsack is 6. In this case, we can put the items in the knapsack either of weight 3, 4, 5 or 6 but the profit, i.e., 4 corresponding to the weight 6 is highest among all the items; therefore, we add 4 at  $M[4][6]$  shown as below:

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	2	2	2	2	2	2
2	0	0	0	2	3	3	3	5	5
3	0	0	0	1	3	3	3	5	5
4	0	0	0	2	3	3	4		

WHEN  $I = 4$ ,  $W = 7$

The weight corresponding to the value 4 is 6, i.e.,  $w_4 = 6$ . Since we have four items in the set of weights 3, 4, 5, and 6 respectively, and the weight of the knapsack is 7. Here, if we add two items of weights 3 and 4 then it will produce the maximum profit, i.e.,  $(2 + 3)$  equals to 5, so we add 5 at  $M[4][7]$  shown as below:

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	2	2	2	2	2	2
2	0	0	0	2	3	3	3	5	5
3	0	0	0	2	3	3	3	5	5
4	0	0	0	2	3	3	4	5	

WHEN  $I = 4$ ,  $W = 8$

The weight corresponding to the value 4 is 6, i.e.,  $w_4 = 6$ . Since we have four items in the set of weights 3, 4, 5, and 6 respectively, and the weight of the knapsack is 8. Here, if we add two items of weights 3 and 4 then it will produce the maximum profit, i.e.,  $(2 + 3)$  equals to 5, so we add 5 at

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

M[4][8] shown as below:

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	2	2	2	2	2	2
2	0	0	0	2	3	3	3	5	5
3	0	0	0	2	3	3	3	5	5
4	0	0	0	2	3	3	4	5	5

As we can observe in the above table that 5 is the maximum profit among all the entries. The pointer points to the last row and the last column having 5 value. Now we will compare 5 value with the previous row; if the previous row, i.e.,  $i = 3$  contains the same value 5 then the pointer will shift upwards. Since the previous row contains the value 5 so the pointer will be shifted upwards as shown in the below table:

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	2	2	2	2	2	2
2	0	0	0	2	3	3	3	5	5
3	0	0	0	2	3	3	3	5	5
4	0	0	0	2	3	3	4	5	5

Again, we will compare the value 5 from the above row, i.e.,  $i = 2$ . Since the above row contains the value 5 so the pointer will again be shifted upwards as shown in the below table:

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	2	2	2	2	2	2
2	0	0	0	2	3	3	3	5	5
3	0	0	0	2	3	3	3	5	5
4	0	0	0	2	3	3	4	5	5

Again, we will compare the value 5 from the above row, i.e.,  $i = 1$ . Since the above row does not contain the same value so we will consider the row  $i=1$ , and the weight corresponding to the row



## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

is 4. Therefore, we have selected the weight 4 and we have rejected the weights 5 and 6 shown below:

$$X = \{1, 0, 0\}$$

The profit corresponding to the weight is 3. Therefore, the remaining profit is  $(5 - 3)$  equals to 2. Now we will compare this value 2 with the row  $i = 2$ . Since the row  $(i = 1)$  contains the value 2; therefore, the pointer shifted upwards shown below:

	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	2	2	2	2	2	2
2	0	0	0	2	3	3	3	5	5
3	0	0	0	2	3	3	3	5	5
4	0	0	0	2	3	3	4	5	5

Again we compare the value 2 with a above row, i.e.,  $i = 1$ . Since the row  $i = 0$  does not contain the value 2, so row  $i = 1$  will be selected and the weight corresponding to the  $i = 1$  is 3 shown below:

$$X = \{1, 1, 0, 0\}$$

The profit corresponding to the weight is 2. Therefore, the remaining profit is 0. We compare 0 value with the above row. Since the above row contains a 0 value but the profit corresponding to this row is 0. In this problem, two weights are selected, i.e., 3 and 4 to maximize the profit.

### ALGORITHM:

#### Algorithm KNAPSACK (V, W, M)

// Description: Solve binary knapsack problem using dynamic programming

// Input: Set of items X, set of weight W, profit of items V and knapsack capacity M

// Output: Array V, which holds the solution of problem

**for**  $i \leftarrow 1$  to  $n$  **do**

$V[i, 0] \leftarrow 0$

**END**

**for**  $i \leftarrow 1$  to  $M$  **do**

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

$V[0, i] \leftarrow 0$

END

for  $V[0, i] \leftarrow 0$  do

for  $j \leftarrow 0$  to  $M$  do

if  $w[i] \leq j$  then  $V[i, j] \leftarrow \max \{V[i-1, j], v[i] + V[i-1, j-w[i]]\}$

ELSE

$V[i, j] \leftarrow V[i-1, j] // w[i] > j$

E

ND

END

END

### Complexity analysis

Dynamic programming finds an optimal solution by constructing a table of size  $n \times M$ , where  $n$  is a number of items and  $M$  is the capacity of the knapsack. This table can be filled up in  $O(n \times m)$  time, same is the space complexity.

All Pairs Shortest Path (Floyd-Warshall) Algorithm

### ALL PAIRS SHORTEST PATH ALGORITHM – INTRODUCTION

All Pairs Shortest Path Algorithm is also known as the Floyd-Warshall algorithm. And this is an optimization problem that can be solved using dynamic programming.

Let  $G = \langle V, E \rangle$  be a directed graph, where  $V$  is a set of vertices and  $E$  is a set of edges with nonnegative length. Find the shortest path between each pair of nodes.

$L$  = Matrix, which gives the length of each edge

$L[i, j] = 0$ , if  $i = j$  // Distance of node from itself is zero

$L[i, j] = \infty$ , if  $i \neq j$  and  $(i, j) \notin E$

$L[i, j] = w(i, j)$ , if  $i \neq j$  and  $(i, j) \in E$  //  $w(i, j)$  is the weight of the edge  $(i, j)$

### PRINCIPLE OF OPTIMALITY :

If  $k$  is the node on the shortest path from  $i$  to  $j$ , then the path from  $i$  to  $k$  and  $k$  to  $j$ , must also be shortest.

In the following figure, the optimal path from  $i$  to  $j$  is either  $p$  or summation of  $p_1$  and  $p_2$ . While considering  $k^{\text{th}}$  vertex as intermediate vertex, there are two possibilities :

- If  $k$  is not part of shortest path from  $i$  to  $j$ , we keep the distance  $D[i, j]$  as it is.

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

- If k is part of shortest path from i to j, update distance  
 $D[i, j] \leftarrow D[i, k] + D[k, j]$ .

Optimal sub structure of the problem is given as :

$$D^k[i, j] = \min \{ D^{k-1}[i, j], D^{k-1}[i, k] + D^{k-1}[k, j] \}$$

$D^k$  = Distance matrix after  $k^{\text{th}}$  iteration

### ALGORITHM FOR ALL PAIRS SHORTEST PATH

This approach is also known as the **Floyd-warshall** shortest path algorithm. The algorithm for all pair shortest path (APSP) problem is described below

#### Algorithm FLOYD\_APSP ( L )

// L is the matrix of size  $n \times n$  representing original graph

// D is the distance matrix

$D \leftarrow L$

**for**  $k \leftarrow 1$  to  $n$  **do**

**for**  $i \leftarrow 1$  to  $n$  **do**

**for**  $j \leftarrow 1$  to  $n$  **do**

$D[i, j]^k \leftarrow \min ( D[i, j]^{k-1}, D[i, k]^{k-1} + D[k, j]^{k-1} )$

**end**

**end**

### COMPLEXITY ANALYSIS OF ALL PAIRS SHORTEST PATH ALGORITHM

It is very simple to derive the complexity of all pairs' shortest path problem from the above algorithm. It uses three nested loops. The innermost loop has only one statement. The complexity of that statement is  $O(1)$ .

The running time of the algorithm is computed as :

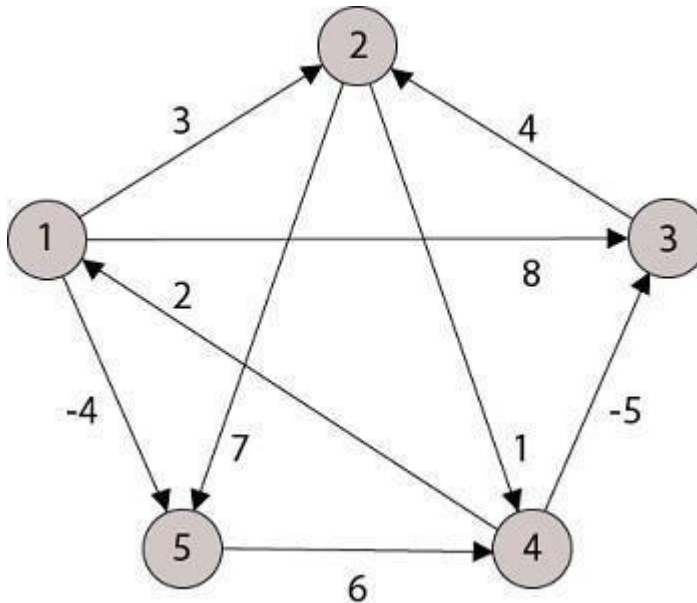
$$T(n) = \sum_{k=1}^n \sum_{i=1}^n \sum_{j=1}^n O(1) = \sum_{k=1}^n \sum_{i=1}^n n = \sum_{k=1}^n n^2 = O(n^3)$$

A recursive definition is given by

$$d_{ij}^{(k)} = \begin{cases} w_{ij} & \text{if } k=0 \\ \min (d_{ij}^{(k-1)}, d_{ik}^{(k-1)} + d_{kj}^{(k-1)}) & \text{if } k \geq 1 \end{cases}$$

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

**Example:** Apply Floyd-Warshall algorithm for constructing the shortest path. Show that matrices  $D^{(k)}$  and  $\pi^{(k)}$  computed by the Floyd-Warshall algorithm for the graph.



**SOLUTION:**

$$d_{ij}^{(k)} = \min (d_{ij}^{(k-1)}, d_{ik}^{(k-1)} + d_{kj}^{(k-1)})$$

$$\pi_{ij}^{(k)} = \begin{cases} \pi_{ij}^{(k-1)} & \text{if } d_{ij}^{(k-1)} \leq d_{ik}^{(k-1)} + d_{kj}^{(k-1)} \\ \pi_{kj}^{(k-1)} & \text{if } d_{ij}^{(k-1)} > d_{ik}^{(k-1)} + d_{kj}^{(k-1)} \end{cases}$$

**Step (i)** When  $k = 0$

$D^{(0)} = 0$	3	8	$\infty$	-4	$\pi^{(0)} = \text{NIL}$	1	1	NIL	1
$\infty$	0	$\infty$	1	7	NIL	NIL	NIL	2	2
$\infty$	4	0	-5	$\infty$	NIL	3	NIL	3	NIL
2	$\infty$	$\infty$	0	$\infty$	4	NIL	NIL	NIL	NIL
$\infty$	$\infty$	$\infty$	6	0	NIL	NIL	NIL	5	NIL

**(ii)** When  $k = 1$

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

$$d_{ij}^{(k)} = \min (d_{ij}^{(k-1)}, d_{ik}^{(k-1)} + d_{kj}^{(k-1)})$$

$$d_{14}^{(1)} = \min (d_{14}^{(0)}, d_{11}^{(0)} + d_{14}^{(0)})$$

$$d_{14}^{(1)} = \min (\infty, 0 + \infty) = \infty$$

$$d_{15}^{(1)} = \min (d_{15}^{(0)}, d_{11}^{(0)} + d_{15}^{(0)})$$

$$d_{15}^{(1)} = \min (-4, 0 + -4) = -4$$

$$d_{21}^{(1)} = \min (d_{21}^{(0)}, d_{21}^{(0)} + d_{11}^{(0)})$$

$$d_{21}^{(1)} = \min (\infty, \infty + 0) = \infty$$

$$d_{23}^{(1)} = \min (d_{23}^{(0)}, d_{21}^{(0)} + d_{13}^{(0)})$$

$$d_{23}^{(1)} = \min ((\infty, \infty + 8) = \infty$$

$$d_{31}^{(1)} = \min (d_{31}^{(0)}, d_{31}^{(0)} + d_{11}^{(0)})$$

$$d_{31}^{(1)} = \min (\infty, \infty + 0) = \infty$$

$D_{ij}^{(1)} =$	0	3	8	$\infty$	-4	$\pi^{(1)} =$	NIL	1	1	NIL	1
	$\infty$	0	$\infty$	1	7		NIL	NIL	NIL	2	2
	$\infty$	4	0	-5	$\infty$		NIL	3	NIL	3	NIL
	2	5	10	0	-2		4	1	1	NIL	1
	$\infty$	$\infty$	$\infty$	6	0		NIL	NIL	NIL	5	NIL

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

**Step (iii)** When  $k = 2$

$$d_{ij}^{(k)} = \min (d_{ij}^{(k-1)}, d_{ik}^{(k-1)} + d_{kj}^{(k-1)})$$

$$d_{14}^{(2)} = \min (d_{14}^{(1)}, d_{12}^{(1)} + d_{24}^{(1)})$$

$$d_{14}^{(2)} = \min (\infty, 3 + 1) = 4$$

$$d_{21}^{(2)} = \min (d_{21}^{(1)}, d_{22}^{(1)} + d_{21}^{(1)})$$

$$d_{21}^{(2)} = \min (\infty, 0 + \infty) = \infty$$

$$d_{34}^{(2)} = \min (d_{34}^{(1)}, d_{32}^{(1)} + d_{24}^{(1)})$$

$$d_{34}^{(2)} = \min (-5, 4 + 1) = -5$$

$$d_{35}^{(2)} = \min (d_{35}^{(1)}, d_{32}^{(1)} + d_{25}^{(1)})$$

$$d_{35}^{(2)} = \min (\infty, 4 + 7) = 11$$

$$d_{43}^{(2)} = \min (d_{43}^{(1)}, d_{42}^{(1)} + d_{23}^{(1)})$$

$$d_{43}^{(2)} = \min (10, 5 + \infty) = 10$$

$D_{ij}^{(2)} =$	0	3	8	4	-4	$\pi^{(2)} =$	NIL	1	1	2	1
	$\infty$	0	$\infty$	1	7		NIL	NIL	NIL	2	2
	$\infty$	4	0	-5	11		NIL	3	NIL	3	2
	2	5	10	0	-2		4	1	1	NIL	1
	$\infty$	$\infty$	$\infty$	6	0		NIL	NIL	NIL	5	NIL

**Step (iv)** When  $k = 3$

$$d_{ij}^{(k)} = \min (d_{ij}^{(k-1)}, d_{ik}^{(k-1)} + d_{kj}^{(k-1)})$$

$$d_{14}^{(3)} = \min (d_{14}^{(2)}, d_{13}^{(2)} + d_{34}^{(2)})$$

$$d_{14}^{(3)} = \min (4, 8 + (-5)) = 3$$

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

$D_{ij}^{(3)} =$	0	3	8	3	-4	$\pi^{(3)} =$	NIL	1	1	3	1
	$\infty$	0	$\infty$	1	7		NIL	NIL	NIL	2	2
	$\infty$	4	0	-5	11		NIL	3	NIL	3	2
	2	5	10	0	-2		4	1	1	NIL	1
	$\infty$	$\infty$	$\infty$	6	0		NIL	NIL	NIL	5	NIL

**Step (v)** When  $k = 4$

$$d_{ij}^{(k)} = \min (d_{ij}^{(k-1)}, d_{ik}^{(k-1)} + d_{kj}^{(k-1)})$$

$$d_{21}^{(4)} = \min (d_{21}^{(3)}, d_{24}^{(3)} + d_{41}^{(3)})$$

$$d_{21}^{(4)} = \min (\infty, 1 + 2) = 3$$

$$d_{23}^{(4)} = \min (d_{23}^{(3)}, d_{24}^{(3)} + d_{43}^{(3)})$$

$$d_{23}^{(4)} = \min (\infty, 1 + 10) = 11$$

$$d_{25}^{(4)} = \min (d_{25}^{(3)}, d_{24}^{(3)} + d_{45}^{(3)})$$

$$d_{25}^{(4)} = \min (7, 1 + (-2)) = -1$$

$$d_{31}^{(4)} = \min (d_{31}^{(3)}, d_{34}^{(3)} + d_{41}^{(3)})$$

$$d_{31}^{(4)} = \min (\infty, -5 + 2) = -3$$

$$d_{32}^{(4)} = \min (d_{32}^{(3)}, d_{34}^{(3)} + d_{42}^{(3)})$$

$$d_{32}^{(4)} = \min (4, -5 + 5) = 0$$

$D_{ij}^{(4)} =$	0	3	8	3	-4	$\pi^{(4)} =$	NIL	1	1	3	1
	3	0	11	1	-1		4	NIL	4	2	2
	-3	0	0	-5	-7		4	4	NIL	3	4
	2	5	10	0	-2		4	1	1	NIL	1
	8	11	16	6	0		4	4	4	5	NIL



**Step (vi)** When  $k = 5$

$$d_{ij}^{(k)} = \min (d_{ij}^{(k-1)}, d_{ik}^{(k-1)} + d_{kj}^{(k-1)})$$

$$d_{25}^{(5)} = \min (d_{25}^{(4)}, d_{25}^{(4)} + d_{55}^{(3)})$$

$$d_{25}^{(5)} = \min (-1, -1 + 0) = -1$$

$$d_{23}^{(5)} = \min (d_{23}^{(4)}, d_{25}^{(4)} + d_{53}^{(3)})$$

$$d_{23}^{(5)} = \min (11, -1 + 16) = 11$$

$$d_{35}^{(5)} = \min (d_{35}^{(4)}, d_{35}^{(4)} + d_{55}^{(3)})$$

$$d_{35}^{(5)} = \min (-7, -7 + 0) = -7$$

$D_{ij}^{(5)} =$	0	3	8	3	-4	$\pi^{(5)} =$	NIL	1	1	5	1
	3	0	11	1	-1		4	NIL	4	2	4
	-3	0	0	-5	-7		4	4	NIL	3	4
	2	5	10	0	-2		4	1	1	NIL	1
	8	11	16	6	0		4	4	4	5	NIL

Traveling Salesman Problem – Solve it using Dynamic Programming

BY CODECRUCKS · 09/12/2021

Traveling salesman problem (TSP) is the well studied and well-explored problem of computer science. Due to its application in diverse fields, TSP has been one of the most interesting problems for researchers and mathematicians.

## TRAVELING SALESMAN PROBLEM – DESCRIPTION

- Traveling salesman problem is stated as, “Given a set of  $n$  cities and distance between each pair of cities, find the minimum length path such that it covers each city exactly once and terminates the tour at starting city.”
- It is not difficult to show that this problem is NP complete problem. There exists  $n!$

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paths, a search of the optimal path becomes very slow when  $n$  is considerably large.

- Each edge  $(u, v)$  in TSP graph is assigned some non-negative weight, which represents the distance between city  $u$  and  $v$ . This problem can be solved by finding the Hamiltonian cycle of the graph.
  - The distance between cities is best described by the weighted graph, where edge  $(u, v)$  indicates the path from city  $u$  to  $v$  and  $w(u, v)$  represents the distance between cities  $u$  and  $v$ .
  - Let us formulate the solution of TSP using dynamic programming.
- From following figure,  $d[i, j] = \min(d[i, j], d[i, k] + d[k, j])$
  - Dynamic programming always selects the path which is minimum.

### ALGORITHM FOR TRAVELING SALESMAN PROBLEM:

#### Step 1:

Let  $d[i, j]$  indicates the distance between cities  $i$  and  $j$ . Function  $C[x, V - \{x\}]$  is the cost of the path starting from city  $x$ .  $V$  is the set of cities/vertices in given graph. The aim of TSP is to minimize the cost function.

#### Step 2:

Assume that graph contains  $n$  vertices  $V_1, V_2, \dots, V_n$ . TSP finds a path covering all vertices exactly once, and the same time it tries to minimize the overall traveling distance.

#### Step 3:

Mathematical formula to find minimum distance is stated below:  $C(i, V) = \min \{ d[i, j] + C(j, V - \{j\}) \}, j \in V \text{ and } i \notin V$ .

TSP problem possesses the principle of optimality, i.e. for  $d[V_1, V_n]$  to be minimum, any

### Complexity Analysis of Traveling salesman problem

Dynamic programming creates  $n \cdot 2^n$  subproblems for  $n$  cities. Each sub-problem can be solved in linear time. Thus the time complexity of TSP using dynamic programming would be  $O(n^2 2^n)$ . It is much less than  $n!$  but still, it is an exponent. Space complexity is also exponential.

### EXAMPLE

**Problem:** Solve the traveling salesman problem with the associated cost adjacency matrix using dynamic programming.

–	24	11	10	9
8	–	2	5	11

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26	12	–	8	7
11	23	24	–	6
5	4	8	11	–

### SOLUTION:

Let us start our tour from city 1.

**Step 1:** Initially, we will find the distance between city 1 and city {2, 3, 4, 5} without visiting any intermediate city.

Cost(x, y, z) represents the distance from x to z and y as an intermediate

$$\text{city. Cost}(2, \Phi, 1) = d[2, 1] = 24$$

$$\text{Cost}(3, \Phi, 1) = d[3, 1] = 11$$

$$\text{Cost}(4, \Phi, 1) = d[4, 1] = 10$$

$$\text{Cost}(5, \Phi, 1) = d[5, 1] = 9$$

**Step 2:** In this step, we will find the minimum distance by visiting 1 city as intermediate city.

$$\text{Cost}\{2, \{3\}, 1\} = d[2, 3] + \text{Cost}(3, f, 1)$$

$$= 2 + 11 = 13$$

$$\text{Cost}\{2, \{4\}, 1\} = d[2, 4] + \text{Cost}(4, f, 1)$$

$$= 5 + 10 = 15$$

$$\text{Cost}\{2, \{5\}, 1\} = d[2, 5] + \text{Cost}(5, f, 1)$$

$$= 11 + 9 = 20$$

$$\text{Cost}\{3, \{2\}, 1\} = d[3, 2] + \text{Cost}(2, f, 1)$$

$$= 12 + 24 = 36$$

$$\text{Cost}\{3, \{4\}, 1\} = d[3, 4] + \text{Cost}(4, f, 1)$$

$$= 8 + 10 = 18$$

$$\text{Cost}\{3, \{5\}, 1\} = d[3, 5] + \text{Cost}(5, f, 1)$$

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$$= 7 + 9 = 16$$

$$\text{Cost}\{4, \{2\}, 1\} = d[4, 2] + \text{Cost}(2, f, 1)$$

$$= 23 + 24 = 47$$

$$\text{Cost}\{4, \{3\}, 1\} = d[4, 3] + \text{Cost}(3, f, 1)$$

$$= 24 + 11 = 35$$

$$\text{Cost}\{4, \{5\}, 1\} = d[4, 5] + \text{Cost}(5, f, 1)$$

$$= 6 + 9 = 15$$

$$\text{Cost}\{5, \{2\}, 1\} = d[5, 2] + \text{Cost}(2, f, 1)$$

$$= 4 + 24 = 28$$

$$\text{Cost}\{5, \{3\}, 1\} = d[5, 3] + \text{Cost}(3, f, 1)$$

$$= 8 + 11 = 19$$

$$\text{Cost}\{5, \{4\}, 1\} = d[5, 4] + \text{Cost}(4, f, 1)$$

$$= 11 + 10 = 21$$

**Step 3:** In this step, we will find the minimum distance by visiting 2 cities as intermediate city.  $\text{Cost}(2, \{3, 4\}, 1) = \min \{ d[2, 3] + \text{Cost}(3, \{4\}, 1), d[2, 4] + \text{Cost}(4, \{3\}, 1) \}$

$$= \min \{ [2 + 18], [5 + 35] \}$$

$$= \min\{20, 40\} = 20$$

$$\text{Cost}(2, \{4, 5\}, 1) = \min \{ d[2, 4] + \text{Cost}(4, \{5\}, 1), d[2, 5] + \text{Cost}(5, \{4\}, 1) \}$$

$$= \min \{ [5 + 15], [11 + 21] \}$$

$$= \min\{20, 32\} = 20$$

$$\text{Cost}(2, \{3, 5\}, 1) = \min \{ d[2, 3] + \text{Cost}(3, \{5\}, 1), d[2, 5] + \text{Cost}(5, \{3\}, 1) \}$$

$$= \min \{ [2 + 18], [5 + 35] \}$$

$$= \min\{20, 40\} = 20$$

$$\text{Cost}(3, \{2, 4\}, 1) = \min \{ d[3, 2] + \text{Cost}(2, \{4\}, 1), d[3, 4] + \text{Cost}(4, \{2\}, 1) \}$$

$$= \min \{ [12 + 15], [8 + 47] \}$$

$$= \min\{27, 55\} = 27$$

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

$$\begin{aligned}\text{Cost}(3, \{4, 5\}, 1) &= \min \{ d[3, 4] + \text{Cost}(4, \{5\}, 1), d[3, 5] + \text{Cost}(5, \{4\}, 1) \} \\ &= \min \{ [8 + 15], [7 + 21] \}\end{aligned}$$

$$= \min\{23, 28\} = 23$$

$$\begin{aligned}\text{Cost}(3, \{2, 5\}, 1) &= \min \{ d[3, 2] + \text{Cost}(2, \{5\}, 1), d[3, 5] + \text{Cost}(5, \{2\}, 1) \} \\ &= \min \{ [12 + 20], [7 + 28] \}\end{aligned}$$

$$= \min\{32, 35\} = 32$$

$$\begin{aligned}\text{Cost}(4, \{2, 3\}, 1) &= \min \{ d[4, 2] + \text{Cost}(2, \{3\}, 1), d[4, 3] + \text{Cost}(3, \{2\}, 1) \} \\ &= \min \{ [23 + 13], [24 + 36] \}\end{aligned}$$

$$= \min\{36, 60\} = 36$$

$$\begin{aligned}\text{Cost}(4, \{3, 5\}, 1) &= \min \{ d[4, 3] + \text{Cost}(3, \{5\}, 1), d[4, 5] + \text{Cost}(5, \{3\}, 1) \} \\ &= \min \{ [24 + 16], [6 + 19] \}\end{aligned}$$

$$= \min\{40, 25\} = 25$$

$$\begin{aligned}\text{Cost}(4, \{2, 5\}, 1) &= \min \{ d[4, 2] + \text{Cost}(2, \{5\}, 1), d[4, 5] + \text{Cost}(5, \{2\}, 1) \} \\ &= \min \{ [23 + 20], [6 + 28] \}\end{aligned}$$

$$= \min\{43, 34\} = 34$$

$$\begin{aligned}\text{Cost}(5, \{2, 3\}, 1) &= \min \{ d[5, 2] + \text{Cost}(2, \{3\}, 1), d[5, 3] + \text{Cost}(3, \{2\}, 1) \} \\ &= \min \{ [4 + 13], [8 + 36] \}\end{aligned}$$

$$= \min\{17, 44\} = 17$$

$$\begin{aligned}\text{Cost}(5, \{3, 4\}, 1) &= \min \{ d[5, 3] + \text{Cost}(3, \{4\}, 1), d[5, 4] + \text{Cost}(4, \{3\}, 1) \} \\ &= \min \{ [8 + 18], [11 + 35] \}\end{aligned}$$

$$= \min\{26, 46\} = 26$$

$$\begin{aligned}\text{Cost}(5, \{2, 4\}, 1) &= \min \{ d[5, 2] + \text{Cost}(2, \{4\}, 1), d[5, 4] + \text{Cost}(4, \{2\}, 1) \} \\ &= \min \{ [4 + 15], [11 + 47] \}\end{aligned}$$

$$= \min\{19, 58\} = 19$$

**Step 4 :** In this step, we will find the minimum distance by visiting 3 cities as intermediate city.

$$\begin{aligned}\text{Cost}(2, \{3, 4, 5\}, 1) &= \min \{ d[2, 3] + \text{Cost}(3, \{4, 5\}, 1), d[2, 4] + \text{Cost}(4, \{3, 5\}, 1), d[2, 5] + \\ &\text{Cost}(5, \{3, 4\}, 1) \}\end{aligned}$$

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$$= \min \{ 2 + 23, 5 + 25, 11 + 36 \}$$
$$= \min \{ 25, 30, 47 \} = 25$$

$$\text{Cost}(3, \{2, 4, 5\}, 1) = \min \{ d[3, 2] + \text{Cost}(2, \{4, 5\}, 1), d[3, 4] + \text{Cost}(4, \{2, 5\}, 1), d[3, 5] + \text{Cost}(5, \{2, 4\}, 1) \}$$

$$= \min \{ 12 + 20, 8 + 34, 7 + 19 \}$$

$$= \min \{ 32, 42, 26 \} = 26$$

$$\text{Cost}(4, \{2, 3, 5\}, 1) = \min \{ d[4, 2] + \text{Cost}(2, \{3, 5\}, 1), d[4, 3] + \text{Cost}(3, \{2, 5\}, 1), d[4, 5] + \text{Cost}(5, \{2, 3\}, 1) \}$$

$$= \min \{ 23 + 30, 24 + 32, 6 + 17 \}$$

$$= \min \{ 53, 56, 23 \} = 23$$

$$\text{Cost}(5, \{2, 3, 4\}, 1) = \min \{ d[5, 2] + \text{Cost}(2, \{3, 4\}, 1), d[5, 3] + \text{Cost}(3, \{2, 4\}, 1), d[5, 4] + \text{Cost}(4, \{2, 3\}, 1) \}$$

$$= \min \{ 4 + 30, 8 + 27, 11 + 36 \}$$

$$= \min \{ 34, 35, 47 \} = 34$$

**Step 5 :** In this step, we will find the minimum distance by visiting 4 cities as an intermediate city.

$$\text{Cost}(1, \{2, 3, 4, 5\}, 1) = \min \{ d[1, 2] + \text{Cost}(2, \{3, 4, 5\}, 1), d[1, 3] + \text{Cost}(3, \{2, 4, 5\}, 1), d[1, 4] + \text{Cost}(4, \{2, 3, 5\}, 1), d[1, 5] + \text{Cost}(5, \{2, 3, 4\}, 1) \}$$

$$= \min \{ 24 + 25, 11 + 26, 10 + 23, 9 + 34 \}$$

$$= \min \{ 49, 37, 33, 43 \} = 33$$

Thus, minimum length tour would be of 33.

### TRACE THE PATH:

- Let us find the path that gives the distance of 33.
- $\text{Cost}(1, \{2, 3, 4, 5\}, 1)$  is minimum due to  $d[1, 4]$ , so move from 1 to 4. Path = {1, 4}.
- $\text{Cost}(4, \{2, 3, 5\}, 1)$  is minimum due to  $d[4, 5]$ , so move from 4 to 5. Path = {1, 4, 5}.
- $\text{Cost}(5, \{2, 3\}, 1)$  is minimum due to  $d[5, 2]$ , so move from 5 to 2. Path = {1, 4, 5, 2}.
- $\text{Cost}(2, \{3\}, 1)$  is minimum due to  $d[2, 3]$ , so move from 2 to 3. Path = {1, 4, 5, 2, 3}. All cities are visited so come back to 1. Hence the optimum tour would be 1 – 4 – 5 – 2 – 3 – 1.

### RELIABILITY DESIGN:

Reliability means the ability of an apparatus, machine, or system to consistently perform its

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intended or required function or mission, on demand and without degradation or failure.

Reliability design using dynamic programming is used to solve a problem with a multiplicative optimization function. The problem is to design a system which is composed of several devices connected in series (below Fig-3.3(b)). Let  $r_i$  be the reliability of device  $D_i$ ; (i.e.  $r_i$  is the probability that device  $i$  will function properly). Then, the reliability of the entire system is  $\prod r_i$ . Even if the individual devices are very reliable (the  $r_i$ 's are very close to one), the reliability of the system may not be very good.



Fig-3.3(a) Devices connected in series

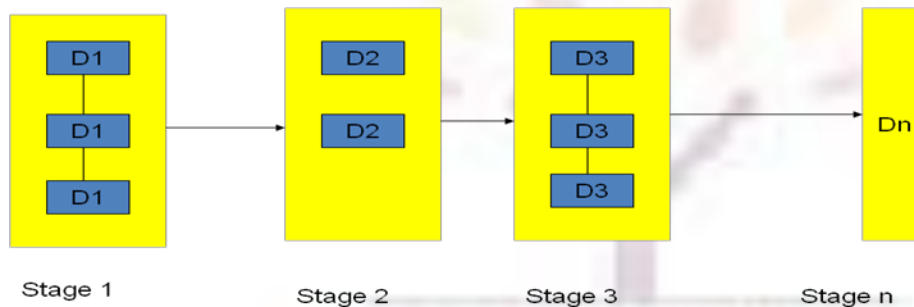


Fig-3.3(b) Multiple Devices Connected in Parallel in each stage

Multiple copies of the same device type are connected in parallel (Fig-3.3(b)) through the use of switching circuits. The switching circuits determine which devices in any given group are functioning properly. They then make use of one such device at each stage.

If stage  $i$  contains  $m_i$  copies of device  $D_i$  then the probability that all  $m_i$  have a malfunction is  $(1 - r_i)^{m_i}$ . Hence the reliability of stage  $i$  becomes  $1 - (1 - r_i)^{m_i}$ . Thus, if  $r_i = 0.99$  and  $m_i = 2$  the stage reliability becomes 0.9999. In any practical situation, the stage reliability will be a little

less than  $1 - (1 - r_i)^{m_i}$  because the switching circuits themselves are not fully reliable. Also, failures of copies of the same device may not be fully independent (e.g. if failure is due to design defect). Let

us assume that the reliability of stage  $i$  is actually given by a function  $\Phi_i(m_i)$ ,  $1 \leq i \leq n$ . (It is quite conceivable that  $\Phi_i(m_i)$  may decrease after a certain value of  $m_i$ ). The reliability of the system of stages is  $\prod_{i=1}^n \Phi_i(m_i)$ .

Our problem is to use device duplication to maximize reliability. This maximization is to be carried out under a cost constraint.

Let  $c_i$  be the cost of each unit of device  $i$  and let  $c$  be the maximum allowable cost of the system being



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designed.

We wish to solve the following maximization problem:

maximize  $\prod_{1 \leq i \leq n} \Phi_i(m_i)$

subject to  $\sum_{1 \leq i \leq n} c_i m_i \leq C$  and integer  $i, 1 \leq i \leq n$

A dynamic programming solution may be obtained in a manner similar to that used for the knapsack problem. Since, we may assume each  $c_i > 0$ , each  $m_i$  must be in the range  $1 \leq m_i \leq u_i$  where

$$u_i = \lfloor (C + C_i - \sum_{1 \leq j \leq i-1} C_j) / C_i \rfloor$$

The upper bound  $u_i$  follows from the observation that  $m_j \geq 1$ . An optimal solution  $m_1, m_2, \dots,$

$m_n$  is the result of a sequence of decisions, one decision for each  $m_i$ .

Let  $f_i(x)$  represent the maximum value of  $\Phi(m_j), 1 \leq j \leq i$  subject to the constraints  $\sum_{1 \leq j \leq i} c_j m_j \leq x$  and  $1 \leq m_j \leq u_j, 1 \leq j \leq i$ . Then, the value of an optimal solution is  $f_n(C)$ . The last decision made requires one to choose  $m_n$  from one of  $\{1, 2, 3, \dots, u_n\}$ . Once a value for  $m_n$  has been chosen, the remaining decisions must be such as to use the remaining funds  $C - c_n m_n$  in an optimal way. The principle of optimality holds and

$$f_n(C) = \max_{1 \leq m_n \leq u_n} \{ \Phi_n(m_n) f_{n-1}(C - c_n m_n) \}$$

For any  $f_i(x), i \geq 1$  this equation generalizes to

$$f_i(X) = \max_{1 \leq m_i \leq u_i} \{ \Phi_i(m_i) f_{i-1}(X - c_i m_i) \}$$

$$f_{i-1}(C - c_i m_i)$$

### PROBLEMS BASED ON RELIABILITY

#### DESIGN:

Q.1 Design a three stage system with device types D1, D2, D3. The costs are Rs. 30, Rs. 15 and Rs. 20 respectively. The cost of the system is to be no more than Rs. 105. The reliability of each device type is 0.9, 0.8 and 0.5 respectively.

#### SOLUTION:

We will first compute  $u_1, u_2, u_3$  using following formula.  $u_i = (C + C_i - \sum_{j=1}^{i-1} C_j) / C_i$

For computing  $u_i$

$$u_1 = 2 \text{ (approx value)}$$

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For computing  $u_2$

$$u_2 = 3(\text{approx value})$$

For computing  $u_3$

$$u_3 = 3$$

Hence  $(u_1, u_2, u_3)$

Computing

subsequences-

$$S^0 = (1, 0)$$

Let  $S_i$  consist of tuples of the form  $(f, x)$

$$=(r, c)S^0 = \{(1, 0)\}$$

For device  $D_1$

for 1  $D_1$

$$r_1=0.9, c_1=30$$

$$1 \ S^1 = \{(0.9, 30)\}$$

For device  $D_1$  for 2  $D_1$

$m_1=2$ (2  $D_1$  device in

parallel) Reliability of stage

$$1 = 1 - (1 - r_1)^2$$

$$\text{Reliability of stage 1} = 1 - (1 - 0.9)^2 =$$

$$0.99 \text{ Cost} = 30 * 2 = 60$$

$$2 \ S^1 = \{(0.99, 60)\}$$

$$S^1 = \{(0.9, 30), (0.99, 60)\}$$

$$S^1 = \{(0.9, 30), (0.99, 60)\}$$

For one Device  $D_2$ :-

$$S_1 = \{(0.72, 45), (0.792, 75)\}$$

For two Device  $D_2$ :-

$$2 \ S^2 = \{(0.864, 60), (0.9504, 90)\}$$

For three Device  $D_2$ :-

$$3 \ S^2 = \{(0.8928, 75), (0.98208, 105)\}$$

$$S^2 = \{(0.72, 45), (0.792, 75), (0.864, 60), (0.9504, 90), (0.8928, 75), (0.98208, 105)\}$$

$(0.792, 75), (0.9504, 90)$  is eliminated due to purging or dominance rule and  $(0.98208, 105)$  is eliminated due to access cost 105.

After this we got

$$S^2 = \{(0.72, 45), (0.864, 60), (0.8928, 75)\}$$

For one Device  $D_3$ :-

$$S_1 = \{(0.36, 65), (0.432, 80), (0.4464, 95)\}$$

For Two Device  $D_3$ :-

$$S_2 = \{(0.54, 85), (0.648, 100)\}$$

FOR THREE DEVICE  $D_3$ :-

$$S^3 = \{(0.63, 105)\}_3$$

Now we are going to find  $S^3$

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$S^3 = \{ (0.36, 65), (0.432, 80), (0.4464, 95), (0.54, 85), (0.648, 100), (0.63, 105) \}$

Due to purging rule after elimination we get

$S^3 = \{ (0.36, 65), (0.432, 80), (0.54, 85), (0.648, 100), \}$

Now

The best design has a  
reliability of 0.648 and a cost  
of 100. Tracing back through  
 $S_i$ 's

WE DETERMINE THAT  $M1 = 1, M2 = 2, M3 = 2$

### UNIT-IV

**Greedy method:** General method, applications-Job sequencing with deadlines, knapsack problem, Minimum cost spanning trees, Single source shortest path problem.

#### Greedy Method:

The greedy method is perhaps (maybe or possible) the most straight forward design technique, used to determine a feasible solution that may or may not be optimal.

**Feasible solution:-** Most problems have  $n$  inputs and its solution contains a subset of inputs that satisfies a given constraint (condition). Any subset that satisfies the constraint is called feasible solution.

**Optimal solution:** To find a feasible solution that either maximizes or minimizes a given objective function. A feasible solution that does this is called optimal solution.

#### Application of Greedy

- ☐ **Method:** Job
- ☐ sequencing with
- ☐ deadline 0/1
- ☐ knapsack problem
- ☐ Minimum cost spanning trees

Single source shortest path problem.

### JOB SEQUENCE WITH DEADLINE:

There is set of  $n$ -jobs. For any job  $i$ ,  $d_i$  is a integer deadline  $d_i \geq 0$  and profit  $P_i > 0$ , the profit  $P_i$  is earned iff the job completed by its deadline.

To complete a job one had to process the job on a machine for one unit of time. Only one machine is available for processing jobs.

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A feasible solution for this problem is a subset  $J$  of jobs such that each job in this subset can be completed by its deadline.

The value of a feasible solution  $J$  is the sum of the profits of the jobs in  $J$ , i.e.,  $\sum_{j \in J} p_j$

An optimal solution is a feasible solution with maximum value.

The problem involves identification of a subset of jobs which can be completed by its deadline. Therefore the problem suits the subset methodology and can be solved by the greedy method.

**Ex:** - Obtain the optimal sequence for the following jobs.

$j_1 \quad j_2 \quad j_3 \quad j_4$

$(P_1, P_2, P_3, P_4) = (100, 10, 15, 27)$

$(d_1, d_2, d_3, d_4) = (2, 1, 2, 1)$

$n=4$

Feasible solution	Processing sequence	Value
$j_1 j_2$ (1, 2)	(2, 1)	$100+10=110$
(1, 3)	(1, 3) or (3, 1)	$100+15=115$
(1, 4)	(4, 1)	$100+27=127$
(2, 3)	(2, 3)	$10+15=25$
(3, 4)	(4, 3)	$15+27=42$
(1)	(1)	100
(2)	(2)	10
(3)	(3)	15
(4)	(4)	27

In the example solution '3' is the optimal. In this solution only jobs 1 & 4 are processed and the value is 127. These jobs must be processed in the order  $j_4$  followed by  $j_1$ . The process of job 4 begins at time 0 and ends at time 1. And the processing of job 1 begins at time 1 and ends at time 2. Therefore both the jobs are completed within their deadlines. The optimization measure for determining the next job to be selected in to the solution is according to the profit. The next job to include is that which increases  $\sum p_i$  the most, subject to the constraint that the resulting " $J$ " is the feasible solution. Therefore the greedy strategy is to consider the jobs in decreasing order of profits.

The greedy algorithm is used to obtain an optimal solution.

We must formulate an optimization measure to determine how the next job is chosen.

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

```
algorithm js(d, j, n)
//d → dead line, j → subset of jobs, n → total number of jobs
//  $d[i] \geq 1$   $1 \leq i \leq n$  are the dead lines,
// the jobs are ordered such that  $p[1] \geq p[2] \geq \dots \geq p[n]$ 
//  $j[i]$  is the  $i$ th job in the optimal solution  $1 \leq i \leq k$ ,  $k \rightarrow$  subset range
{
d[0]=j[
0]=0;
j[1]=1;
k=1;
for i=2 to n
do {r=k;
while((d[j[r]]>d[i]) and
[d[j[r]]≠r)) do r=r-1; if((d[j[r]]≤d[i])
and (d[i]> r)) then
{
for q:=k to (r+1) set p-1 do j[q+1]=
j[q];j[r+1]=i;
k=k+1;
}
}
```

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NARSIMHA REDDY  
ENGINEERING COLLEGE

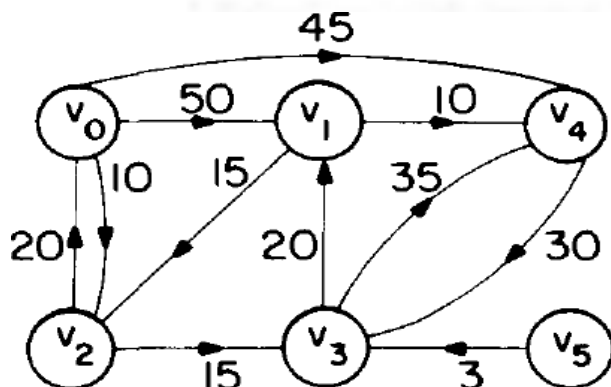
## SINGLE SOURCE SHORTEST PATHS:

- Graphs can be used to represent the highway structure of a state or country with vertices representing cities and edges representing sections of highway.
- The edges have assigned weights which may be either the distance between the 2 cities connected by the edge or the average time to drive along that section of highway.
- For example if A motorist wishing to drive from city A to B then we must answer the following questions
  - Is there a path from A to B
  - If there is more than one path from A to B which is the shortest path
- The length of a path is defined to be the sum of the weights of the edges on that path.

Given a directed graph  $G(V,E)$  with weight edge  $w(u,v)$ . we have to find a

shortest path from source vertex  $S \in V$  to every other vertex  $v \in V$ .

- To find SSSP for directed graphs  $G(V,E)$  there are two different algorithms.
  - Bellman-Ford Algorithm
  - Dijkstra's algorithm
- Bellman-Ford Algorithm:- allow -ve weight edges in input graph. This algorithm either finds a shortest path from source vertex  $S \in V$  to other vertex  $v \in V$  or detect a -ve weight cycles in  $G$ , hence no solution. If there is no negative weight cycles are reachable from source vertex  $S \in V$  to every other vertex  $v \in V$
- Dijkstra's algorithm:- allows only +ve weight edges in the input graph and finds a shortest path from source vertex  $S \in V$  to every other vertex  $v \in V$ .



	<u>Path</u>	<u>Length</u>
1)	$v_0 v_2$	10
2)	$v_0 v_2 v_3$	25
3)	$v_0 v_2 v_3 v_1$	45
4)	$v_0 v_4$	45

**Graph and shortest paths from  $v_0$  to all destinations**

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

- ☐ Consider the above directed graph, if node 1 is the source vertex, then shortest path from 1 to 2 is 1,4,5,2. The length is  $10+15+20=45$ .
- ☐ To formulate a greedy based algorithm to generate the shortest paths, we must conceive of a multistage solution to the problem and also of an optimization measure.
- ☐ This is possible by building the shortest paths one by one.
- ☐ As an optimization measure we can use the sum of the lengths of all paths so far generated.
- ☐ If we have already constructed 'i' shortest paths, then using this optimization measure, the next path to be constructed should be the next shortest minimum length path.
- ☐ The greedy way to generate the shortest paths from  $V_0$  to the remaining vertices is to generate these paths in non-decreasing order of path length.
- ☐ For this 1<sup>st</sup>, a shortest path of the nearest vertex is generated. Then a shortest path to the 2<sup>nd</sup> nearest vertex is generated and so on.

### Algorithm for finding Shortest Path

```
Algorithm ShortestPath(v, cost, dist, n)
//dist[j],  $1 \leq j \leq n$ , is set to the length of the shortest path from vertex v to vertex j in graph g
with n-vertices.
// dist[v] is zero
{
  for i=1 to n do {
    s[i]=false;
    dist[i]=cost[v,i];
  }
  s[v]=true;
  dist[v]=0.0; // put v in s
  for num=2 to n do {
    // determine n-1 paths from v
    choose u from among those vertices not in s such that dist[u] is minimum.
    s[u]=true; // put u in s
    for (each w adjacent to u with s[w]=false) do
      if(dist[w]>(dist[u]+cost[u, w])) then
        dist[w]=dist[u]+cost[u, w];
      }
    }
  }
```



### MINIMUM COST SPANNING TREE:

**SPANNING TREE:** - A Sub graph 'n' of o graph 'G' is called as a spanning tree if

- (i) It includes all the vertices of 'G'
- (ii) It is a tree

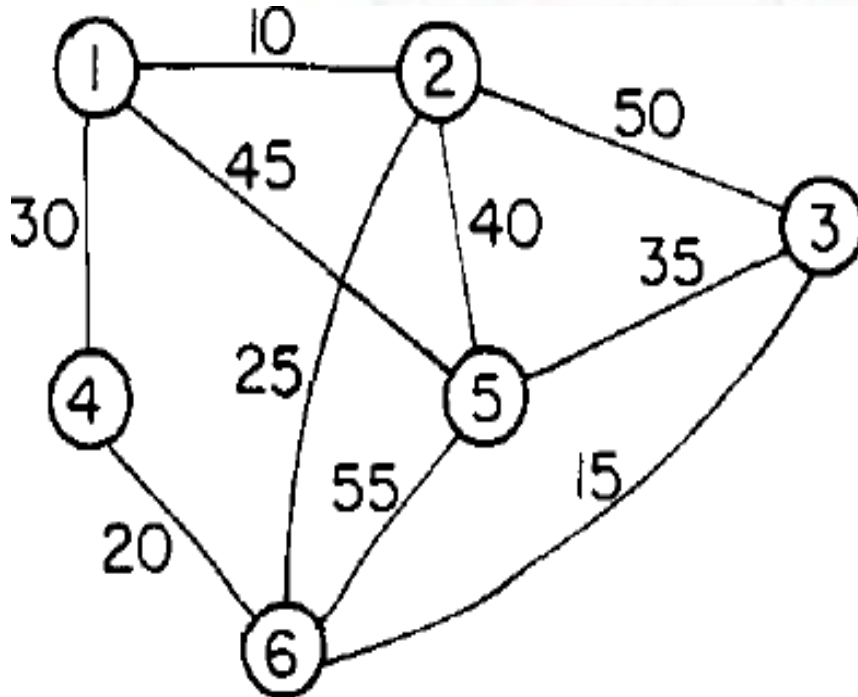
**Minimum cost spanning tree:** For a given graph 'G' there can be more than one spanning tree. If weights are assigned to the edges of 'G' then the spanning tree which has the minimum cost of edges is called as minimal spanning tree.

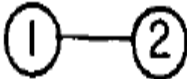
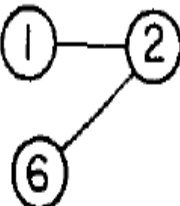
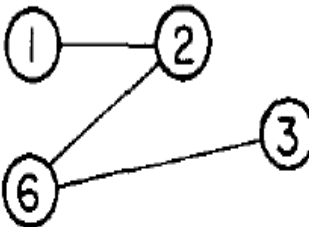
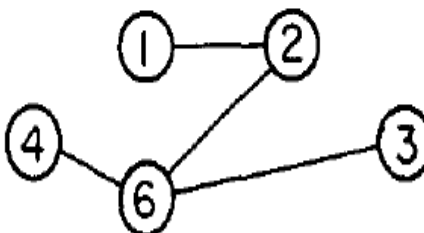
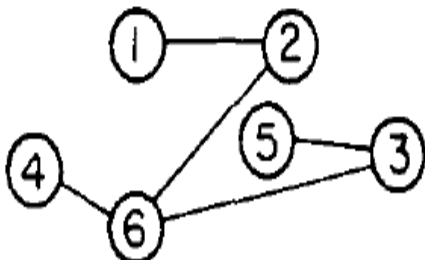
The greedy method suggests that a minimum cost spanning tree can be obtained by contacting the tree edge by edge. The next edge to be included in the tree is the edge that results in a minimum increase in the some of the costs of the edges included so far.

There are two basic algorithms for finding minimum-cost spanning trees, and both are greedy algorithms

- ☐ Prim's Algorithm
- ☐ Kruskal's Algorithm

**Prim's Algorithm:** Start with any *one node* in the spanning tree, and repeatedly add the cheapest edge, and the node it leads to, for which the node is not already in the spanning tree.

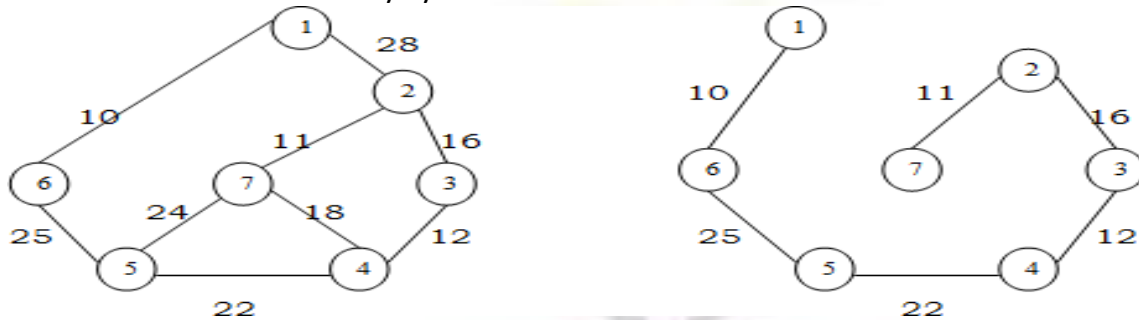


<u>Edge</u>	<u>Cost</u>	<u>Spanning tree</u>
(1,2)	10	
(2,6)	25	
(3,6)	15	
(6,4)	20	
(1,4)	reject	
(3,5)	35	

Stages in Prim's Algorithm

**PRIM'S ALGORITHM:** -

- i) Select an edge with minimum cost and include in to the spanningtree.
- ii) Among all the edges which are adjacent with theselected edge, select the onewith minimum cost.
- iii) Repeat step 2 until 'n' vertices and (n-1) edges are been included. And the subgraph obtained does not contain any cycles.



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### Prim's minimum spanning tree algorithm

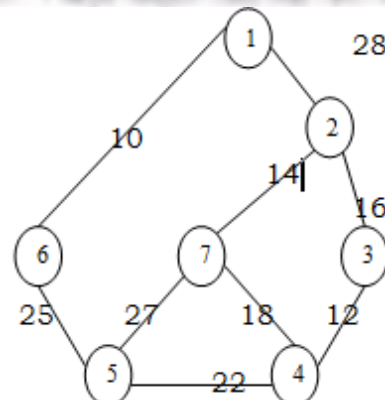
```

Algorithm Prim (E, cost, n,t)
// E is the set of edges in G. Cost (1:n, 1:n) is the
// Cost adjacency matrix of an n vertex graph such that
// Cost (i,j) is either a positive real no. or  $\infty$  if no edge (i,j) exists.
// A minimum spanning tree is computed and
// Stored in the array T(1:n-1, 2).
// (t(i, 1), + t(i,2)) is an edge in the minimum cost spanning tree. The final cost is
returned
{
    Let (k, l) be an edge with min
    cost in E Min cost: = Cost (x,l);
    T(1,1):= k; + (1,2):= l;
for i:= 1 to n do //initialize near
    if (cost (i,l)<cost (i,k) then n east
    (i): l; else near (i): = k;
    near (k): = near (l);
    = 0; for i: = 2 to n-1
    do
    { //find n-2 additional edges for t
    let j be an index such that near (i) = 0 & cost (j, near (i)) is
    minimum; t (i,1): = j + (i,2): = near (j);
    min cost: = Min cost + cost (j,
    near (j)); near (j): = 0;
    for k:=1 to n do // update near ()
    if ((near (k) = 0) and (cost {k, near (k)} >
    cost (k,j))) then near Z(k): = ji
    }
    return mincost;
}
    
```

The algorithm takes four arguments E: set of edges, cost is nxn adjacency matrix cost of (i,j)= +ve integer, if an edge exists between i&j otherwise infinity. 'n' is no/ of vertices. 't' is a (n- 1):2matrix which consists of the edges of spanning tree.

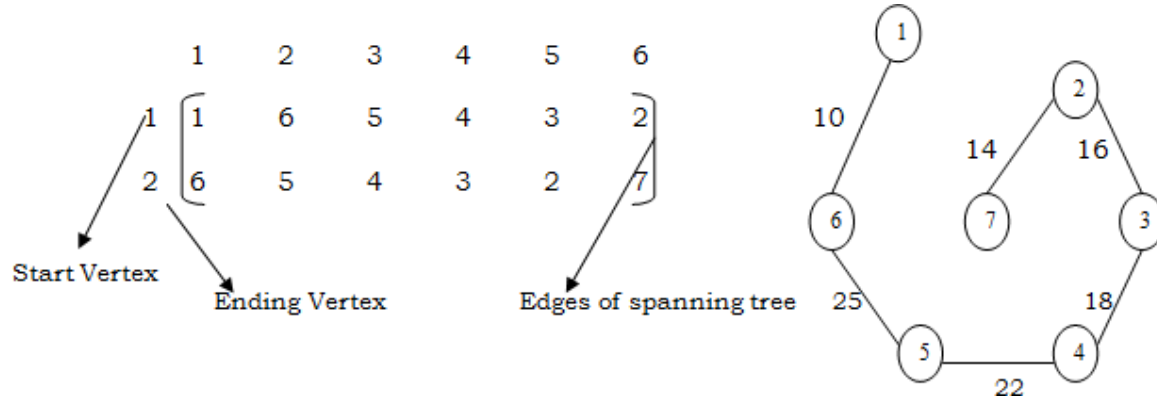
$E = \{ (1,2), (1,6), (2,3), (3,4), (4,5), (4,7), (5,6), (5,7), (2,7) \}$

Cost	1	2	3	4	5	6	7
1	$\alpha$	28	$\alpha$	$\alpha$	$\alpha$	10	$\alpha$
2	28	$\alpha$	16	$\alpha$	$\alpha$	$\alpha$	14
3	$\alpha$	10	$\alpha$	12	$\alpha$	$\alpha$	$\alpha$
4	$\alpha$	$\alpha$	12	$\alpha$	22	$\alpha$	18
5	$\alpha$	$\alpha$	$\alpha$	22	$\alpha$	25	24
6	10	$\alpha$	$\alpha$	$\alpha$	25	$\alpha$	$\alpha$
7	$\alpha$	14	$\alpha$	18	24	$\alpha$	$\alpha$



## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

$$n = \{1, 2, 3, 4, 5, 6, 7\}$$



- i) The algorithm will start with a tree that includes only minimum cost edge of G. Then edges are added to this tree one by one.
- ii) The next edge (i,j) to be added is such that i is a vertex which is already included in the treed and j is a vertex not yet included in the tree and cost of i,j is minimum among all edges adjacent to 'i'.
- iii) With each vertex 'j' next yet included in the tree, we assign a value near 'j'. The value near 'j' represents a vertex in the tree such that cost (j, near (j)) is minimum among all choices for near (j)
- iv) We define near (j):= 0 for all the vertices 'j' that are already in the tree.
- v) The next edge to include is defined by the vertex 'j' such that (near (j))  $\neq$  0 and cost of (j, near (j)) is minimum.

### Analysis: -

The time required by the prince algorithm is directly proportional to the no/: of vertices. If agraph 'G' has 'n' vertices then the time required by prim's algorithm is  $O(n^2)$

### **KRUSKAL'S ALGORITHM:**

Start with *no* nodes or edges in the spanning tree, and repeatedly add the cheapest edge that does not create a cycle.

In Kruskals algorithm for determining the spanning tree we arrangethe edges in the increasing order of cost.

- i) All the edges are considered one by one in that order and deleted from the graph and are included in to the spanning tree.

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

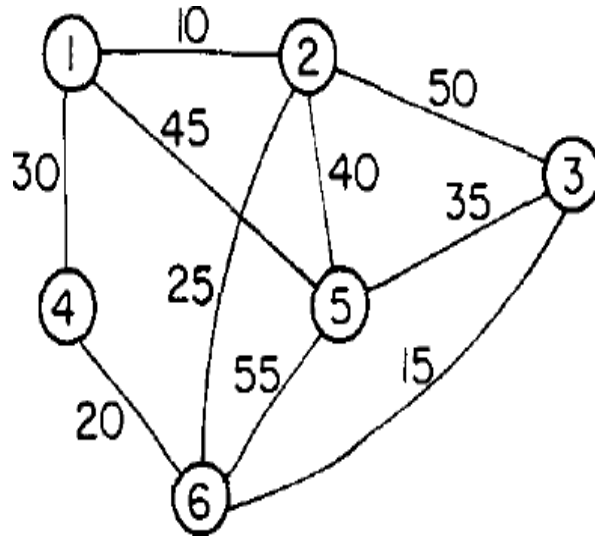
- ii) At every stage an edge is included; the sub-graph at a stage need not be a tree. Infact it is a forest.
- iii) At the end if we include 'n' vertices and n-1 edges without forming cycles then we get a single connected component without any cycles i.e. a tree with minimum cost.

At every stage, as we include an edge in to the spanning tree, we get disconnected trees represented by various sets. While including an edge in to the spanning tree we need to check it does not form cycle. Inclusion of an edge (i,j) will form a cycle if i,j both are in same set. Otherwise the edge can be included into the spanning tree.

### Kruskal minimum spanning tree algorithm

```
Algorithm Kruskal (E, cost, n,t)
//E is the set of edges in G. 'G' has 'n' vertices
//Cost {u,v} is the cost of edge (u,v) t is the set
//of edges in the minimum cost spanning tree
//The final cost is returned
{ construct a heap out of the edge costs using heapify;
  for i:= 1 to n do parent (i):= -1 //place in different sets
//each vertex is in different set    {1} {1}
  {3} i:= 0; min cost:= 0.0;
  While (i<n-1) and (heap not empty))do
  {
  Delete a minimum cost edge (u,v) from the heaps; and reheapify
  using adjust;j:= find (u); k:=find (v);
  if (j k) then
  { i:= 1+1;
    + (i,1)=u; + (i, 2)=v;
    mincost:=
    mincost+cost(u,v);
    Union (j,k);
  }
  }
  if (i n-1) then write ("No
  spanning tree");else return
  mincost;
}
```

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]



Consider the above graph of , Using Kruskal's method the edges of this graph are considered for inclusion in the minimum cost spanning tree in the order (1, 2), (3, 6), (4, 6), (2, 6), (1, 4), (3, 5), (2, 5), (1, 5), (2, 3), and (5, 6). This corresponds to the cost sequence 10, 15, 20, 25, 30, 35, 40, 45, 50, 55. The first four edges are included in T. The next edge to be considered is (1, 4). This edge connects two vertices already connected in T and so it is rejected. Next, the edge (3, 5) is selected and that completes the spanning tree.

<u>Edge</u>	<u>Cost</u>	<u>Spanning Forest</u>
(1,2)	10	
(3,6)	15	
(4,6)	20	
(2,6)	25	
(1,4)	30	(reject)
(3,5)	35	



**Analysis:** - If the no/: of edges in the graph is given by  $|E|$  then the time for Kruskals algorithm is given by  $O(|E| \log |E|)$ .

## 0/1 KNAPSACK PROBLEM:

Let there be  $n$  items,  $z_1$  to  $z_n$  where  $z_i$  has a value  $v_i$  and weight  $w_i$ . The maximum weight that we can carry in the bag is  $W$ . It is common to assume that all values and weights are nonnegative. To simplify the representation, we also assume that the items are listed in increasing order of weight.

$$\sum_{i=1}^n v_i x_i \quad \text{subject to} \quad \sum_{i=1}^n w_i x_i \leq W, \quad x_i \in \{0, 1\}$$

Maximize the sum of the values of the items in the knapsack so that the sum of the weights must be less than the knapsack's capacity.

### Greedy algorithm for knapsack

```

Algorithm GreedyKnapsack(m,n)
// p[1:n] and [1:n] contain the profits and weights respectively
// if the n-objects ordered such that p[i]/w[i] >= p[i+1]/w[i+1], m size of knapsack and
x[1:n] the solution vector
{
    For i:=1 to n do x[i]:=0.0
    U:=m;
    For i:=1 to n do
    {
        if(w[i]>U) then break;
        x[i]:=1.0;
        U:=U-w[i];
    }
    If(i<=n) then x[i]:=U/w[i];
}
    
```

**Ex:** - Consider 3 objects whose profits and weights are defined as (P1, P2, P3) = ( 25, 24, 15 )

W1, W2, W3) = ( 18, 15, 10 )

n=3 number of objects

m=20 Bag capacity

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

Consider a knapsack of capacity 20. Determine the optimum strategy for placing the objects in to the knapsack. The problem can be solved by the greedy approach where in the inputs are arranged according to selection process (greedy strategy) and solve the problem in stages. The various greedy strategies for the problem could be as follows.

$(x_1, x_2, x_3)$	$\sum x_i w_i$	$\sum x_i p_i$
$(1, 2/15, 0)$	$18 \times 1 + \frac{2}{15} \times 15 = 20$	$25 \times 1 + \frac{2}{15} \times 24 = 28.2$
$(0, 2/3, 1)$	$\frac{2}{3} \times 15 + 10 \times 1 = 20$	$\frac{2}{3} \times 24 + 15 \times 1 = 31$
$(0, 1, \frac{1}{2})$	$1 \times 15 + \frac{1}{2} \times 10 = 20$	$1 \times 24 + \frac{1}{2} \times 15 = 31.5$
$(\frac{1}{2}, \frac{1}{3}, \frac{1}{4})$	$\frac{1}{2} \times 18 + \frac{1}{3} \times 15 + \frac{1}{4} \times 10 = 16.5$	$\frac{1}{2} \times 25 + \frac{1}{3} \times 24 + \frac{1}{4} \times 15 = 12.5 + 8 + 3.75 = 24.25$

**Analysis:** - If we do not consider the time considered for sorting the inputs then all of the three greedy strategies complexity will be  $O(n)$ .

### UNIT-V: Branch & Bound

**Branch and Bound:** General method, applications - Travelling sales person problem, 0/1 knapsack problem - LC Branch and Bound solution, FIFO Branch and Bound solution.

NP-Hard and NP-Complete problems: Basic concepts, non deterministic algorithms, NP -Hard and NP-Complete classes, Cook's theorem.

Branch & Bound (B & B) is general algorithm (or Systematic method) for finding optimal solution of various optimization problems, especially in discrete and combinatorial optimization.

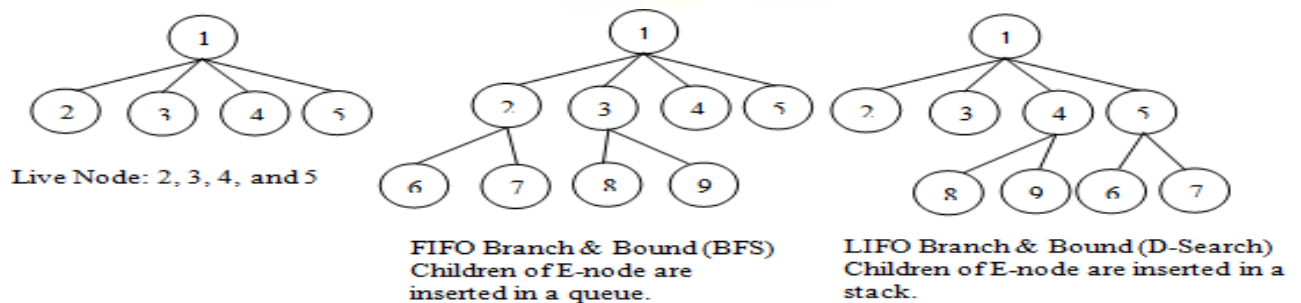
- The B&B strategy is very similar to backtracking in that a state space tree is used to solve a problem.
- The differences are that the B&B method
  - ✓ Does not limit us to any particular way of traversing the tree.
  - ✓ It is used only for optimization problem
  - ✓ It is applicable to a wide variety of discrete combinatorial problem.
- B&B is rather general optimization technique that applies where the greedy method & dynamic programming fail.
- It is much slower, indeed (truly), it often (rapidly) leads to exponential time complexities in the worst case.
- The term B&B refers to all state space search methods in which all children of the "E-node" are generated before any other "live node" can become the "E-node"
  - ✓ **Live node** → is a node that has been generated but whose children have not yet

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

being generated.

✓ **E-node** → is a live node whose children are currently being explored.

**Dead node** → is a generated node that is not to be expanded or explored any further. All children of a dead node have already been expanded



➤ We will use 3-types of search strategies in branch and bound

- 1) FIFO (First In First Out) search
- 2) LIFO (Last In First Out) search
- 3) LC (Least Count) search

### FIFO B&B:

FIFO Branch & Bound is a BFS.

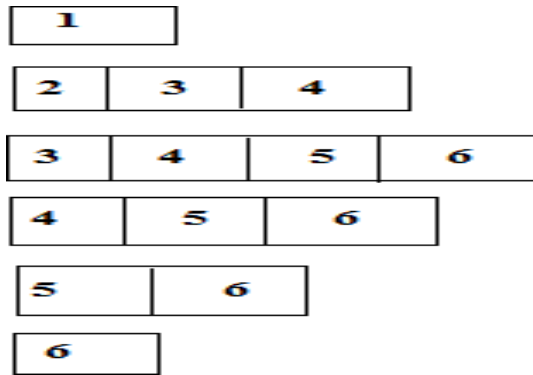
In this, children of E-Node (or Live nodes) are inserted in a queue.

Implementation of list of live nodes as a queue

- ✓ Least() → Removes the head of the Queue
- ✓ Add() → Adds the node to the end of the Queue



✓ Assume that node '12' is an answer node in FIFO search, 1<sup>st</sup> we take E-node has '1'

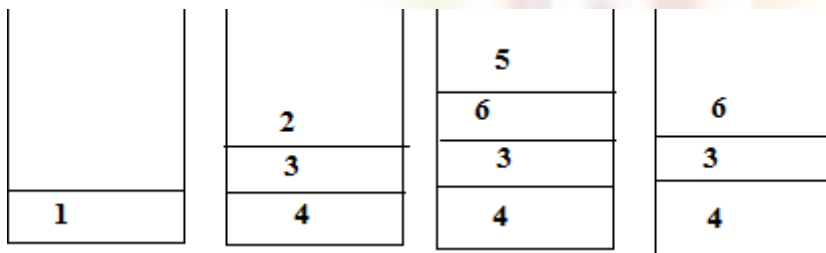


## LIFO B&B:

LIFO Branch & Bound is a D-search (or DFS).

In this children of E-node (live nodes) are inserted in a stack  
List of live nodes as a stack

- ✓ Least() → Removes the top of the stack
- ✓ ADD() → Adds the node to the top of the stack.



## Least Cost (LC) Search:

The selection rule for the next E-node in FIFO or LIFO branch and bound is sometimes “blind”. i.e., the selection rule does not give any preference to a node that has a very good chance of getting the search to an answer node quickly.

The search for an answer node can often be speeded by using an “intelligent” ranking function. It is also called an approximate cost function “ $\hat{C}$ ”.

Expanded node (E-node) is the live node with the best  $\hat{C}$  value.

Branching: A set of solutions, which is represented by a node, can be partitioned into mutually (jointly or commonly) exclusive (special) sets. Each subset in the partition is represented by a child of the original node.

Lower bounding: An algorithm is available for calculating a lower bound on the cost of any solution in a given subset.

Each node X in the search tree is associated with a cost:  $\hat{C}(X)$

$C$  = cost of reaching the current node, X (E-node) from the root + The cost of reaching an answer node from X.

$$\hat{C} = g(X) + h(X).$$

## Example:

8-puzzle

Cost function:  $\hat{C} = g(x) + h(x)$

where  $h(x)$  = the number of misplaced tiles

and  $g(x)$  = the number of moves so far Assumption: move one tile in any

### Initial State

1	2	3
5	6	
7	8	4

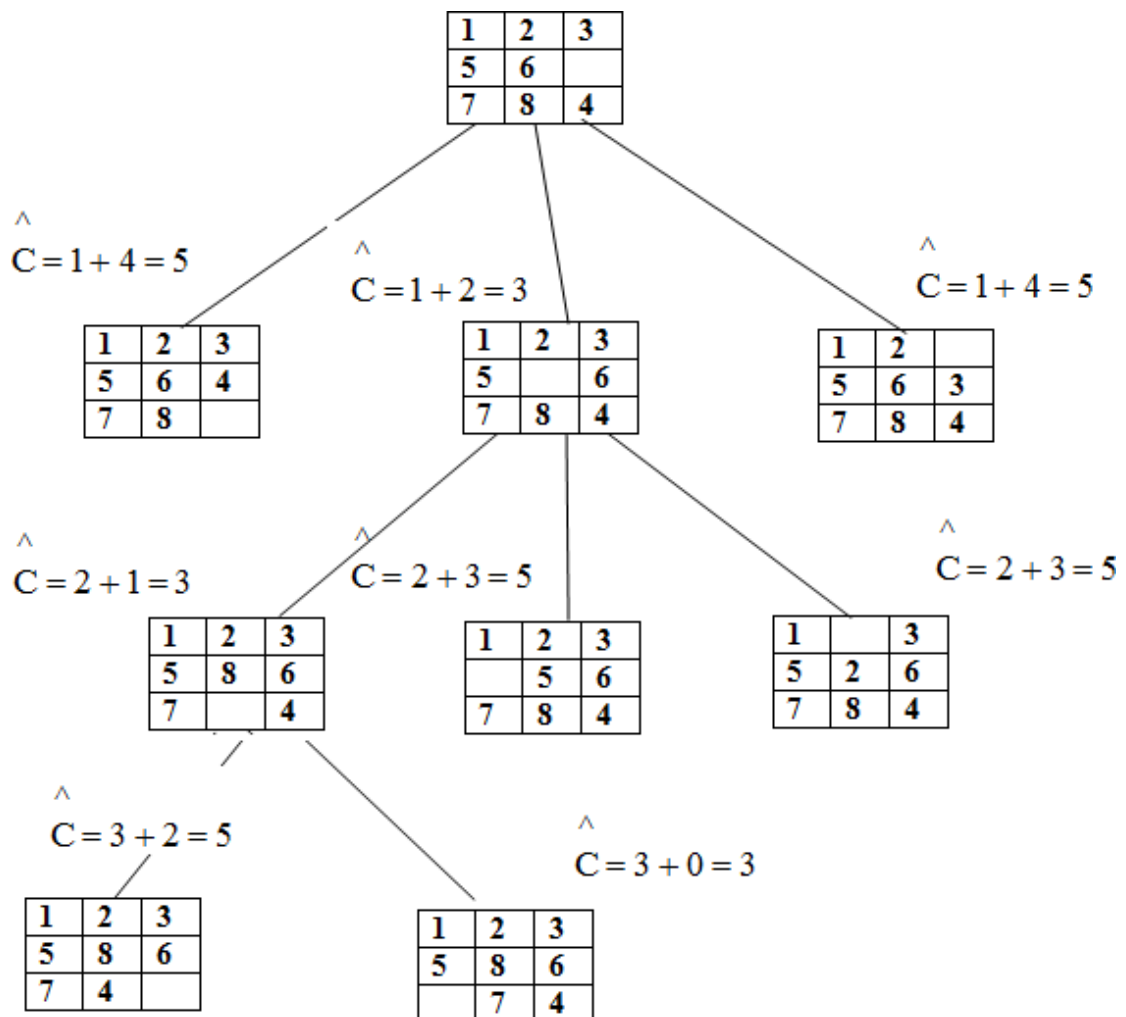


### Final State

1	2	3
5	8	6
	7	4



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## Travelling Salesman Problem:

Def:- Find a tour of minimum cost starting from a node S going through other nodes only once and returning to the starting point S.

Time Complexity of TSP for Dynamic Programming algorithm is  $O(n^2 2^n)$

B&B algorithms for this problem, the worst case complexity will not be any better than  $O(n^2 2^n)$  but good bounding functions will enable these B&B algorithms to solve some problem instances in much less time than required by the dynamic programming algorithm.

Let  $G=(V,E)$  be a directed graph defining an instance of TSP. Let

$C_{ij} \rightarrow$  cost of edge  $\langle i, j \rangle$

$C_{ij} = \infty$  if  $\langle i, j \rangle \notin E$

$|V|=n \rightarrow$  total number of vertices.

Assume that every tour starts & ends at vertex 1.

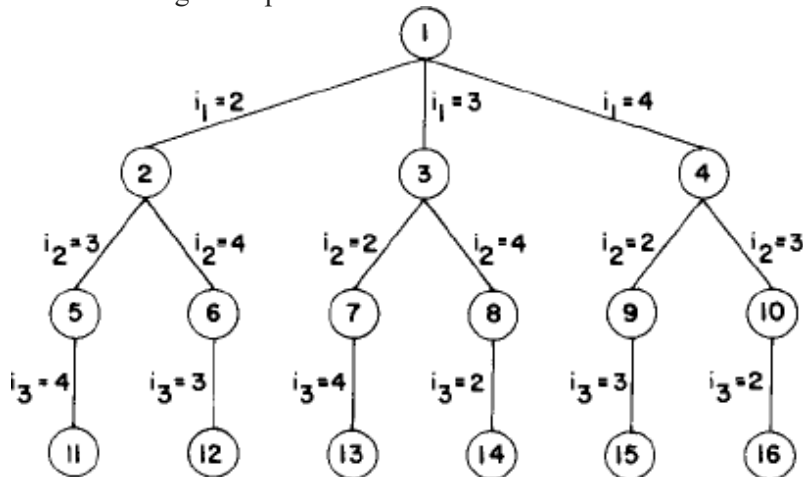
Solution Space  $S = \{1, \Pi, 1 / \Pi \text{ is a permutation of } (2, 3, \dots, n)\}$  then  $|S| = (n-1)!$

The size of S reduced by restricting S

So that  $(1, i_1, i_2, \dots, i_{n-1}, 1) \in S$  iff  $\langle i_j, i_{j+1} \rangle \in E, 0 \leq j \leq n-1, i_0 = i_n = 1$

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S can be organized into “State space tree”.  
Consider the following Example



The above diagram shows tree organization of a complete graph with

$|V|=4$ .

Each leaf node ‘L’ is a solution node and represents the tour defined by the path from the root to L.

Node 12 represents the tour.

$i_0=1, i_1=2, i_2=4, i_3=3, i_4=1$

Node 14 represents the tour.

$i_0=1, i_1=3, i_2=4, i_3=2, i_4=1$ .

### TSP is solved by using LC Branch & Bound:

To use LCBB to search the travelling salesperson “State space tree” first define a costfunction  $C(.)$  and other 2 functions  $\hat{C}(.)$  &  $u(.)$

Such that  $\hat{C}(r) \leq C(r) \leq u(r) \rightarrow$  for all nodes  $r$ .

Cost  $C(.) \rightarrow$  is the solution node (with) least  $C(.)$  corresponds to a shortest tour in  $G$ .

$C(A) = \{ \text{Length of tour defined by the path from root to A if A is leaf} \}$   
 $\{ \text{Cost of a minimum-cost leaf in the sub-tree A, if A is not leaf} \}$

From  $\hat{C}(r) \leq C(r)$  then  $\hat{C}(r) \rightarrow$  is the length of the path defined at node A.

From previous example the path defined at node 6 is  $i_0, i_1, i_2=1, 2, 4$  & it consists edge of  $\langle 1,2 \rangle$  &  $\langle 2,4 \rangle$

A better  $\hat{C}(r)$  can be obtained by using the reduced cost matrix corresponding to  $G$ .

➤ A row (column) is said to be reduced iff it contains at least one zero & remaining entries are non negative.

A matrix is reduced iff every row & column is reduced.



## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

$$\begin{bmatrix} \infty & 20 & 30 & 10 & 11 \\ 15 & \infty & 16 & 4 & 2 \\ 3 & 5 & \infty & 2 & 4 \\ 19 & 6 & 18 & \infty & 3 \\ 16 & 4 & 7 & 16 & \infty \end{bmatrix}$$

(a) Cost Matrix

$$\begin{bmatrix} \infty & 10 & 17 & 0 & 1 \\ 12 & \infty & 11 & 2 & 0 \\ 0 & 3 & \infty & 0 & 2 \\ 15 & 3 & 12 & \infty & 0 \\ 11 & 0 & 0 & 12 & \infty \end{bmatrix}$$

(b) Reduced Cost Matrix

$$L = 25$$

Given the following cost matrix:

F	I	F	F	20	30	10	11	1
				15	inf	16	4	2
				3	5	inf	2	4
I	19	6	18	inf	3	I		
L	16	4	7	16	inf			

- The TSP starts from node 1: **Node 1**
- Reduced Matrix: To get the lower bound of the path starting at node 1

Row # 1: reduce by 10 $\begin{bmatrix} \infty & 10 & 20 \\ 15 & \infty & 16 \\ 3 & 5 & \infty \\ 19 & 6 & 18 \\ 16 & 4 & 7 \end{bmatrix}$	Row #2: reduce 2 $\begin{bmatrix} \infty & 10 & 20 \\ 13 & \infty & 14 \\ 3 & 5 & \infty \\ 19 & 6 & 18 \\ 16 & 4 & 7 \end{bmatrix}$	Row #3: reduce by 2 $\begin{bmatrix} \infty & 10 & 20 \\ 13 & \infty & 14 \\ 1 & 3 & \infty \\ 19 & 6 & 18 \\ 16 & 4 & 7 \end{bmatrix}$
Row # 4: Reduce by 3:	Row # 5: Reduce by 4	Column 1: Reduce by 1

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

$\begin{matrix} F & \text{inf} & 10 & 20 \\ & 13 & \text{inf} & 14 \\ & 1 & 3 & \text{inf} \\ I & 16 & 3 & 15 & i \\ L & 16 & 4 & 7 & 16 \end{matrix}$	$\begin{matrix} F & \text{inf} & 10 & 20 \\ & 13 & \text{inf} & 14 \\ & 1 & 3 & \text{inf} \\ I & 16 & 3 & 15 & i \\ L & 12 & 0 & 3 & 12 \end{matrix}$	$\begin{matrix} F & \text{inf} & 10 & 20 \\ & 12 & \text{inf} & 14 \\ & 0 & 3 & \text{inf} \\ I & 15 & 3 & 15 & i \\ L & 11 & 0 & 3 & 12 \end{matrix}$
Column 2: It is reduced.	Column 3: Reduce by 3 $\begin{bmatrix} \text{inf} & 10 & 17 & 0 & 1 \\ 12 & \text{inf} & 11 & 2 & 0 \\ 0 & 3 & \text{inf} & 0 & 2 \\ 15 & 3 & 12 & \text{inf} & 0 \\ 11 & 0 & 0 & 12 & \text{inf} \end{bmatrix}$	Column 4: It is reduced. Column 5: It is reduced.

The reduced cost is: RCL = 25

So the cost of node 1 is: Cost (1) = 25

The reduced matrix is:

Cost (1) = 25					
$\text{inf}$	10	17	0	1	
12	$\text{inf}$	11	2	0	
0	3	$\text{inf}$	0	2	
15	3	12	$\text{inf}$	0	
11	0	0	12	$\text{inf}$	

### ➤ Choose to go to vertex 2: Node 2

- Cost of edge <1,2> is:  $A(1,2) = 10$
- Set row #1 =  $\text{inf}$  since we are choosing edge <1,2>
- Set column # 2 =  $\text{inf}$  since we are choosing edge <1,2>
- Set  $A(2,1) = \text{inf}$
- The resulting cost matrix is:

F	$\text{inf}$	$\text{inf}$	$\text{inf}$	$\text{inf}$	1
	$\text{inf}$	$\text{inf}$	11	2	0
I	15	$\text{inf}$	$\text{inf}$	0	0
L	11	$\text{inf}$	12		

- The matrix is reduced:
- RCL = 0
- The cost of node 2 (Considering vertex 2 from vertex 1) is:

$$\text{Cost}(2) = \text{cost}(1) + A(1,2) = 25 + 10 = 35$$

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

- Cost of edge  $\langle 1,3 \rangle$  is:  $A(1,3) = 17$  (In the reduced matrix)
- Set row #1 = inf since we are starting from node 1
- Set column # 3 = inf since we are choosing edge  $\langle 1,3 \rangle$
- Set  $A(3,1) = \text{inf}$
- The resulting cost matrix is:

	inf	inf	inf	inf	1
		inf	2	0	
	inf	3	inf		
I	15	3		0	0
L			inf	12	

**Reduce the matrix:** Rows are reduced

The columns are reduced except for column #

The lower bound is:  $RCL = 11$

The cost of going through node 3 is:

**Choose to go to vertex 3: Node 3:**

**Choose to go to vertex 4: Node 4**

Remember that the cost matrix is the one that was reduced at the starting vertex 1 Cost of edge  $\langle 1,4 \rangle$  is:  $A(1,4) = 0$

Set row #1 = inf since we are starting from node 1

Set column # 4 = inf since we are choosing edge  $\langle 1,4 \rangle$  Set  $A(4,1) = \text{inf}$

The resulting cost matrix is:

	inf	inf	inf	inf	1
	12	inf	11	inf	0
	0	3	inf	inf	2
I	inf	3	12	inf	0
L	11	0	0	inf	inf

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

Reduce the matrix: Rows are reduced

The lower bound is:  $RCL = 0$

The cost of going through node 4 is:

$$\text{cost}(4) = \text{cost}(1) + RCL + A(1,4) = 25 + 0 + 0 = 25$$

➤ **Choose to go to vertex 5: Node 5**

- Remember that the cost matrix is the one that was reduced at starting vertex 1
- Cost of edge  $\langle 1,5 \rangle$  is:  $A(1,5) = 1$
- Set row #1 = inf since we are starting from node 1
- Set column # 5 = inf since we are choosing edge  $\langle 1,5 \rangle$
- Set  $A(5,1) = \text{inf}$
- The resulting cost matrix is:

$$\begin{matrix} & \text{inf} & \text{inf} & \text{inf} & \text{inf} & \text{inf} \\ \text{1} & 12 & \text{inf} & 11 & 2 & \text{inf} \end{matrix}$$

$$\begin{matrix} & 0 & 3 & \text{inf} & 0 & \text{inf} \\ \text{2} & 15 & 3 & 12 & \text{inf} & \end{matrix}$$

$$\begin{matrix} & \text{inf} & 0 & 0 & 12 \\ \text{3} & \text{inf} & 0 & 0 & 12 \end{matrix}$$

Reduce the matrix:

Reduce rows:

Reduce row #2: Reduce by 2

$$\begin{matrix} & \text{inf} & \text{inf} & \text{inf} & \text{inf} & \text{inf} \\ \text{1} & 10 & \text{inf} & 9 & 0 & \text{inf} \end{matrix}$$

$$\begin{matrix} & 0 & 3 & \text{inf} & 0 & \text{inf} \\ \text{2} & 15 & 3 & 12 & \text{inf} & \end{matrix}$$

$$\begin{matrix} & \text{inf} & 0 & 0 & 12 \\ \text{3} & \text{inf} & 0 & 0 & 12 \end{matrix}$$

L inf

Reduce row #4: Reduce by 3

	1	2	3	4	5
1	10	inf	9	0	inf
2	0	3	inf	0	inf
3	12	0	9	inf	inf
4	inf	0	0	12	inf
5					

Columns are reduced

The lower bound is:  $RCL = 2 + 3 = 5$

The cost of going through node 5 is:

$$\text{cost}(5) = \text{cost}(1) + RCL + A(1,5) = 25 + 5 + 1 = 31$$

In summary:

So the live nodes we have so far are:

- ✓ 2: cost(2) = 35, path: 1->2
- ✓ 3: cost(3) = 53, path: 1->3
- ✓ 4: cost(4) = 25, path: 1->4
- ✓ 5: cost(5) = 31, path: 1->5

Explore the node with the lowest cost: Node 4 has a cost of 25. Vertices to be explored from node 4: 2, 3, and 5

Now we are starting from the cost matrix at node 4 is:

Cost (4) = 25					
inf	inf	inf	inf	inf	
12	inf	11	inf	0	
0	3	inf	inf	2	
inf	3	12	inf	0	
11	0	0	inf	inf	

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

➤ **Choose to go to vertex 2: Node 6 (path is 1->4->2)**

Cost of edge <4,2> is:  $A(4,2) = 3$

Set row #4 = inf since we are considering edge <4,2>

Set column # 2 = inf since we are considering edge <4,2>

Set  $A(2,1) = \text{inf}$

inf					
inf	inf	inf	inf	inf	1
0	inf	11	inf	0	
	inf		inf		
inf	inf	inf	inf	2	
			inf		
	inf	0	inf	inf	1
L	11			inf	

Reduce the matrix: Rows are reduced

Columns are

reducedThe lower bound is:  $RCL = 0$

The cost of going through node 2 is:

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

➤ **Choose to go to vertex 3: Node 7 ( path is 1->4->3 )**

Cost of edge <4,3> is:  $A(4,3) = 12$

Set row #4 = inf since we are considering edge <4,3>

Set column # 3 = inf since we are considering edge <4,3>

$\begin{matrix} & iFf & iFf & iFf & iFf & iFf_1 \end{matrix}$

$\begin{matrix} 12 & inf & inf & inf & 0 \end{matrix}$

**$iFf_3 \quad iFfiFf_2$**

$\begin{matrix} iinf & inf & inf & inf & inf \end{matrix}$

**$L \quad 11 \quad 0 \quad iFfiFfiFf$**

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## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

Reduce row #3: by 2:

$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	1
12	$\infty$	$\infty$	$\infty$	$\infty$	0
$\infty$	1	$\infty$	$\infty$	$\infty$	0

$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	1
L	11	0	$\infty$	$\infty$	$\infty$

Reduce column # 1: by 11

$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	1
1	$\infty$	$\infty$	$\infty$	0	
$\infty$	1	$\infty$	$\infty$	0	
$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	

L	0	0	$\infty$	$\infty$	$\infty$
---	---	---	----------	----------	----------

The lower bound is: RCL = 13

So the RCL of node 7 (Considering vertex 3 from vertex 4) is: Cost(7)

$$= \text{cost}(4) + \text{RCL} + A(4,3) = 25 + 13 + 12 = 50$$

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

- Choose to go to vertex 5: **Node 8** ( path is 1->4->5 )

Cost of edge <4,5> is:  $A(4,5) = 0$

Set row #4 = inf since we are considering edge <4,5>

Set column #5 = inf since we are considering edge <4,5>

		inf	11	inf	inf
F	inf				
		3	inf	inf	inf
L	inf				

Reduce the matrix:

Reduced row 2: by 11

			inf	inf	inf	1
			0	inf	inf	
F	inf	inf				
			inf	inf	inf	
	1	inf	0	inf	inf	
	0	3				
L	inf	0				

**In summary:** So the live nodes we have so far are:

- ✓ 2: cost(2) = 35, path: 1->2
- ✓ 3: cost(3) = 53, path: 1->3
- ✓ 5: cost(5) = 31, path: 1->5
- ✓ 6: cost(6) = 28, path: 1->4->2
- ✓ 7: cost(7) = 50, path: 1->4->3
- ✓ 8: cost(8) = 36, path: 1->4->5
- Explore the node with the lowest cost: Node 6 has a cost of 28
- Vertices to be explored from node 6: 3 and 5
- Now we are starting from the cost matrix at node 6 is:

**Cost (6) = 28**

<i>inf</i>	<i>inf</i>	<i>inf</i>	<i>inf</i>	<i>inf</i>
<i>inf</i>	<i>inf</i>	11	<i>inf</i>	0
0	<i>inf</i>	<i>inf</i>	<i>inf</i>	2
<i>inf</i>	<i>inf</i>	<i>inf</i>	<i>inf</i>	<i>inf</i>
11	<i>inf</i>	0	<i>inf</i>	<i>inf</i>



➤ **Choose to go to vertex 3: Node 9 (path is 1->4->2->3)**

Cost of edge <2,3> is:  $A(2,3) = 11$

Set row #2 = inf since we are considering edge <2,3>

Set column # 3 = inf since we are considering edge <2,3>Set

$A(3,1) = \text{inf}$

The result ing co-atrix is:

inf	inf	inf	inf	inf
inf	inf	inf	inf	inf
inf	inf	inf	inf	2 1
inf	inf	inf	inf	inf
L 11	inf	inf	inf	inf

Reduce the matrix: Reduce row #3: by 2

inf	inf	inf	inf	inf
inf	inf	inf	inf	inf
inf	inf	inf	inf	0
inf	inf	inf	inf	inf
L 11	inf	inf	inf	inf

Reduce column # 1: by 11

inf	inf	inf	inf	inf
inf	inf	inf	inf	inf
inf	inf	inf	inf	0

1

1

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

$$\begin{matrix} \text{if} & \text{if} & \text{if} & \text{if} & \text{if} \\ 0 & \text{if} & \text{if} & \text{if} & \text{if} \end{matrix}$$

The lower bound is:  $RCL = 2 + 11 = 13$

So the cost of node 9 (Considering vertex 3 from vertex 2) is:  $\text{Cost}(9) = \text{cost}(6) + RCL + A(2,3) = 28 + 13 + 11 = 52$



## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

➤ Choose to go to vertex 5: Node 10 (path is 1->4->2->5)

Cost of edge <2,5> is:  $A(2,5) = 0$

Set row #2 = inf since we are considering edge <2,3>

Set column # 3 = inf since we are considering edge <2,3>

Set  $A(5,1) = \text{inf}$

inf	inf	inf	inf	1	inf
inf	inf	inf	inf	inf	inf
0	inf	inf	inf	inf	inf
inf	inf	inf	inf	inf	Inf
inf	inf	inf	inf	inf	Inf

Reduce the matrix: Rows reduced

Columns reduced

The lower bound is:  $RCL = 0$

So the cost of node 10 (Considering vertex 5 from vertex 2) is:

$$\text{Cost}(10) = \text{cost}(6) + RCL + A(2,3) = 28 + 0 + 0 = 28$$

In summary: **So the live nodes we have so far are:**

- ✓ 2:  $\text{cost}(2) = 35$ , path: 1->2
- ✓ 3:  $\text{cost}(3) = 53$ , path: 1->3
- ✓ 5:  $\text{cost}(5) = 31$ , path: 1->5
- ✓ 7:  $\text{cost}(7) = 50$ , path: 1->4->3
- ✓ 8:  $\text{cost}(8) = 36$ , path: 1->4->5
- ✓ 9:  $\text{cost}(9) = 52$ , path: 1->4->2->3

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

- ✓ 10: cost(2) = 28, path: 1->4->2->5
- Explore the node with the lowest cost: Node 10 has a cost of 28
- Vertices to be explored from node 10: 3
- Now we are starting from the cost matrix at node 10 is:

Cost (10)=28

inf	inf	inf	inf	inf
inf	inf	inf	inf	inf
0	inf	inf	inf	inf
inf	inf	inf	inf	inf
inf	inf	0	inf	inf

- **Choose to go to vertex 3: Node 11 ( path is 1->4->2->5->3 )**

Cost of edge <5,3> is:  $A(5,3) = 0$

Set row #5 = inf since we are considering edge <5,3>

Set column # 3 = inf since we are considering edge <5,3>

inf	inf	inf	inf	inf	1
inf	inf	inf	inf	inf	
inf	inf	inf	inf	inf	
inf	inf	inf	inf	inf	
inf	inf	inf	inf	inf	I
L	inf	inf	inf	inf	

Reduce the matrix: Rows reduced

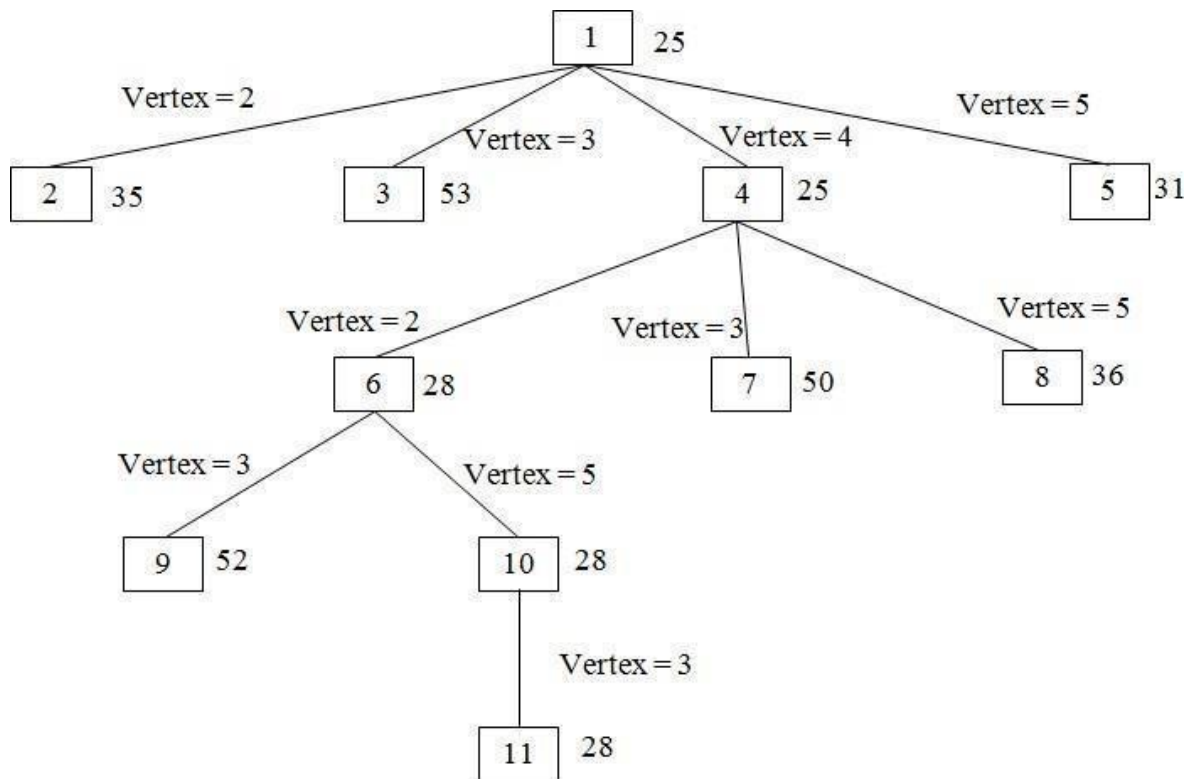
Columns reduced

The lower bound is:  $RCL = 0$

So the cost of node 11 (Considering vertex 5 from vertex 3) is:



## State Space Tree:



## 0/1 Knapsack Problem

**What is Knapsack Problem:** Knapsack problem is a problem in combinatorial optimization, Given a set of items, each with a mass & a value, determine the number of each item to include in a collection so that the total weight is less than or equal to a given limit & the total value is as large as possible.

**0-1 Knapsack Problem can formulate as.** Let there be  $n$  items,  $Z_1$  to  $Z_n$  where  $Z_i$  has value

$P_i$  & weight  $w_i$ . The maximum weight that can carry in the bag is  $m$ . All values and weights are non negative.

Maximize the sum of the values of the items in the knapsack, so that sum of the weights must be less than the knapsack's capacity  $m$ .

The formula can be stated as

$$\begin{aligned} &\text{maximize } \sum_{1 \leq i \leq n} p_i x_i \\ &\text{subject to } \sum_{1 \leq i \leq n} w_i x_i \leq M \end{aligned}$$

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

### To solve 0/1 knapsack problem using B&B:

- Knapsack is a maximization problem

- Replace the objective function  $\sum p_i x_i$  by the function  $-\sum p_i x_i$  to make it into a minimization
- The modified knapsack problem is stated as

$$\text{Minimize } -\sum_{i=1}^n p_i x_i$$

$$\text{subject to } \sum_{i=1}^n w_i x_i < m,$$

$$x_i \in \{0, 1\}, 1 \leq i \leq n$$

- Fixed tuple size solution space:

- Every leaf node in state space tree represents an answer for

$$\sum_{1 \leq i \leq n} w_i x_i \leq m$$

is an answer node; other leaf nodes are infeasible

- For optimal solution, define

$$c(x) = -\sum_{1 \leq i \leq n} p_i x_i$$

for every answer node x

- For infeasible leaf nodes,  $c(x) = \infty$

- For non leaf nodes

$$c(x) = \min\{c(\text{lchild}(x)), c(\text{rchild}(x))\}$$

- Define two functions  $\hat{c}(x)$  and  $u(x)$

such that for every node x,

$$\hat{c}(x) \leq c(x) \leq u(x)$$

- Computing  $\hat{c}(\cdot)$  and  $u(\cdot)$

Let x be a node at level j,  $1 \leq j \leq n + 1$

Cost of assignment:  $-\sum_{1 \leq i < j} p_i x_i$

$$c(x) \leq -\sum_{1 \leq i < j} p_i x_i$$

We can use  $u(x) = -\sum_{1 \leq i < j} p_i x_i$

Using  $q = -\sum_{1 \leq i < j} p_i x_i$ , an improved upper bound function  $u(x)$  is

$$u(x) = \text{ubound}(q, \sum_{1 \leq i < j} w_i x_i, j - 1, m)$$

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

```
Algorithm ubound ( cp, cw, k, m )  
  
{  
  
    // Input:  cp: Current profit total  
  
    // Input:  cw: Current weight total  
  
    // Input:  k:  Index of last removed item  
  
    // Input:  m:  Knapsack capacity  
  
    b=cp; c=cw;  
    for i:=k+1  
        to  n  
        do {  
            if (c+  
                w[i] ≤  
                m)  
            then {  
                c:=c+w[i]; b=b+p[i];  
            }  
        }  
    }  
    return b;  
}
```

**NP-Hard and NP-Complete problems:** Basic concepts, non deterministic algorithms, NP -Hard and NPComplete classes, Cook's theorem.

### Basic concepts:

**NP,** Nondeterministic Polynomial time

The problems has best algorithms for their solutions have “Computing times”, that cluster into two groups

Group 1	Group 2

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

<ul style="list-style-type: none"> <li>&gt; Problems with solution time bound by a polynomial of a small degree.</li> <li>&gt; It also called “Tractable Algorithms”</li> <li>&gt; Most Searching &amp; Sorting algorithms are polynomial time algorithms</li> <li>&gt; <b>Ex:</b>  <div style="margin-left: 40px;">                     Ordered Search (<math>O(\log n)</math>),                      Polynomial evaluation <math>O(n)</math>                      Sorting <math>O(n \log n)</math> </div> </li> </ul>	<ul style="list-style-type: none"> <li>&gt; Problems with solution times not bound by polynomial (simply non polynomial )</li> <li>&gt; These are hard or intractable problems</li> <li>&gt; None of the problems in this group has been solved by any polynomial time algorithm</li> <li>&gt; <b>Ex:</b>  <div style="margin-left: 40px;">                     Traveling Sales Person <math>O(n^2 2^n)</math>                      Knapsack <math>O(2^{n/2})</math> </div> </li> </ul>
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o one has been able to develop a polynomial time algorithm for any problem in the 2<sup>nd</sup> group (i.e., group 2)

So, it is compulsory and finding algorithms whose computing times are greater than polynomial very quickly because such vast amounts of time to execute that even moderate size problems cannot be solved.

### Theory of NP-Completeness:

Show that many of the problems with no polynomial time algorithms are computational time algorithms are computationally related.

There are two classes of non-polynomial time problems

1. NP-Hard
2. NP-Complete
3. **NP Complete Problem:** A problem that is NP-Complete can be solved in polynomial time if and only if (iff) all other NP-Complete problems can also be solved in polynomial time.
4. **NP-Hard:** Problem can be solved in polynomial time then all NP-Complete problems can be solved in polynomial time.
5. All NP-Complete problems are NP-Hard but some NP-Hard problems are not known to be NP-Complete.

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

### Nondeterministic Algorithms:

Algorithms with the property that the result of every operation is uniquely defined are termed as deterministic algorithms. Such algorithms agree with the way programs are executed on a computer.

Algorithms which contain operations whose outcomes are not uniquely defined but are limited to a specified set of possibilities. Such algorithms are called nondeterministic algorithms.

The machine executing such operations is allowed to choose any one of these outcomes subject to a termination condition to be defined later.

To specify nondeterministic algorithms,

there are 3 new functions. Choice(S),

arbitrarily chooses one of the

elements of sets S Failure(), Signals

an Unsuccessful completion

Success(), Signals a successful completion.

### Example for Non Deterministic algorithms:

<pre><b>Algorithm Search(x){</b> //Problem is to search an element x  //output J, such that A[J]=x; or J=0 if x is not in A J:=Choice(1,n); if( A[J]:=x) then {     Write(J);     Success(); } else{     write(0);     failure(); } }</pre>	<p>Whenever there is a set of choices that leads to a successful completion then one such set of choices is always made and the algorithm terminates.</p> <p>A Nondeterministic algorithm terminates unsuccessfully if and only if (iff) there exists no set of choices leading to a successful signal.</p>
---	---

## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

Nondeterministic Knapsack algorithm	
<b>Algorithm DKP</b> (p, w, n, m, r, x){ W:=0; P:=0; for i:=1 to n do { x[i]:=choice(0, 1); W:=W+x[i]*w[i]; P:=P+x[i]*p[i]; } if((W>m) or (P<r)) then Failure(); else Success(); }	p, given Profits w, given Weights n, Number of elements (number of p or w) m, Weight of bag limit P, Final Profit W, Final weight

### The Classes NP-Hard & NP-Complete:

For measuring the complexity of an algorithm, we use the input length as the parameter. For example, An algorithm A is of polynomial complexity  $O(p(n))$  such that the computing time of A is  $O(p(n))$  for every input of size n. **Decision problem/ Decision algorithm:** Any problem for which the answer is either zero or one is decision problem. Any algorithm for a decision problem is termed a decision algorithm.

**Optimization problem/ Optimization algorithm:** Any problem that involves the identification of an optimal (either minimum or maximum)

value of a given cost function is known as an optimization problem. An optimization algorithm is used to solve an optimization problem.

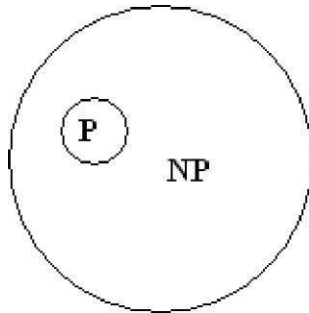
$P_n$  is the set of all decision problems solvable by deterministic algorithms in polynomial time.

$NP_n$  is the set of all decision problems solvable by nondeterministic algorithms in polynomial time.

Since deterministic algorithms are just a special case of nondeterministic, by this we concluded that  $P \subseteq NP$



## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]



Commonly believed relationship between P & NP

The most famous unsolvable problems in Computer Science is Whether  $P=NP$  or  $P \neq NP$ . In considering this problem, s.cook formulated the following question.

If there any single problem in NP, such that if we showed it to be in 'P' then that would imply that  $P=NP$ .

Cook answered this question with

**Theorem:** Satisfiability is in P if and only if (iff)  $P=NP$

-) Notation of Reducibility

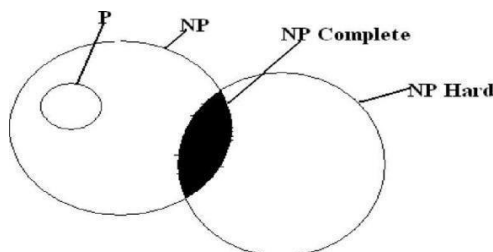
Let  $L_1$  and  $L_2$  be problems, Problem  $L_1$  reduces to  $L_2$  (written  $L_1 \alpha L_2$ ) iff there is a way to solve  $L_1$  by a deterministic polynomial time algorithm using a deterministic algorithm that solves  $L_2$  in polynomial time

This implies that, if we have a polynomial time algorithm for  $L_2$ , Then we can solve  $L_1$  in polynomial time.

Here  $\alpha$  is a transitive relation i.e.,  $L_1 \alpha L_2$  and  $L_2 \alpha L_3$  then  $L_1 \alpha L_3$

A problem  $L$  is NP-Hard if and only if (iff) satisfiability reduces to  $L$  i.e.,  $\text{Satisfiability} \alpha L$

A problem  $L$  is NP-Complete if and only if (iff)  $L$  is NP-Hard and  $L \in NP$





## DESIGN AND ANALYSIS OF ALGORITHM [CS3102PC]

Commonly believed relationship among P, NP, NP-Complete and NP-

Hard Most natural problems in NP are either in P or NP- complete.

### Examples of NP-complete problems:

- > Packing problems: SET-PACKING, INDEPENDENT-SET.
- > Covering problems: SET-COVER, VERTEX-COVER.
- > Sequencing problems: HAMILTONIAN-CYCLE, TSP.
- > Partitioning problems: 3-COLOR, CLIQUE.
- > Constraint satisfaction problems: SAT, 3-SAT.

Numerical problems: SUBSET-SUM, PARTITION, KNAPSACK

**Cook's Theorem:** States that satisfiability is in P if and only if

$P=NP$

If  $P=NP$  then satisfiability is in P

If satisfiability is

in P, then

$P=NP$  To do this

- > A- ) Any polynomial time nondeterministic decision algorithm.

I- ) Input of that algorithm

Then formula  $Q(A, I)$ , Such that  $Q$  is satisfiable iff 'A' has a successful termination with Input  $I$ .

- > If the length of 'I' is 'n' and the time complexity of A is  $p(n)$  for some polynomial  $p()$  then length of  $Q$  is  $O(p^3(n) \log n) = O(p^4(n))$

The time needed to construct  $Q$  is also  $O(p^3(n) \log n)$ .

> A deterministic algorithm 'Z' to determine the outcome of 'A' on any input 'I'. Algorithm Z computes 'Q' and then uses a deterministic algorithm for the satisfiability problem to determine whether 'Q' is satisfiable. If  $O(q(m))$  is the time needed to determine whether a formula of length 'm' is satisfiable then the complexity of 'Z' is  $O(p^3(n) \log n + q(p^3(n) \log n))$ .

> If satisfiability is 'p', then 'q(m)' is a polynomial function of 'm' and the complexity of 'Z' becomes 'O(r(n))' for some polynomial 'r()'.

- > Hence, if satisfiability is in  $p$ , then for every nondeterministic algorithm A in NP, we can obtain a deterministic Z in  $p$ .

By this we show that satisfiability is in  $p$  then  $P=NP$