

## FORMAL LANGUAGES AND AUTOMATA THEORY

### B Tech III Year I Sem

Course Code	Category	Hours/Week			Credits	Maximum Marks		
23CY503	Professional Core	L	T	P	C	CIE	SEE	TOTAL
		3	0	0	3	40	60	100
Contact Classes: 48	Tutorial Classes: Nil	Practical Classes: -				Total Classes: 48		
Prerequisite: A Course on Discrete Mathematics								

### Course Objectives

- To provide an introduction to some of the central ideas of theoretical computer science from the perspective of formal languages.
- To introduce the fundamental concepts of formal languages, grammars, and automata theory.
- To classify machines by their power to recognize languages.
- To employ finite state machines to solve problems in computing.
- To understand deterministic and non-deterministic machines.
- To understand the differences between decidability and undecidability.

### Course Outcomes

- Able to understand the concept of abstract machines and their power to recognize the languages.
- Able to employ finite state machines for modeling and solving computing problems.
- Able to design context-free grammars for formal languages.
- Able to distinguish between decidability and undecidability.
- Able to gain proficiency with mathematical tools and formal methods.

### MODULE-I

**Introduction to Finite Automata:** Structural Representations, Automata and Complexity, the Central Concepts of Automata Theory-Alphabets, Strings, Languages, Problems. Nondeterministic Finite Automata: Formal Definition, an application, Text Search, Finite Automata with Epsilon-Transitions.

**Deterministic Finite Automata:** Definition of DFA, How a DFA Processes Strings, The language of DFA, Conversion of NFA with  $\epsilon$ -transitions to NFA without  $\epsilon$ -transitions.

### MODULE-II

**Regular Expressions:** Finite Automata and Regular Expressions, Applications of Regular Expressions, Algebraic Laws for Regular Expressions, Conversion of Finite Automata to Regular Expressions.

**Pumping Lemma for Regular Languages:** Statement of the pumping lemma, Applications of the Pumping Lemma., Closure Properties of Regular Languages: Closure properties of Regular languages, Decision, Properties of Regular Languages, Equivalence and Minimization of Automata.

## MODULE- III

**Context-Free Grammars:** Definition of Context- Free Grammars, Derivations Using a Grammar, Left most and Right most Derivations, the Language of a Grammar, Sentential Forms, Parse Tress, Applications Grammars and Languages. of Context-Free Grammars, Ambiguity in

**Push Down Automata:** Definition of the Push down Automaton, the Languages of a PDA, Equivalence of PDA's and CFG's, Acceptance by final state, Acceptance by empty stack, Deterministic Pushdown Automata. From CFG to PDA, From PDA to CFG.

## MODULE- IV

**Normal Forms for Context-Free Grammars:** Eliminating useless symbols, Eliminating  $\epsilon$ -Productions. Chomsky Normal form, Griebach Normal form. Pumping Lemma for Context-Free Languages: Statement of pumping lemma, Applications.

**Closure Properties of Context-Free Languages:** Closure properties of CFL's, Decision Properties of CFL's Turing Machines: Introduction to Turing Machine, Formal Description, Instantaneous description, The language of a Turing machine

## MODULE- V

**Types of Turing machine:** Turing machines and halting

**Undesirability:** Undesirability, A Language that is Not Recursively Enumerable, An Undividable Problem That is RE, Undividable Problems about Turing Machines, Recursive languages, Properties of recursive languages, Post's Correspondence Problem, Modified Post Correspondence problem, Other Undividable Problems, Counter machines.

## TEXT BOOKS:

1. Introduction to Automata Theory, Languages and Computation, 3rd Edition, John E.Hopcroft, Rajeev Motwani, Jeffrey D.Ullman, Pearson Education.
2. Theory of Computer Science-Automata languages and computation, Mishra and Chandrashekar, 2nd edition, PHI.

## REFERENCE BOOKS:

1. Introduction to Languages and the Theory of Computation, John C Martin, TMH.
2. Introduction to Computer Theory, Daniel I.A. Cohen, John Wiley.
3. A Textbook on Automata Theory, P.K.Srimani, NasirS.F.B, Cambridge University Press.
4. Introduction to the Theory of Computation, Michael Sipser, 3rd edition, Cengage Learning.
5. Introduction to Formal languages Automata Theory and Computation Kamala Krithivasan, Rama R, Pearson

# FORMAL LANGUAGE AUTOMATA THEORY

①

## UNIT - I

Automata = an abstract computing devices.

→ Automata theory is the study of computation.



Processing.  
(processes input to output)

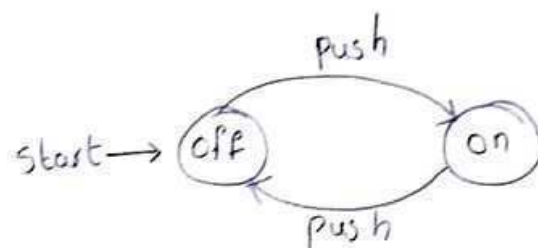
→ Automata theory deals with logic of computation with respect to simple machines, referred to as automata.

→ Through automata, we understand how machines compute functions and solve problems.

→ Alan Turing (1912-1954) is the father of modern computers, had developed a machine called Turing m/c.

→ Turing m/c is the basic model that describes the entire functionality of all the machines (i.e., set of i/p's, set of outputs and the processor).

Example. Finite Automata model for the switch is



→ For all finite automata states are represented by circles.

In this example we have two states 'on' and 'off'. Arcs b/w states are labeled by 'inputs', which represent external influences on the system.

## Structural Representation:

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→ These are two important notations that play an important role in the study of automata and their applications.

① Grammars

② Regular Expressions

→ Grammars are useful models when designing software that processes data with recursive structure.

Ex: A grammatical rule like  $E \Rightarrow E + E$  states that an expression is formed by taking any two expressions and connecting them by plus sign.

→ Regular Expressions: R.E denote the structure of data, especially text strings.

UNIX style R.E is `'[A-Z][a-z]*[ ][A-Z][A-Z]'` represent capitalized words followed by a space and two capital letters. This expression represents the patterns in text that could be a city and state Hyderabad TE.

## Automata and complexity:

→ Automata is the study of limits of computation.

There are two important issues:

① What can a computer do at all? This study is called "decidability".

② What can a computer do efficiently? This study is called "tractability". Are the problems that can be solved by computer using no more time is called 'tractable'.



## The central concepts of Automata Theory :

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Three things used to design a machine :

- ① Alphabet (set of symbols)
- ② Strings (list of symbols from an alphabet)
- ③ Language (a set of strings from the same alphabet).

### Alphabet :

→ An alphabet is a finite non-empty set of symbols.

→ Representation :  $\Sigma$  (sigma)

Common alphabets include

- $\Sigma = \{0, 1\}$ , the binary alphabet.
- $\Sigma = \{a, b, \dots, z\}$ , set of all lower case letters.
- $\Sigma = \{0, 1, 2, \dots, 9\}$ , set of all ASCII characters or digits.
- $\Sigma = \{a-z, A-Z, 0-9\}$  Alphanumeric.

### Strings :

→ A string or word is a finite collection of symbols selected from the alphabets ( $\Sigma$ ).

Ex: 0101 is a string from binary alphabet  $\Sigma = \{0, 1\}$ .

→ The Empty string is the string with zero occurrences of symbols. Represented by  $\epsilon$  ('Epsilon').

### Length of string :

→ The "length" of the string is denoted by  $|w|$  and it is the number of positions for the symbol in the string.

Ex:  $w = 01101$  has length = 5 i.e.,  $|w| = 5$

## Power of an alphabet :

→ If  $\Sigma$  is an alphabet, we can express the set of all strings of certain length from that alphabet by using an exponential notation. ' $\Sigma^K$ ' represent set of string of length  $K$ .

Ex:  $\Sigma^0 = \epsilon$

$$\Sigma = \{a, b, c\}$$

$$\Sigma^1 = \{a, b, c\}$$

$$\Sigma^2 = \{aa, ab, ac, ba, bb, cc, \dots\}$$

$$\Sigma^3 = \{aaa, abc, aab, bbb, aac, \dots\}$$

$$\Sigma^* = \Sigma^0 \cup \Sigma^1 \cup \Sigma^2 \cup \dots$$

$$\Sigma^+ = \Sigma^1 \cup \Sigma^2 \cup \Sigma^3 \cup \dots \text{ is set of non empty strings}$$

$$\Sigma^* = \Sigma^+ \cup \{\epsilon\}$$

## Concatenation of strings:

→ Let  $x$  &  $y$  be two strings. Then  $xy$  denotes the concatenation of  $x$  &  $y$  i.e., the string formed by making a copy of  $x$  and following it by a copy of  $y$ .

Ex:  $x = ab$  &  $y = cd$  then  $xy = abcd$ .

## Reverse of string:

→ Reverse of the string can be achieved by simply interchanging over last symbols.

Ex  $w = abc$  then  $w^R = cba$ .

## Languages:

- A set of strings which are chosen from some  $\Sigma^*$ , where  $\Sigma$  is a particular alphabet is called a language.
- Ex - The language of all strings consisting of  $n$  0's followed by  $n$  1's for some  $n \geq 0$ .  $\{ \epsilon, 01, 0011, 000111, \dots \}$
- The set of strings of 0's and 1's with equal number of each:  $\{ \epsilon, 01, 10, 0101, 0011, 1001, \dots \}$
- Set of binary numbers whose value is prime.  
 $\{ 10, 11, 101, 111, 1011, \dots \}$
- $\Sigma^*$  is a language for any alphabet  $\Sigma$ .
- $\emptyset$ , the empty language, over any alphabet.

## Problems:

- Problem is the question of deciding whether a given string is a member of some particular language.
- If  $\Sigma$  is an alphabet, and  $L$  is a language over  $\Sigma$ , then the problem  $L$  is:
  - Given a string  $w$  in  $\Sigma^*$ , decide whether or not  $w$  is in  $L$ .

## Finite Automata.

- ① DFA (Deterministic Finite Automata)
- ② NFA (Non-deterministic Finite automata)



## Deterministic Finite Automata:

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- F.A are mainly used for Pattern Recognition.
- It takes string of symbol as i/p and changes its state accordingly.
- when desired symbol is found, then transition occurs.
- At the time of transition, the automata can either move to the next state or stay in same state.
- Finite automata has two states, Accept state or Reject state.

### Formal Description of FA

A FA is a collection of 5-tuple  $(Q, \Sigma, q_0, F, \delta)$  where:

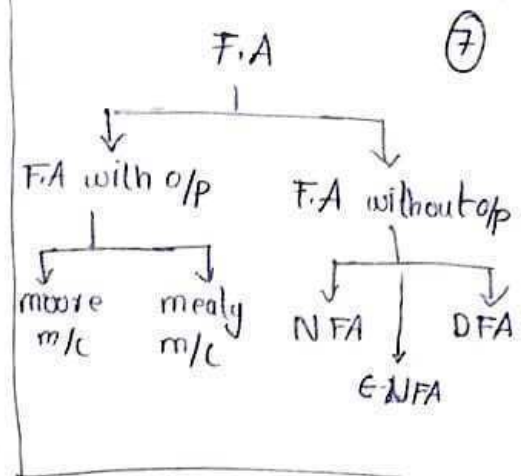
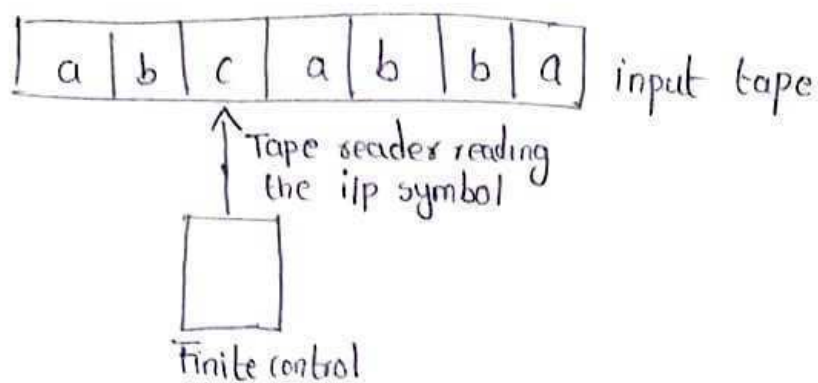
1.  $Q$ : finite set of states
2.  $\Sigma$ : Finite set of the input symbol.
3.  $q_0$ : Initial state.
4.  $F$ : Final state.
5.  $\delta$ : Transition Function. (movement of string from one state to another state)

### Finite Automata model

F.A can be represented by input tape and finite control.

Input tape: It is a linear tape having some number of cells. Each input symbol is placed in each cell.

Finite control: The finite control decides the next state on receiving particular input from input tape. The tape reader reads the cells one by one from left to right, and at a time only one input symbol is read.



## Deterministic Finite Automata (DFA)

- DFA is in a single state after reading any sequence of inputs.
- The term 'deterministic' refers to fact that on each input there is one and only one state to which the automaton can transition from its current state.
- DFA does not accept the null move i.e., DFA cannot change state without any input character.

### Definition of a DFA:

- A DFA is a collection of 5-tuples

$$F.A = \{Q, \Sigma, q_0, F, \delta\}$$

1.  $Q$  : Finite set of states.
2.  $\Sigma$  : Finite set of the input symbol.
3.  $q_0$  : initial state.
4.  $F$  : final state.
5.  $\delta$  : Transition Function that takes as arguments a state and an input symbol and returns a state.

$$\delta : Q \times \Sigma \rightarrow Q \quad \Bigg| \quad \delta(q, a) \rightarrow p$$



## How a DFA Processes strings:

- First understand how DFA decides whether or not to 'accept' a sequence of input symbols.
- Suppose  $a_1 a_2 a_3 \dots a_n$  is a sequence of input symbols, we start with start state  $q_0$ , transition function  $\delta$  is

$$\delta(q_0, a_1) = q_1$$

Then we process next input symbol  $a_2$  by evaluating  $\delta(q_1, a_2)$  which enters state  $q_2$ .

- we continue in this manner finding states  $q_3, q_4, \dots, q_n$

such that  $\delta(q_{i-1}, a_i) = q_i$  for each  $i$ .

If  $q_n$  is a member of  $F$ , then the input  $a_1 a_2 \dots a_n$  is accepted, if not it is "rejected".

example: specify a DFA that accepts all and only the strings of 0's and 1's that have the sequence 01 somewhere in the string.

language  $L$  is as follows

$\{w \mid w \text{ is of the form } x01y \text{ for some strings } x \text{ and } y \text{ consisting of 0's and 1's only}\}$

(or)

$\{x01y \mid x \text{ and } y \text{ are any strings of 0's and 1's}\}$

- strings in this language include 01, 0001, 11010, ...
- and strings not in this language include  $\epsilon$ , 0, 111000, ...

## Simpler Notations for DFA's

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These are two preferred notations for describing automata:

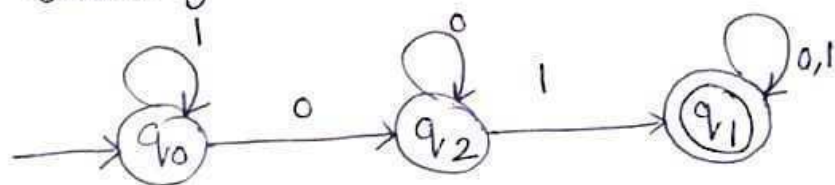
1. Transition diagram (which is a graph)
2. Transition Table (which is a tabular listing of  $\delta$  function, which by implication tells us the set of states and the input alphabet)

### Transition diagram:

→ A transition diagram for a DFA  $A = (Q, \Sigma, \delta, q_0, F)$  is defined as

- For each state  $q$  in  $Q$  there is a node.
- For each state  $q$  in  $Q$  and each input symbol  $a$  in  $\Sigma$  let  $\delta(q, a) = p$ , then transition diagram has an arc from node  $q$  to node  $p$  labeled  $a$ .
- There is an arrow into the start state  $q_0$ , labeled 'start'.
- Nodes corresponding to accepting states are marked by a double circle. States not in  $F$  have a single circle.

Ex: Transition diagram for DFA accepting all strings with a substring 01.



### Transition Tables:

- Transition table is a tabular representation of a function like  $\delta$  that takes two arguments and returns a value.
- Rows correspond to states and columns correspond to the inputs.

Ex: Transition table for DFA accepting all strings with a substring 01.

	0	1
→ $q_0$	$q_2$	$q_0$
* $q_1$	$q_1$	$q_1$
$q_2$	$q_2$	$q_1$

→ Extended transition function ( $\hat{\delta}$ ) is a function that takes a state  $q$  and a string  $w$  and returns a state  $p$  - the state the automaton reaches when starting in state  $q$  and process the input  $w$ .

Ex: suppose  $w$  is a string of the form  $xa$ ,  $a$  is the last symbol of  $w$ . If  $w = 1101$  is broken in to  $x = 110$  &  $a = 1$

then  $\hat{\delta}(q, w) = \delta(\hat{\delta}(q, x), a)$

$\hat{\delta}(q_0, \epsilon) = q_0$   $\hat{\delta}(q_0, 1) = \delta(\hat{\delta}(q_0, \epsilon), 1) = \delta(q_0, 1) = q_0$   
 $\hat{\delta}(q_0, 11) = \delta(\hat{\delta}(q_0, 1), 1) = \delta(q_0, 1) = q_0$   
 $\hat{\delta}(q_0, 110) = \delta(\hat{\delta}(q_0, 11), 0) = \delta(q_0, 0) = q_2$   
 $\hat{\delta}(q_0, 1101) = \delta(\hat{\delta}(q_0, 110), 1) = \delta(q_2, 1) = q_1$  by

### The language of DFA:

→ The language of DFA  $A = (Q, \Sigma, \delta, q_0, F)$  is denoted by  $L(A)$ , is defined by

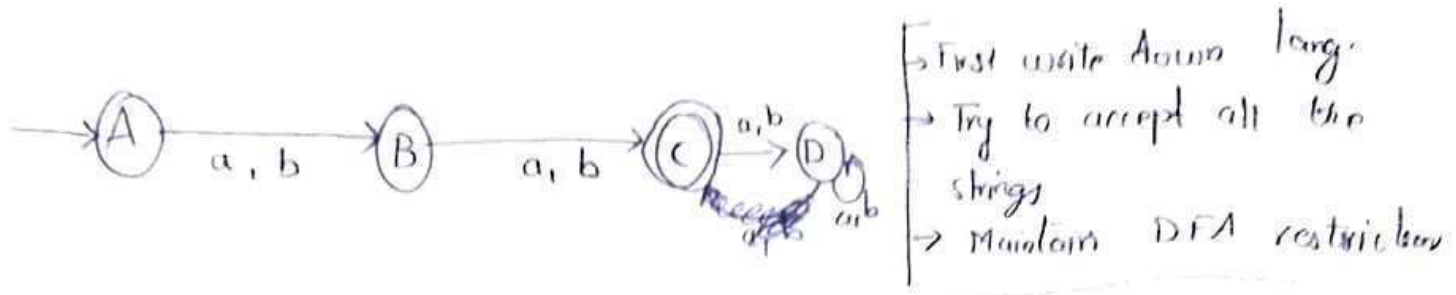
$$L(A) = \{ w \mid \hat{\delta}(q_0, w) \text{ is in } F \}$$

i.e., the language of  $A$  is the set of strings  $w$  that take the start state  $q_0$  to one of the accepting states.



Example 1: construct a DFA over  $\Sigma = \{a, b\}$  where the length is equal to 2. (11)

Solution: The language will be  $L = \{aa, ab, ba, bb\}$

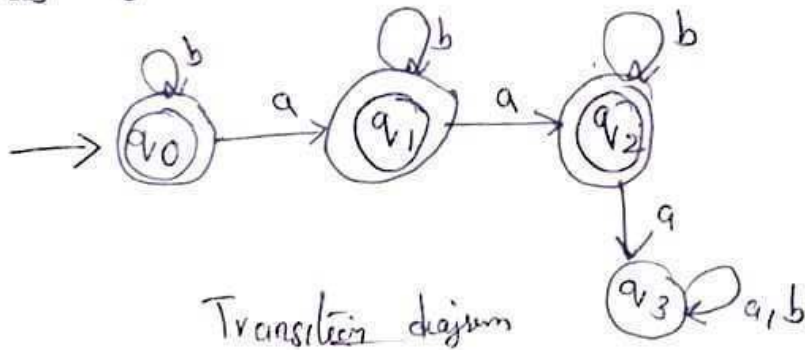


Example 2.

Design DFA which accepts all the strings not having more than two a's over  $\Sigma = \{a, b\}$ .

Solution: In this DFA maximum two a's are accepted.

If we try to accept third a then it should not lead us to final state.



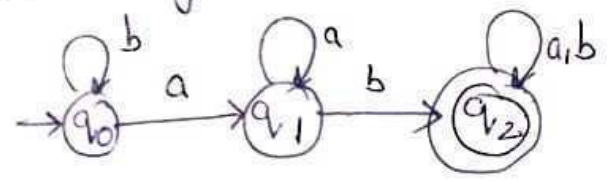
	a	b
$\rightarrow q_0$	$q_1$	$q_0$
$q_1$	$q_2$	$q_1$
$q_2$	$q_3$	$q_2$
$q_3$	$q_3$	$q_3$

Example : Design DFA for the following languages shown below  $\Sigma = \{a, b\}$ .

- (a)  $L = \{w \mid w \text{ does not contains the substring } ab\}$
- (b)  $L = \{w \mid w \text{ contains neither the substring } ab \text{ nor } ba\}$
- (c)  $L = \{w \mid w \text{ is any string that does not contain exactly two a's}\}$
- (d)  $L = \{w \mid w \text{ is any string except a \& b}\}$

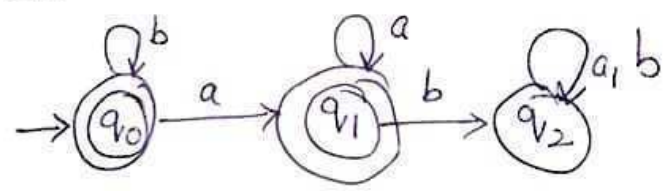
Solution:

(a) For designing the DFA specified by language  $L$  we will first design DFA that contain substring  $ab$ .

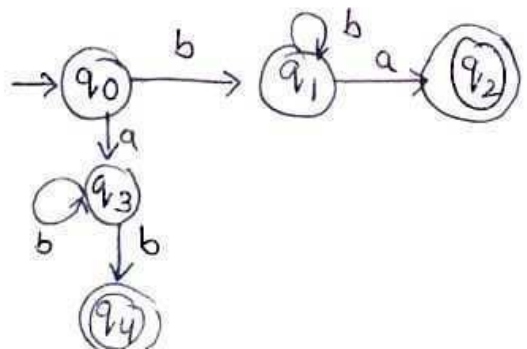


Now, For DFA that accepts a language  $L$ , which do not contain substring  $ab$ , we will simply change the accept state (i.e., final state to non final state and non final states will be made final states.

The DFA will then be as follows.

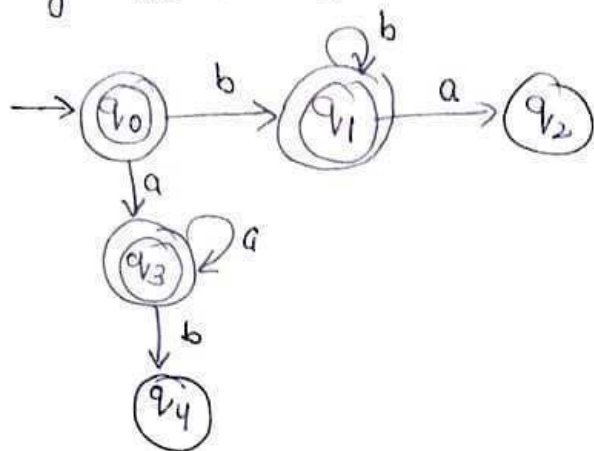


(b) The DFA that contains  $ab$  or  $ba$  is

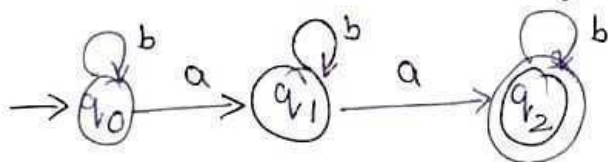




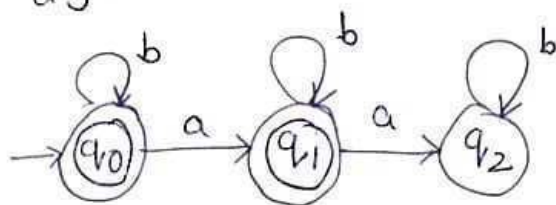
Now if we change non-final state to final and final state to non-final state then the DFA is as follows. This DFA accepts strings which do not contain the substring  $ab$  or  $ba$ . (13)



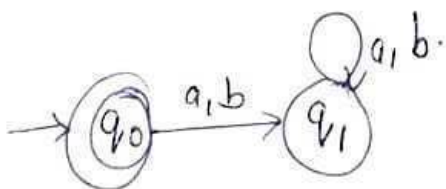
(C) The DFA that contains a language having two  $a$ 's exactly:



Now we will exchange the final and non-final states and then the DFA which will be obtained will represent a language that doesn't contain exactly two  $a$ 's.

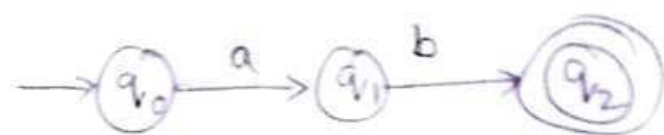


(d) The DFA that does not contain  $a, b$  is



Ex: Obtain a DFA to accept strings of a's & b's starting with the string ab.

Solution It is clear that the string should start with 'ab' and so, the minimum string ab, we need three states and the machine can be written as

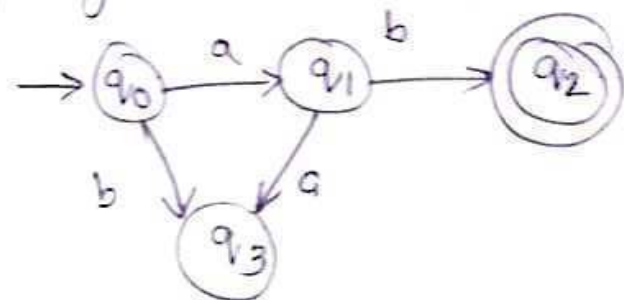


$q_0$  - starting / initial state

$q_2$  - Final / accepting state

→ since the starting char shouldn't be 'b' the input symbol 'b' is pointed to the rejected / dead state  $q_3$  from  $q_0$ .

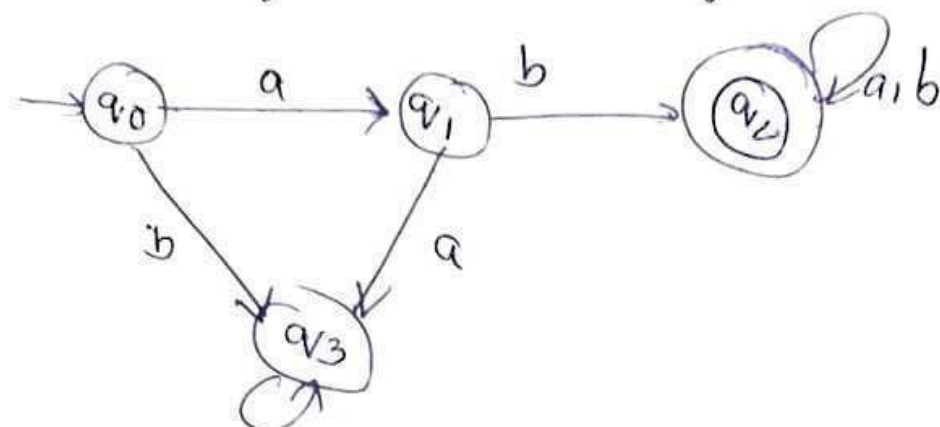
→ since the second char shouldn't be 'a' the input symbol 'a' is pointed to dead state  $q_3$  from  $q_1$ .



→ whenever the string is not starting with ab, the machine will be in state  $q_3$  which is dead state.

→ After the string ab, the string containing any combination of a's & b's can be accepted and so remain in the state  $q_2$  only.

→ The complete m/c to accept the string of a's & b's starting with the string ab is as follows



The language accepted by DFA can be represented as  $L = \{ ab(a+b)^n \mid n \geq 0 \}$  (or)

$$Q = \{ q_0, q_1, q_2, q_3 \}$$

$$L = \{ ab(a+b)^* \}$$

$$\Sigma = \{ a, b \}$$

$$Q_0 = \{ q_0 \}$$

$$F = \{ q_2 \}$$

Transition table :

states	a	b
→ q <sub>0</sub>	q <sub>1</sub>	q <sub>3</sub>
q <sub>1</sub>	q <sub>3</sub>	q <sub>2</sub>
(q <sub>2</sub> )	q <sub>2</sub>	q <sub>2</sub>
q <sub>3</sub>	q <sub>3</sub>	q <sub>3</sub>

Ques: Draw a DFA to accept string of 0's and 1's ending (16)  
with the string '01'.

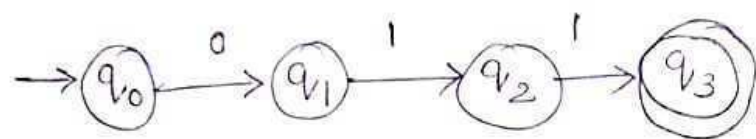
Solution: Given  $\Sigma = \{0, 1\}$

$L$  is All the strings ending with string 01.

So

$L = \{01, 000 \dots 01, 111 \dots 01, 0101100 \dots 01, \dots\}$

→ The basic string is 01, so draw F.A for this  
using  $Q = \{q_0, q_1, q_2, q_3\}$



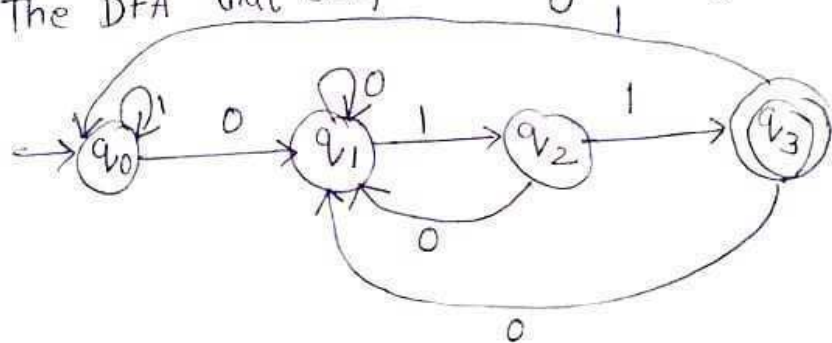
→ Since the starting symbol can be anything the input  
symbol '1' on  $q_0$  is self looped.

→ Since the ending must be 01 the input '0' on  
 $q_1$  is self-looped.

→ The input '0' on  $q_2$  is pointed to  $q_1$  so that  
it can end as 01.

→ '0' and '1' input on  $q_3$  is pointed to  $q_1$  and  $q_0$   
respectively.

→ The DFA that accepts strings ending with 01 is as follows





Transition table

states	symbols	
	0	1
$\rightarrow q_0$	$q_1$	$q_0$
$q_1$	$q_1$	$q_2$
$q_2$	$q_1$	$q_3$
$(q_3)$	$q_1$	$q_0$

### Non - Deterministic Finite Automata (NFA) :

→ The transition from a state can be to multiple next states for each input symbol.

→ NFA Permits empty string transitions.

A NFA is represented essentially like a DFA

$$A = (Q, \Sigma, \delta, q_0, F)$$

where ①  $Q$  is a finite set of states.

②  $\Sigma$  is a finite set of input symbols

③  $q_0$ , a member of  $Q$ , is the start state.

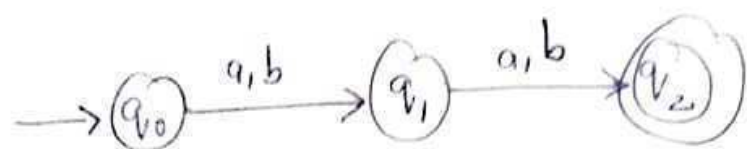
④  $F$ , a subset of  $Q$ , is the set of final or accepting states.

⑤  $\delta$ , the transition function that takes a state in  $Q$  and an input symbol in  $\Sigma$  as arguments and returns a subset of  $Q$ .



Example: construct NFA over  $\Sigma = \{a, b\}$  where the length is equal to 2. (18)

Solution: The language will be  $L = \{aa, ab, ba, bb\}$



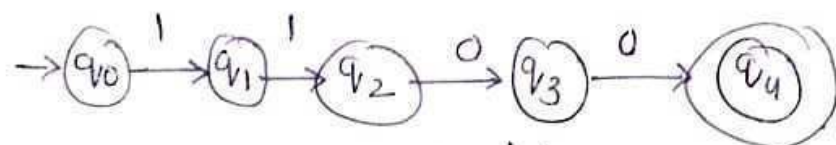
Example:

Design an NFA with  $\Sigma = \{0, 1\}$  in which double '1' is followed by double 'zero'.

Soln: The FA with double '1' is as follows

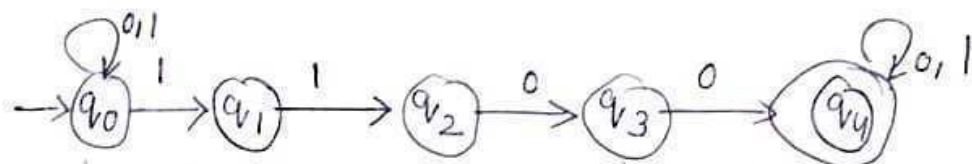


→ It should be immediately followed by 0



→ Now before double '1' there can be any string of 0's & 1's, similarly after double '0' there can be any string of 0's & 1's.

→ Hence NFA becomes

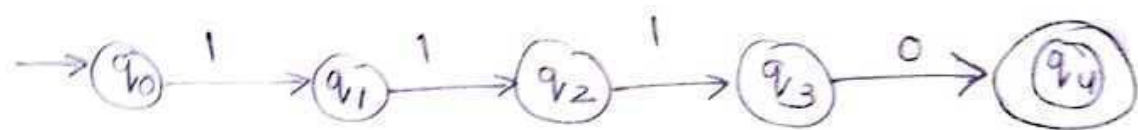


Transition table is as follows.

	0	1
→ q <sub>0</sub>	q <sub>0</sub>	{q <sub>0</sub> , q <sub>1</sub> }
q <sub>1</sub>	∅	q <sub>2</sub>
q <sub>2</sub>	q <sub>3</sub>	∅
q <sub>3</sub>	q <sub>4</sub>	∅
*q <sub>4</sub>	q <sub>4</sub>	q <sub>4</sub>

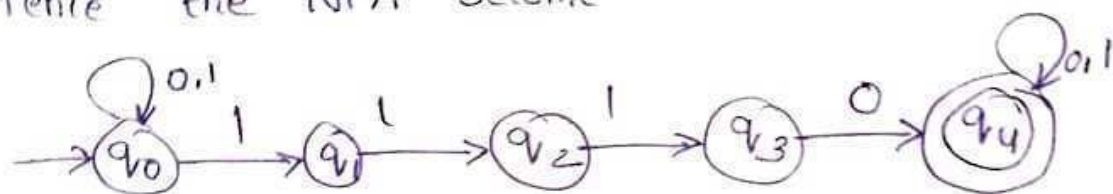
Example 2 Design a NFA in which all the string contain (19)  
a substring 1110.

Solution The language consists of all the strings containing  
1110. The partial transition diagram can be:



→ Now as 1110 could be the substring  
Hence we will add the inputs 0's and 1's so, that  
the substring 1110 of the language can be maintained.

→ Hence the NFA becomes:



Transition table:

states/inputs	0	1
→ q <sub>0</sub>	q <sub>0</sub>	{q <sub>0</sub> , q <sub>1</sub> }
q <sub>1</sub>	∅	q <sub>2</sub>
q <sub>2</sub>	∅	q <sub>3</sub>
q <sub>3</sub>	q <sub>4</sub>	∅
* q <sub>4</sub>	q <sub>4</sub>	q <sub>4</sub>

consider the string 111010

$$\begin{aligned}
 \delta(q_0, 111010) &= \delta(q_0, 11010) \\
 &= \delta(q_1, 1010) \\
 &= \delta(q_2, 010) \\
 &= \delta(q_3, 010) \\
 &= \delta(q_4, 10) \\
 &= \delta(q_4, 0) \\
 &= \delta(q_4, \epsilon)
 \end{aligned}$$

→ Hence q<sub>4</sub> is the acceptance state, the string is  
acceptable.

# Differences b/w DFA & NFA

DFA

NFA

1) Deterministic Finite Automata

1) Non-Deterministic Finite Automata

2) DFA is complete system

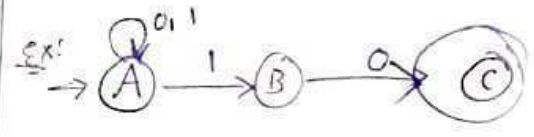
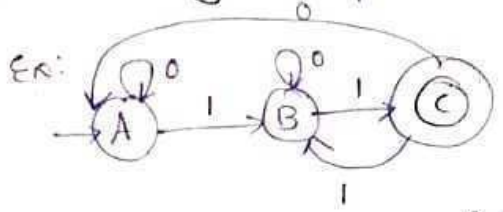
2) It may/may not be complete.

3) Every DFA is NFA

3) Every NFA may/may not be DFA. But NFA can be converted to DFA.

4) For every input string there exists only one path.

4) For every input string there exist 0 or 1 more no of paths



5) DFA is as powerful as NFA

5) NFA is as powerful as DFA

6) DFA is more efficient.

6) NFA is comparatively less efficient.

7)  $\delta: Q \times \Sigma \rightarrow Q$

7)  $\delta: Q \times \Sigma \rightarrow 2^Q$

8) Here we specify what is required to us and what not is required to us.

8) Here we specify what is required only.

9) Design complexity is more

9) comparatively less.

10) Dead state may be required.

10) Dead state is not required.

11) DFA can be understood as one machine

11) NFA can be understood as multiple little machines computing at same time.



## Applications of Finite Automata :

(21)

→ The applications of Finite Automata are :-

- 1) A finite automata is highly useful to design lexical analysers.
- 2) It is useful to design text editors.
- 3) It is highly useful to design spell checkers.
- 4) It is useful to design sequential circuit design (transducers).
- 5) Software for scanning large bodies of text (eg: web pages) for pattern finding.
- 6) Software for verifying systems of all types, that have a finite number of states.  
(eg: stock market transaction, communication/network protocol)

## NFA for Text search :

- NFA are mostly used for performing text search from group of words usually called as keywords. To determine the occurrence of any keyword within a text.
- For this reason a NFA is designed. This automata is designed in such a way that it sends signals upon seeing a keyword in accepting state.
- Here the document comprising text is sent from time to time to NFA.
- So that it finds occurrences of the keyword in the text.

NFA to search text is designed as follows.

(22)

- 1) The FA consist of a start state with a transition to every input symbol.
- 2) There exist  $K$  states for the keywords  $m_1, m_2, \dots, m_K$
- 3) A transition from the start state of  $q_1$  on symbol  $m_1$  will exist where in a transition from  $q_1$  to  $q_2$  exist on symbol  $m_2$  and on forth  $q_K$  state represents that it is an accepting state and signifies, it has found all the keywords  $m_1, m_2, \dots, m_K$ .

NFA with Epsilon Transitions:

$\epsilon$  - Empty string / Null string

→ In this type of machines changing of state is possible without reading the strings.

→ such transitions are labeled with  $\epsilon$ -transition.

→ The symbol  $\epsilon$  does not belong to any alphabet.

A  $\epsilon$ -NFA is a  $A = (Q, \Sigma, \delta, q_0, F)$

-  $Q$  is set of states.

-  $\Sigma$  is the alphabet of input symbols.

-  $q_0$  is initial state.

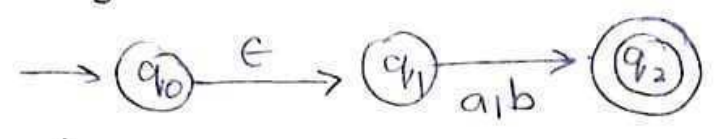
-  $F$  is the set of final states.

-  $\delta: Q \times \Sigma \cup \epsilon \rightarrow P(Q)$  is the transition function.

$\epsilon$ -closure:  $\epsilon$ -closure for a given state ' $Q$ ' means a set of states which can be reached from state ' $Q$ ' with only  $\epsilon$  (null) move including the state ' $Q$ ' itself.



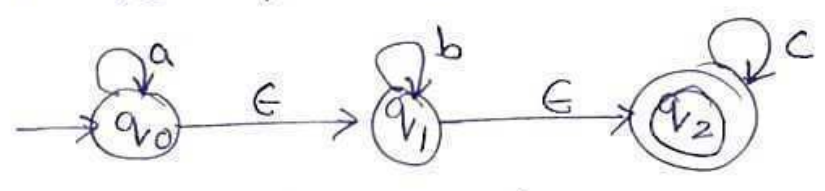
Example: A NFA accepting a language having length exactly 1 over  $\Sigma = \{a, b\}$ .



Example: Construct NFA with  $\epsilon$  which accepts a language consisting the strings of any number of a's followed by any number of b's. Followed by any number of c's.

Solution: Here any number of a's or b's or c's means zero or more in number. That means there can be zero or more a's followed by zero or more b's followed by zero or more c's.

Hence NFA with  $\epsilon$  can be



The transition table is:

state \ input	a	b	c
$\rightarrow q_0$	$q_0$	$\emptyset$	$\emptyset$
$q_1$	$\emptyset$	$q_1$	$\emptyset$
$*q_2$	$\emptyset$	$\emptyset$	$q_2$

- $\epsilon$ -closure( $q_0$ ) =  $\{q_0, q_1, q_2\}$
- $\epsilon$ -closure( $q_1$ ) =  $\{q_1, q_2\}$
- $\epsilon$ -closure( $q_2$ ) =  $\{q_2\}$

we can parse the string aabbcc as follows:

- $\delta(q_0, aabcc) = \delta(q_0, abcc)$
- $= \delta(q_0, bcc)$
- $= \delta(q_0, \epsilon bcc)$
- $= \delta(q_1, bcc)$
- $= \delta(q_1, cc)$
- $= \delta(q_1, \epsilon cc)$
- $= \delta(q_2, cc)$
- $= \delta(q_2, c)$
- $= \delta(q_2, \epsilon)$
- $= \underline{q_2}$

Conversion of NFA with  $\epsilon$  to NFA without  $\epsilon$ .

In this method we try to remove all the  $\epsilon$  transitions from the given NFA. The method will be.

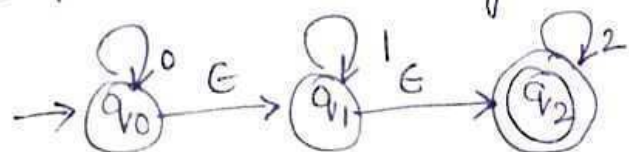
1. Find out all the  $\epsilon$ -transitions from each state from  $Q$ . This will be called as  $\epsilon$ -closure  $\{q_i\}$  where  $q_i \in Q$ .
2. Then  $\delta'$  transitions can be obtained. The  $\delta'$  transitions means an  $\epsilon$ -closure on  $\delta$  moves.
3. Step 2 is repeated for each input symbol and for each state of given NFA.
4. Using the resultant states the transition table for equivalent NFA without  $\epsilon$  can be built.

Rule for conversion

$$\delta'(q, a) = \epsilon\text{-closure}(\delta(\delta^A(q, \epsilon), a))$$

where  $\delta^A(q, \epsilon) = \epsilon\text{-closure}(q)$

Example: convert the given NFA with  $\epsilon$  to NFA without  $\epsilon$ .



Solution: we will first obtain  $\epsilon$ -closure of each state. i.e., we will find out  $\epsilon$ -reachable states from current state.

Hence  $\epsilon\text{-closure}(q_0) = \{q_0, q_1, q_2\}$

$$\epsilon\text{-closure}(q_1) = \{q_1, q_2\}$$

$$\epsilon\text{-closure}(q_2) = \{q_2\}$$

$$\begin{aligned} \delta'(q_0, 0) &= \epsilon\text{-closure}(\delta(\delta^+(q_0, \epsilon), 0)) \\ &= \epsilon\text{-closure}(\delta(\epsilon\text{-closure}(q_0), 0)) \\ &= \epsilon\text{-closure}(\delta(q_0, q_1, q_2), 0) \\ &= \epsilon\text{-closure}(\delta(q_0, 0) \cup \delta(q_1, 0) \cup \delta(q_2, 0)) \\ &= \epsilon\text{-closure}(q_0 \cup \phi \cup \phi) \\ &= \epsilon\text{-closure}(q_0) = \{q_0, q_1, q_2\} \end{aligned}$$

$$\begin{aligned} \delta'(q_0, 1) &= \epsilon\text{-closure}(\delta(\delta^+(q_0, \epsilon), 1)) \\ &= \epsilon\text{-closure}(\delta(q_0, q_1, q_2), 1) \\ &= \epsilon\text{-closure}(\delta(q_0, 1) \cup \delta(q_1, 1) \cup \delta(q_2, 1)) \\ &= \epsilon\text{-closure}(\phi \cup q_1 \cup \phi) = \epsilon\text{-closure}(q_1) = \{q_1, q_2\} \end{aligned}$$

$$\begin{aligned} \delta'(q_1, 0) &= \epsilon\text{-closure}(\delta^+(\delta^+(q_1, \epsilon), 0)) \\ &= \epsilon\text{-closure}(\delta(q_1, q_2), 0) \\ &= \epsilon\text{-closure}(\delta(q_1, 0) \cup \delta(q_2, 0)) \\ &= \epsilon\text{-closure}(\phi \cup \phi) = \phi \end{aligned}$$

$$\begin{aligned} \delta'(q_1, 1) &= \epsilon\text{-closure}(\delta(\delta^+(q_1, \epsilon), 1)) \\ &= \epsilon\text{-closure}(\delta(\epsilon\text{-closure}(q_1), 1)) \\ &= \epsilon\text{-closure}(\delta(q_1, q_2), 1) \\ &= \epsilon\text{-closure}(\delta(q_1, 1) \cup \delta(q_2, 1)) \\ &= \epsilon\text{-closure}(q_1 \cup \phi) = \epsilon\text{-closure}(q_1) = \{q_1, q_2\} \end{aligned}$$



$$\begin{aligned} \delta(q_2, 0) &= \epsilon\text{-closure}(\delta(\hat{\delta}(q_2, \epsilon), 0)) \\ &= \epsilon\text{-closure}(\delta(\epsilon\text{-closure}(q_2), 0)) \\ &= \epsilon\text{-closure}(\delta(q_2, 0)) \\ &= \epsilon\text{-closure}(\emptyset) = \emptyset \end{aligned}$$

$$\begin{aligned} \delta'(q_2, 1) &= \epsilon\text{-closure}(\delta(\delta'(q_2, \epsilon), 1)) \\ &= \epsilon\text{-closure}(\delta(\epsilon\text{-closure}(q_2), 1)) \\ &= \epsilon\text{-closure}(\delta(q_2, 1)) = \epsilon\text{-closure}(\emptyset) = \emptyset \end{aligned}$$

$$\begin{aligned} \delta'(q_0, 2) &= \epsilon\text{-closure}(\delta(\delta'(q_0, \epsilon), 2)) \\ &= \epsilon\text{-closure}(\delta(\epsilon\text{-closure}(q_0), 2)) = \epsilon\text{-closure}(\delta(q_0, q_1, q_2), 2) \\ &= \epsilon\text{-closure}(\delta(q_0, 2) \cup \delta(q_1, 2) \cup \delta(q_2, 2)) \\ &= \epsilon\text{-closure}(\emptyset \cup \emptyset \cup q_2) = \epsilon\text{-closure}(q_2) = \{q_2\} \end{aligned}$$

$$\begin{aligned} \delta'(q_1, 2) &= \epsilon\text{-closure}(\delta(\delta'(q_1, \epsilon), 2)) \\ &= \epsilon\text{-closure}(\delta(\epsilon\text{-closure}(q_1), 2)) \\ &= \epsilon\text{-closure}(\delta(q_1, q_2), 2) = \epsilon\text{-closure}(\delta(q_1, 2) \cup \delta(q_2, 2)) \\ &= \epsilon\text{-closure}(\emptyset \cup q_2) = \epsilon\text{-closure}(q_2) = \{q_2\} \end{aligned}$$

$$\begin{aligned} \delta'(q_2, 2) &= \epsilon\text{-closure}(\delta(\delta'(q_2, \epsilon), 2)) \\ &= \epsilon\text{-closure}(\delta(\epsilon\text{-closure}(q_2), 2)) \\ &= \epsilon\text{-closure}(\delta(q_2, 2)) = \epsilon\text{-closure}(q_2) = \{q_2\} \end{aligned}$$

Finally.

$$\begin{aligned} \delta'(q_0, 0) &= \{q_0, q_1, q_2\} \\ \delta'(q_1, 0) &= \emptyset \\ \delta'(q_2, 0) &= \emptyset \end{aligned}$$

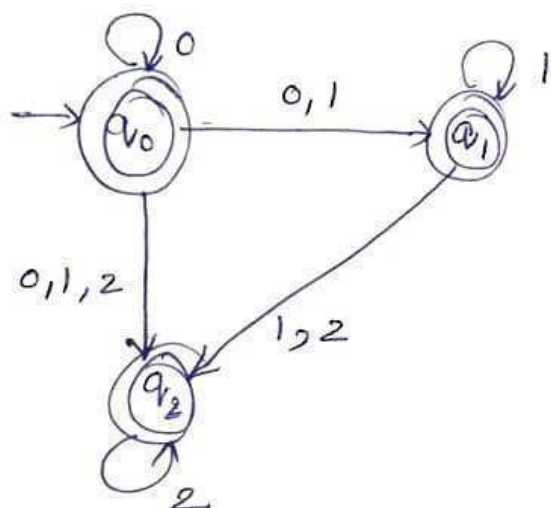
$$\begin{aligned} \delta'(q_0, 1) &= \{q_1, q_2\} \\ \delta'(q_1, 1) &= \{q_1, q_2\} \\ \delta'(q_2, 1) &= \emptyset \end{aligned}$$

$$\begin{aligned} \delta'(q_0, 2) &= \{q_2\} \\ \delta'(q_1, 2) &= \{q_2\} \\ \delta'(q_2, 2) &= \{q_2\} \end{aligned}$$

From this Transition table is

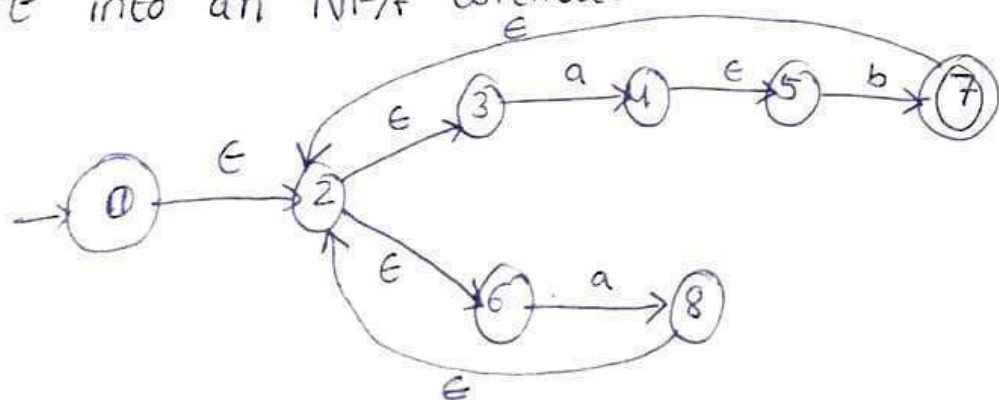
state \ input	0	1	2
$q_0$	$\{q_0, q_1, q_2\}$	$\{q_1, q_2\}$	$\{q_2\}$
$q_1$	$\emptyset$	$\{q_1, q_2\}$	$\{q_2\}$
$q_2$	$\emptyset$	$\emptyset$	$\{q_2\}$

NFA will be



Hence  $q_0, q_1, q_2$  is a final state because  $\epsilon$ -closures of  $q_0, q_1, q_2$  contains final state.

example 2 Convert the following NFA with  $\epsilon$  moves convert it into an NFA without  $\epsilon$ -moves.



Solution : we will first find out  $\epsilon$ -closure of states (24)

$$\epsilon\text{-closure}(1) = \{1, 2, 3, 6\}$$

$$\epsilon\text{-closure}(2) = \{2, 3, 6\}$$

$$\epsilon\text{-closure}(3) = \{3\}$$

$$\epsilon\text{-closure}(4) = \{4, 5\}$$

$$\epsilon\text{-closure}(5) = \{5\}$$

$$\epsilon\text{-closure}(6) = \{6\}$$

$$\epsilon\text{-closure}(7) = \{2, 3, 6, 7\}$$

$$\epsilon\text{-closure}(8) = \{2, 3, 6, 8\}$$

Now apply input transitions on states.

$$\delta'(1, a) = \epsilon\text{-closure}(\delta(\delta'(1, \epsilon), a))$$

$$= \epsilon\text{-closure}(\delta(\epsilon\text{-closure}(1), a))$$

$$= \epsilon\text{-closure}(\delta(1, 2, 3, 6), a)$$

$$= \epsilon\text{-closure}(\delta(1, a) \cup \delta(2, a) \cup \delta(3, a) \cup \delta(6, a))$$

$$= \epsilon\text{-closure}(4, 8) = \{2, 3, 4, 5, 6, 8\}$$

$$\delta'(1, b) = \epsilon\text{-closure}(\delta(\delta'(1, \epsilon), b))$$

$$= \epsilon\text{-closure}(\delta(1, 2, 3, 6), b)$$

$$= \epsilon\text{-closure}(\delta(1, b) \cup \delta(2, b) \cup \delta(3, b) \cup \delta(6, b))$$

$$= \epsilon\text{-closure}(\emptyset) = \emptyset$$

$$\delta'(2, a) = \{2, 3, 4, 5, 6, 8\}$$

$$\delta'(2, b) = \{\emptyset\}$$

$$\delta'(3, a) = \{4, 5\}$$

$$\delta'(3, b) = \emptyset$$

$$\delta'(4, a) = \emptyset$$

$$\delta'(4, b) = \{2, 3, 6, 7\}$$

$$\delta'(5, a) = \emptyset$$

$$\delta'(5, b) = \{2, 3, 6, 7\}$$

$$\delta'(6, a) = \{2, 3, 6, 8\}$$

$$\delta'(6, b) = \emptyset$$

$$\delta'(7, a) = \{2, 3, 4, 5, 6, 8\}$$

$$\delta'(7, b) = \emptyset$$

$$\delta'(8, a) = \{2, 3, 4, 5, 6, 8\}$$

$$\delta'(8, b) = \emptyset$$



Transition table is

state \ input	a	b
1	$\{2,3,4,5,6,8\}$	$\emptyset$
2	$\{2,3,4,5,6,8\}$	$\emptyset$
3	$\{4,5\}$	$\emptyset$
4	$\emptyset$	$\{2,3,6,7\}$
5	$\emptyset$	$\{2,3,6,7\}$
6	$\{2,3,6,8\}$	$\emptyset$
7	$\{2,3,4,5,6,8\}$	$\emptyset$
8	$\{2,3,4,5,6,8\}$	$\emptyset$

Conversion from NFA to DFA:

Let  $M = (Q, \Sigma, \delta, q_0, F)$  is a NFA which accepts the language  $L(M)$ . There should be equivalent DFA denoted by  $M' = (Q', \Sigma', \delta', q'_0, F')$  such that  $L(M) = L(M')$ .

The conversion method will follow following steps:

① The start state of NFA  $M$  will be the start for DFA  $M'$ . Hence add  $q_0$  of NFA to  $Q'$ . Then find the transitions from this start state.

② For each state  $[q_1, q_2, q_3, \dots, q_i]$  in  $Q'$  the transitions for each input symbol can be obtained as,

$$(i) \delta'[q_1, q_2, q_3, \dots, q_i, a] = \delta(q_1, a) \cup \delta(q_2, a) \cup \delta(q_3, a) \dots \cup \delta(q_i, a)$$

-  $[q_1, q_2, \dots, q_n]$  may be some state.

(ii) Add the state  $[q_1, q_2, \dots, q_k]$  to DFA if it is not already added in  $Q'$ .

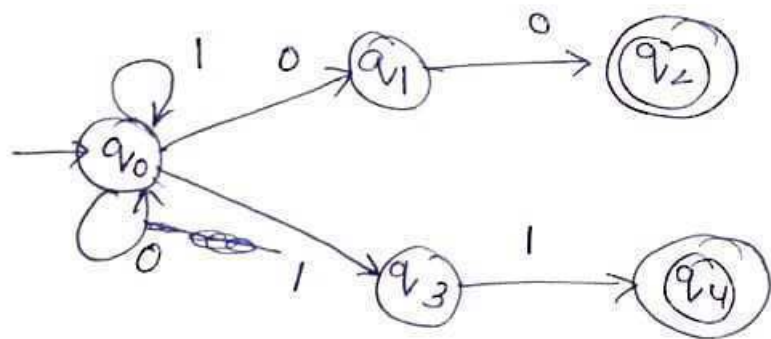
(iii) Then find the transitions for every input symbol from  $\Sigma$  for state  $[q_1, q_2, \dots, q_k]$ . If we get some state  $[q_1, q_2, \dots, q_n]$  which is not in  $Q'$  of DFA then add this state to  $Q'$ .

(iv) If there is no new state generating then stop the process after finding all the transitions.

3) For the state  $[q_1, q_2, \dots, q_n] \in Q'$  of DFA if any one state  $q_i$  is a final state of NFA then  $[q_1, q_2, \dots, q_n]$  becomes final state. Thus the set of all the final states  $\in F'$  of DFA.

Example:

1) Convert the give NFA to its equivalent DFA.



Solution we will first design transition table from given transition diagram.

state \ input	0	1
$\rightarrow q_0$	$\{q_0, q_1\}$	$\{q_0, q_3\}$
$q_1$	$\{q_2\}$	$\emptyset$
$*q_2$	$\emptyset$	$\emptyset$
$q_3$	$\emptyset$	$\{q_4\}$
$*q_4$	$\emptyset$	$\emptyset$

→ As we got a new state  $[q_0, q_1]$  we will compute  $\delta'$  transitions for input 0 & 1.

$$\begin{aligned}\delta'([q_0, q_1], 0) &= \delta(q_0, 0) \cup \delta(q_1, 0) \\ &= \{q_0, q_1\} \cup \{q_2\} \\ &= \{q_0, q_1, q_2\}\end{aligned}$$

$$\begin{aligned}\delta'([q_0, q_1], 1) &= \delta(q_0, 1) \cup \delta(q_1, 1) \\ &= \{q_0, q_3\} \cup \{\emptyset\} \\ &= \{q_0, q_3\}\end{aligned}$$

→ The new state  $[q_0, q_3]$  is obtained, we will process it.

$$\begin{aligned}\delta'([q_0, q_3], 0) &= \delta(q_0, 0) \cup \delta(q_3, 0) \\ &= \{q_0, q_1\} \cup \{\emptyset\} \\ &= \{q_0, q_1\}\end{aligned}$$

$$\begin{aligned}\delta'([q_0, q_3], 1) &= \delta(q_0, 1) \cup \delta(q_3, 1) \\ &= \{q_0, q_3\} \cup \{q_4\} = \{q_0, q_3, q_4\}\end{aligned}$$



→ Now we process newly generated states

$$[q_0, q_1, q_2] \in [q_0, q_3, q_4]$$

$$\delta'([q_0, q_1, q_2], 0) = \delta(q_0, 0) \cup \delta(q_1, 0) \cup \delta(q_2, 0) \\ = \{q_0, q_1, q_2\}$$

$$\delta'([q_0, q_1, q_2], 1) = \delta(q_0, 1) \cup \delta(q_1, 1) \cup \delta(q_2, 1) \\ = \{q_0, q_3\}$$

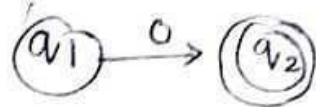
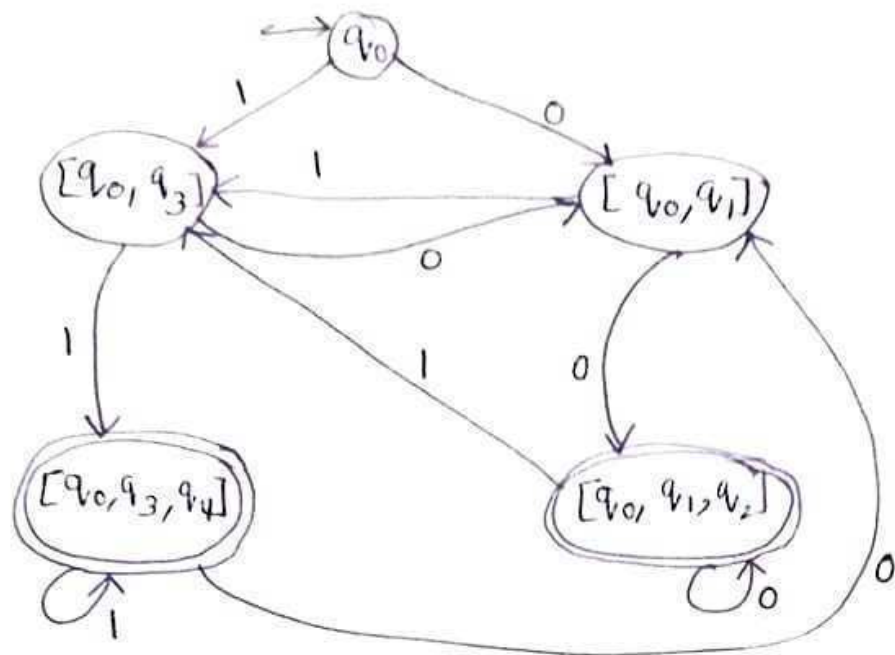
$$\delta'([q_0, q_3, q_4], 0) = \{q_0, q_1\}$$

$$\delta'([q_0, q_3, q_4], 1) = \{q_0, q_3, q_4\}$$

→ There are no further new states

Transition table is

state \ input	0	1
→ $q_0$	$\{q_0, q_1\}$	$\{q_0, q_3\}$
$q_1$	$\{q_2\}$	$\emptyset$
* $q_2$	$\emptyset$	$\emptyset$
$q_3$	$\emptyset$	$\{q_4\}$
* $q_4$	$\emptyset$	$\emptyset$
$[q_0, q_1]$	$\{q_0, q_1, q_2\}$	$\{q_0, q_3\}$
$[q_0, q_3]$	$\{q_0, q_1\}$	$\{q_0, q_3, q_4\}$
* $[q_0, q_1, q_2]$	$\{q_0, q_1, q_2\}$	$\{q_0, q_3\}$
* $[q_0, q_3, q_4]$	$\{q_0, q_1\}$	$\{q_0, q_3, q_4\}$



Not connected  
part.

→ Finally Above is the final DFA.

example:

② Let  $M = (\{q_0, q_1\}, \{0, 1\}, \delta, q_0, \{q_1\})$  be NFA

where  $S(q_0, 0) = \{q_0, q_1\}$ ,  $S(q_0, 1) = \{q_1\}$

$$\delta(q_1, 0) = \emptyset \quad \& \quad \delta(q_1, 1) = \{q_0, q_1\}$$

construct its equivalent DFA.

Solution: Let the DFA  $M' = (Q', \Sigma, \delta', q'_0, F')$

Solution, Let the DFT of  $x[n]$  be  $X[k]$ .  
Now the  $g'$  function can be computed as

$$\delta(q_0, 0) = \{q_0, q_1\}$$

$$g'([a_0], 0) = [a_0, a_1]$$

AS in NFA the initial state is  $q_0$ , the DFA will also contain the initial state  $[q_0]$ .

let us draw the transition table for  $\delta$  function for given NFA.

state \ input	0	1
$\rightarrow q_0$	$\{q_0, q_1\}$	$\{q_1\}$
$q_1$	$\emptyset$	$\{q_0, q_1\}$

$\delta$  function for NFA

From the transition table we can compute that there are  $[q_0]$ ,  $[q_1]$ ,  $[q_0, q_1]$  states for equivalent DFA. we need to compute the transition from state  $[q_0, q_1]$

$$\begin{aligned} \delta'([q_0, q_1], 0) &= \delta(q_0, 0) \cup \delta(q_1, 0) \\ &= \{q_0, q_1\} \cup \emptyset \\ &= \{q_0, q_1\} \end{aligned}$$

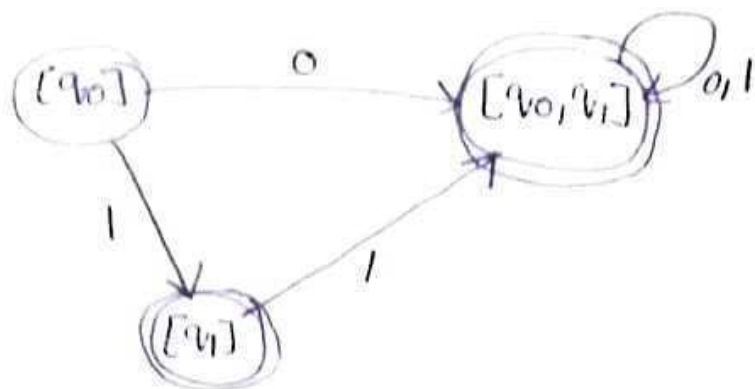
$$\begin{aligned} \delta'([q_0, q_1], 1) &= \delta(q_0, 1) \cup \delta(q_1, 1) \\ &= \{q_1\} \cup \{q_0, q_1\} \\ &= \{q_0, q_1\} \end{aligned}$$

As in given NFA  $q_1$  is the final state, then in DFA wherever  $q_1$  exists that state becomes a final state. Hence in DFA final states are  $[q_1]$  &  $[q_0, q_1]$ . Therefore set of final states are  $F = \{[q_1], [q_0, q_1]\}$ .  
Equivalent DFA is

state \ input	0	1
$\rightarrow q_0$	$[q_0, q_1]$	$[q_1]$
$*[q_1]$	$\emptyset$	$[q_0, q_1]$
$*[q_0, q_1]$	$[q_0, q_1]$	$[q_0, q_1]$



Transition diagram for DFA is



Conversion of NFA with  $\epsilon$  to DFA:

① Consider  $M = (Q, \Sigma, \delta, q_0, F)$  is NFA with  $\epsilon$ . we have to convert this NFA with  $\epsilon$  to equivalent DFA denoted by

$$M_D = (Q_D, \Sigma, \delta_D, q_0, F_D),$$

Then obtain

$\epsilon$ -closure( $q_0$ ) =  $\{P_1, P_2, \dots, P_n\}$  then  $[P_1, P_2, \dots, P_n]$  becomes a start state of DFA.

Now  $[P_1, P_2, P_3, \dots, P_n] \in Q_D$

② we will obtain  $\delta$  transitions on  $[P_1, P_2, P_3, \dots, P_n]$  for each input.

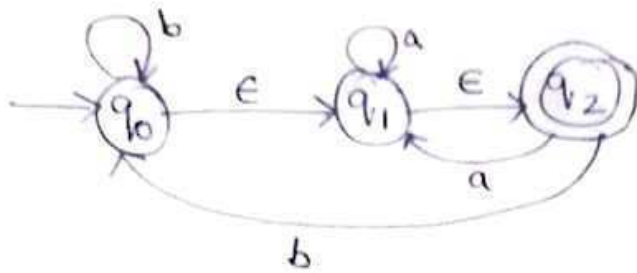
$$\begin{aligned} \delta_D([P_1, P_2, \dots, P_n], a) &= \epsilon\text{-closure}(\delta(P_1, a) \cup \delta(P_2, a) \cup \dots \cup \delta(P_n, a)) \\ &= \bigcup_{i=1}^n \epsilon\text{-closure}(P_i, a) \end{aligned}$$

where  $a$  is input  $\in \Sigma$ .

③ The states obtained  $[P_1, P_2, \dots, P_n] \in Q_D$ . The states containing final states in  $P_i$  is a final state in DFA.

Example

① Convert the following NFA with  $\epsilon$  to DFA



Solution

To convert this NFA we will first find  $\epsilon$ -closures

$$\epsilon\text{-closure}(q_0) = \{q_0, q_1, q_2\}$$

$$\epsilon\text{-closure}(q_1) = \{q_1, q_2\}$$

$$\epsilon\text{-closure}(q_2) = \{q_2\}$$

Now let us start from  $\epsilon$ -closure of start state.

$\epsilon\text{-closure}\{q_0\} = \{q_0, q_1, q_2\}$  we will call this state as A

Now let us find transitions on A with every input symbol

$$\begin{aligned} \delta'(A, a) &= \epsilon\text{-closure}(\delta(A, a)) \\ &= \epsilon\text{-closure}(\delta(q_0, a, q_1, q_2), a) \\ &= \epsilon\text{-closure}(\delta(q_0, a) \cup \delta(q_1, a) \cup \delta(q_2, a)) \\ &= \epsilon\text{-closure}(\emptyset \cup q_1 \cup q_1) \\ &= \epsilon\text{-closure}(q_1) = \{q_1, q_2\} \text{ let us call it as state B.} \end{aligned}$$

$$\begin{aligned} \delta'(A, b) &= \epsilon\text{-closure}(\delta(A, b)) \\ &= \epsilon\text{-closure}(\delta(q_0, q_1, q_2), b) \\ &= \epsilon\text{-closure}(\delta(q_0, b) \cup \delta(q_1, b) \cup \delta(q_2, b)) \\ &= \epsilon\text{-closure}(q_0 \cup \emptyset \cup q_0) \\ &= \epsilon\text{-closure}(q_0) = \{q_0, q_1, q_2\} \text{ i.e., A} \end{aligned}$$

Hence we can state that

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$$\delta'(A, a) = B$$

$$\delta'(A, b) = A$$

Now let us find transitions for state  $B = \{q_1, q_2\}$

$$\delta'(B, a) = \delta(\text{closure}(\delta(q_1, q_2), a))$$

$$= \epsilon\text{-closure}(\delta(q_1, a) \cup \delta(q_2, a))$$

$$= \epsilon\text{-closure}(q_1 \cup q_1) = \epsilon\text{-closure}(q_1)$$

$$= \{q_1, q_2\} \text{ i.e., } B$$

$$\delta'(B, b) = \epsilon\text{-closure}(\delta(q_1, b) \cup \delta(q_2, b))$$

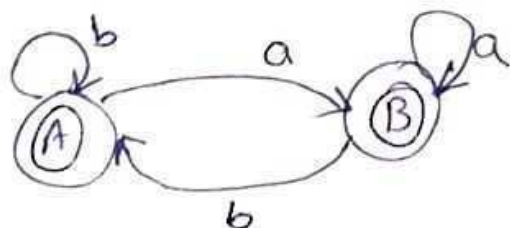
$$= \epsilon\text{-closure}(\delta(q_1, b) \cup \delta(q_2, b))$$

$$= \epsilon\text{-closure}(\emptyset \cup q_0)$$

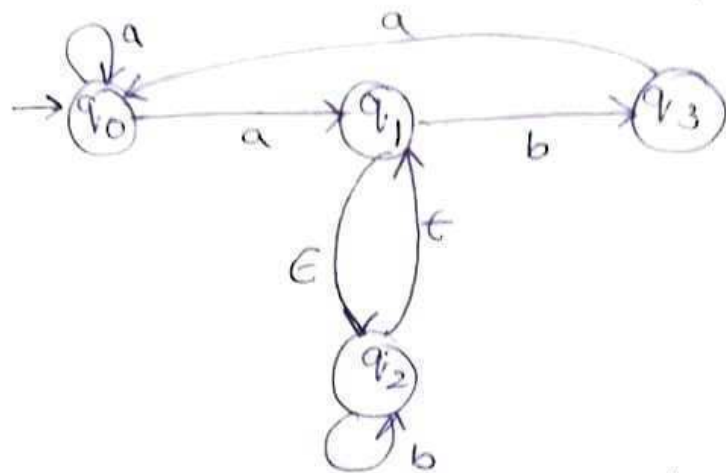
$$= \epsilon\text{-closure}(q_0) = \{q_0, q_1, q_2\} \text{ i.e., } A$$

Hence the generated DFA is

	a	b
$\rightarrow (A)$	B	A
$(B)$	B	A





Example 2Construct DFA for the following NFA  $\rightarrow \epsilon$ Solution: we will first write  $\epsilon$ -closure of start state  $q_0$ . $\epsilon$ -closure( $q_0$ ) =  $\{q_0\}$  call it as state ANow obtain  $\delta$  transitions for input  $a$  &  $b$  for state A

$$\begin{aligned}
 \delta^1(A, a) &= \epsilon\text{-closure}(\delta(A, a)) \\
 &= \epsilon\text{-closure}(\delta(q_0, a)) \\
 &= \epsilon\text{-closure}(q_0, q_1) \\
 &= \epsilon\text{-closure}(q_0) \cup \epsilon\text{-closure}(q_1) \\
 &= \{q_0\} \cup \{q_1, q_2\}
 \end{aligned}$$

 $= \{q_0, q_1, q_2\}$  — call it as state B.

$$\begin{aligned}
 \delta^1(A, b) &= \epsilon\text{-closure}(\delta(A, b)) \\
 &= \epsilon\text{-closure}(\delta(q_0, b)) \\
 &= \epsilon\text{-closure}(\emptyset) = \emptyset
 \end{aligned}$$

Now consider state B i.e.,  $\{q_0, q_1, q_2\}$ 

$$\begin{aligned}
 \delta^1(B, a) &= \epsilon\text{-closure}(\delta(B, a)) \\
 &= \epsilon\text{-closure}(\delta(q_0, q_1, q_2), a) \\
 &= \epsilon\text{-closure}(\delta(q_0, a) \cup \delta(q_1, a) \cup \delta(q_2, a))
 \end{aligned}$$

- $\epsilon$ -closure ( $\{q_0, q_1\} \cup \phi \cup \phi$ )
- $\epsilon$ -closure ( $q_0, q_1$ ) =  $\epsilon$ -closure( $q_0$ )  $\cup$   $\epsilon$ -closure( $q_1$ )
- $\{q_0, q_1, q_2\}$  i.e., state B.

- $\delta'(B, b) = \epsilon$ -closure ( $\delta(B, b)$ )
- $\epsilon$ -closure ( $\delta(q_0, q_1, q_2), b$ )
  - $\epsilon$ -closure ( $\delta(q_0, b) \cup \delta(q_1, b) \cup \delta(q_2, b)$ )
  - $\epsilon$ -closure ( $\phi \cup q_3 \cup q_2$ )
  - $\epsilon$ -closure ( $q_2, q_3$ ) =  $\epsilon$ -closure( $q_2$ )  $\cup$   $\epsilon$ -closure( $q_3$ )
  - $\{q_1, q_2\} \cup \{q_3\}$
  - $\{q_1, q_2, q_3\}$  - call it as state C

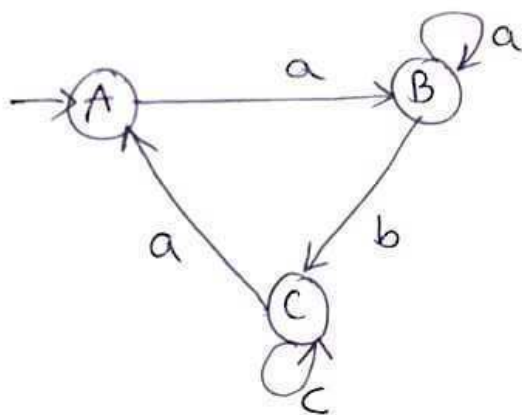
The  $\delta$  transitions on C are obtained as:

- $\delta'(C, a) = \epsilon$ -closure ( $\delta(C, a)$ )
- $\epsilon$ -closure ( $\delta(q_1, q_2, q_3), a$ )
  - $\epsilon$ -closure ( $\delta(q_1, a) \cup \delta(q_2, a) \cup \delta(q_3, a)$ )
  - $\epsilon$ -closure ( $\phi \cup \phi \cup q_0$ )
  - $\epsilon$ -closure ( $q_0$ ) =  $q_0$  i.e., A

- $\delta'(C, b) = \epsilon$ -closure ( $\delta(C, b)$ )
- $\epsilon$ -closure ( $\delta(q_1, q_2, q_3), b$ )
  - $\epsilon$ -closure ( $\delta(q_1, b) \cup \delta(q_2, b) \cup \delta(q_3, b)$ )
  - $\epsilon$ -closure ( $q_3 \cup q_2 \cup \phi$ )
  - $\epsilon$ -closure ( $q_2, q_3$ ) =  $\epsilon$ -closure( $q_2$ )  $\cup$   $\epsilon$ -closure( $q_3$ )
  - $\{q_1, q_2\} \cup \{q_3\}$
  - $\{q_1, q_2, q_3\}$  i.e., state C

Now no new state is generated. Hence we will write ⑩ transition table for above computations.

state \ inputs	a	b
→ A	B	∅
B	B	C
C	A	C



### Finite Automata with output:

There are two types of FA with output and those are:

- ① Moore machine.
- ② Mealy machine.

#### Moore machine:

- Moore machine is a finite state machine in which the next state is decided by current state and current input symbol.
- The output symbol at a given time depends only on the present state of the machine.



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Moore machine is a six tuple representation

$(Q, \Sigma, \Delta, \delta, \lambda, q_0)$  where

$Q$  — finite set of states

$\Sigma$  — finite set of input symbols

$\Delta$  — an output alphabet

$\delta$  — Transition function such that  $Q \times \Sigma \rightarrow Q$

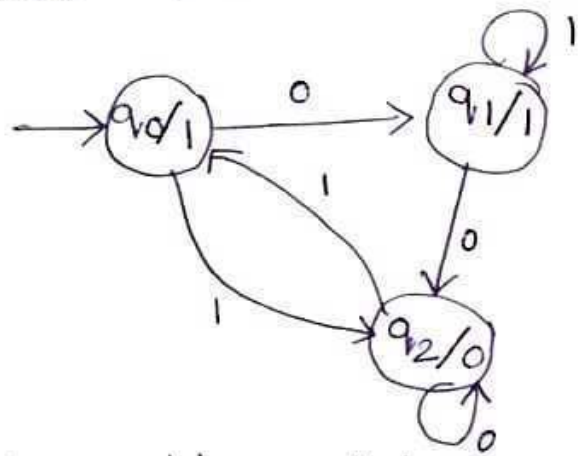
$\lambda$  — output function  $Q \rightarrow \Delta$  (also known as machine function).

$q_0$  — initial state of machine.

→ In moose machine output is associated with every state. If the length of the input string is 'n' then output string has length 'n+1'.

Example :

consider the moose machine given below



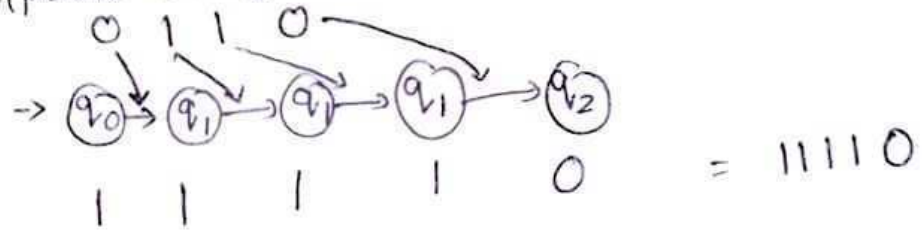
Transition table will be

Current state	Next state ( $\delta$ )		Output ( $\lambda$ )
	0	1	
→ $q_0$	$q_1$	$q_2$	1
$q_1$	$q_2$	$q_1$	1
$q_2$	$q_2$	$q_0$	0

→ In moose machine output is associated with every state.

→ In the above given more machine when machine is in  $q_0$  state the output will be 1.

For the string 0110 then output will be 1110 i.e., Acceptance of string is



### Mealy machine :

Mealy machine is a machine in which output symbol depends upon the present input symbol and present state of the machine. The mealy machine can be defined as a six tuple notation

$$(Q, \Sigma, \Delta, \delta, \lambda, q_0)$$

$Q$  - finite set of states.

$\Sigma$  - finite set of input symbols.

$\Delta$  - an output alphabet

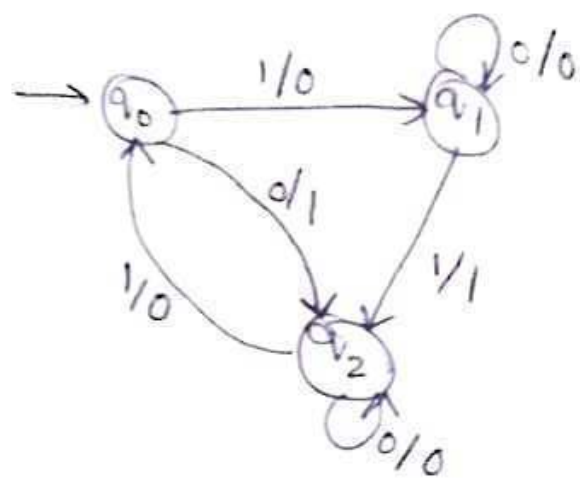
$\delta$  - state transition function such that  $Q \times \Sigma \rightarrow Q$

$\lambda$  - machine function such that  $Q \times \Sigma \rightarrow \Delta$

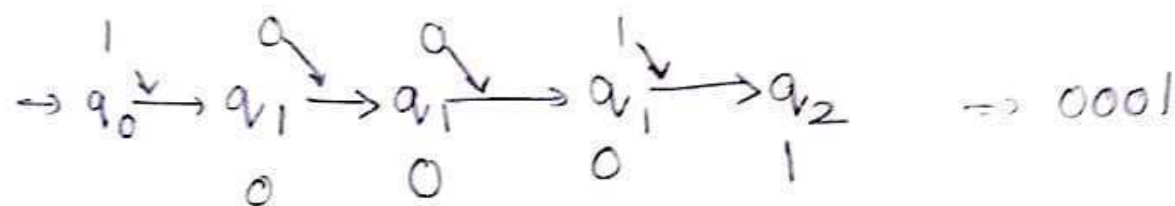
$q_0$  - initial state.

→ In mealy machine the length of input string is equal to length of output string.

For example:



For input string 1001



Conversion of moore to mealy:

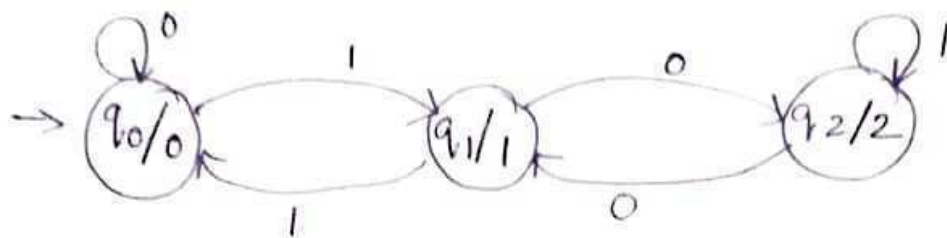
Let  $M = (Q, \Sigma, \delta, \lambda, q_0)$  be moore m/c, its equivalent mealy machine can be represented as  $M' = (Q, \Sigma, \delta, \lambda', q_0)$ .

The output function  $\lambda'(q, a) = \lambda(\delta(q, a))$

Example Convert the following moore m/c into its equivalent mealy m/c.

$q \backslash \Sigma$	0	1	output( $\lambda$ )
$q_0$	$q_0$	$q_1$	0
$q_1$	$q_2$	$q_0$	1
$q_2$	$q_1$	$q_2$	2





The output function  $\lambda'$  can be obtained using following rule.

$$\lambda'(q_i, a) = \lambda(\delta(q_i, a))$$

Here we will obtain output for every transition corresponding to input symbol

$$\begin{aligned} \lambda'(q_0, 0) &= \lambda(\delta(q_0, 0)) \\ &= \lambda(q_0) \text{ i.e., output of } q_0 \\ &= 0 \end{aligned}$$

$$\begin{aligned} \lambda'(q_0, 1) &= \lambda(\delta(q_0, 1)) \\ &= \lambda(q_1) \\ &= 1 \end{aligned}$$

$$\begin{aligned} \lambda'(q_2, 0) &= \lambda(\delta(q_2, 0)) \\ &= \lambda(q_1) \\ &= 1 \end{aligned}$$

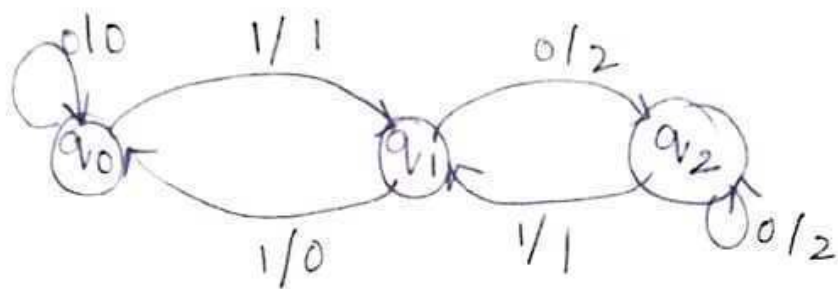
$$\begin{aligned} \lambda'(q_2, 1) &= \lambda(\delta(q_2, 1)) \\ &= \lambda(q_2) \\ &= 1 \end{aligned}$$

$$\begin{aligned} \lambda'(q_1, 0) &= \lambda(\delta(q_1, 0)) \\ &= \lambda(q_2) \\ &= 2 \\ \lambda'(q_1, 1) &= \lambda(\delta(q_1, 1)) \\ &= \lambda(q_0) \\ &= 0 \end{aligned}$$

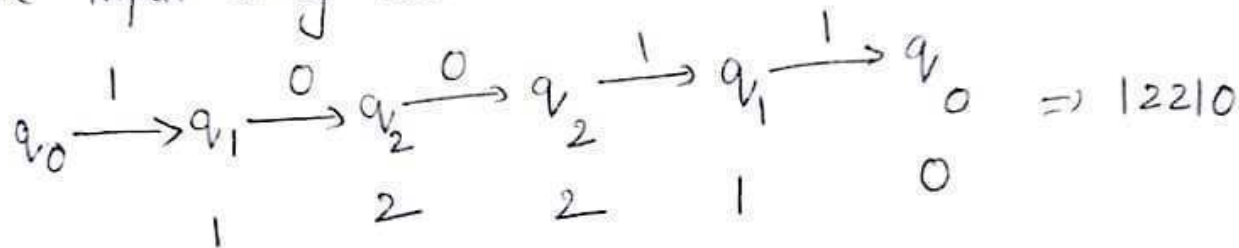
Hence transition table for mealy machine is

Q \ \Sigma	input = 0		input = 1	
	state	output	state	output
$q_0$	$q_0$	0	$q_1$	1
$q_1$	$q_2$	2	$q_0$	0
$q_2$	$q_1$	1	$q_2$	1

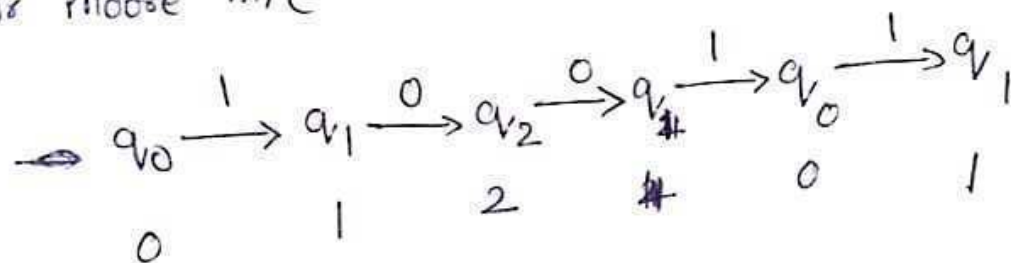
Transition diagram of mealy machine is



The input string 10011 then output for mealy m/c is



For moose m/c



conversion of mealy to moose:

step 1: If transition diagram is given, then convert the transition diagram to transition table.

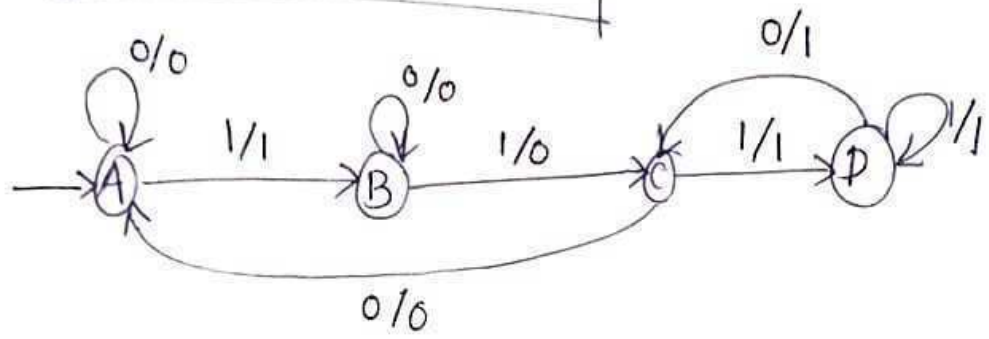
step 2: From transition table of mealy machine, find out such kind of states which are showing more than 1 outputs

step 3: Then in such cases, divide those states in to more states depending on the outputs associated with them.

Ex Convert the following mealy machine into its equivalent moore machine.

mealy Transition table.

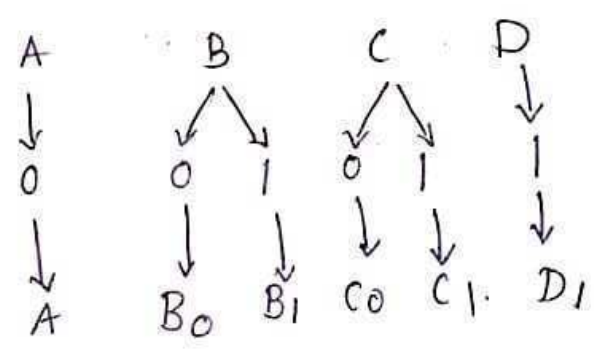
	0	1		
→ A	A 0	B 1		
B	B 0	C 0		
C	A 0	D 1		
D	<del>A</del> 1	D 1		



mealy states

outputs

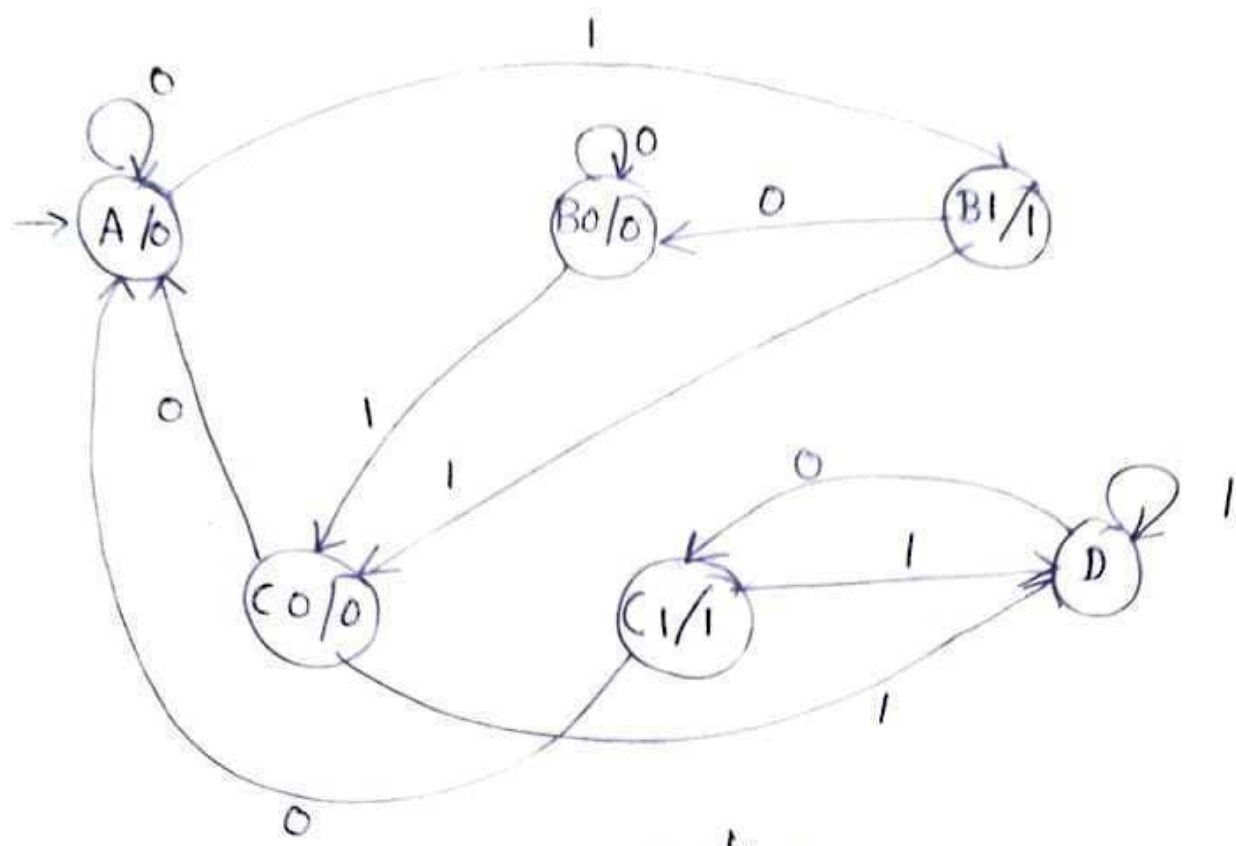
moore states



moore transition table

states	input		output
	0	1	
→ A	A	B1	0
B0	B0	C0	0
B1	B0	C0	1
C0	A	D	0
C1	A	D	1
D	C1	D	1





moore machine.

           \*

## Finite Automata and Regular Expressions :

### Regular Expression :

- The language accepted by FA can be easily described by simple expression called Regular Expressions.
- It is most effective way to represent any language.
- Regular expressions are used to match character combination in strings.
- string searching algorithm used this pattern to find the operations on a string.
- contains three operators
  1.  $\emptyset$  is a regular expression which denotes the empty set.
  2.  $\{\epsilon\}$  is a regular expression denotes null string.
  3. For each  $a$  in  $\Sigma$  'a' is a regular expression and denotes the set  $\{a\}$ .
  4. IF 'x' and 'y' are regular expressions denoting  $L_1$  &  $L_2$  then  $x+y$

## Applications of Regular Expressions:

- operating systems (unix)
- compilation (lexical Analysis)
- programming languages (Java)
- finding patterns in text.

### Regular expressions in unix:

- Basically Regular expressions are used in search tools.
- Text file search in unix (tool: egrep).
- The egrep command searches for a text pattern in the file and list the words containing the pattern.

Example: File : xyz

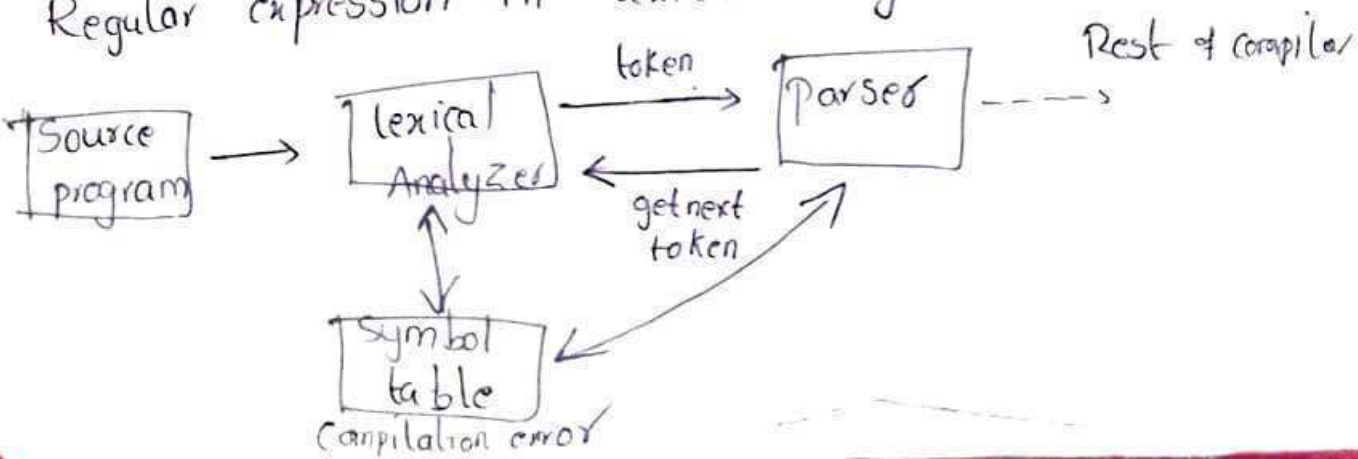
Sam  
Dexter  
John  
Raman

Command: `*/* egrep 'n' xyz` → searching for 'n' in the contents of the file.

Q/p John  
Raman.

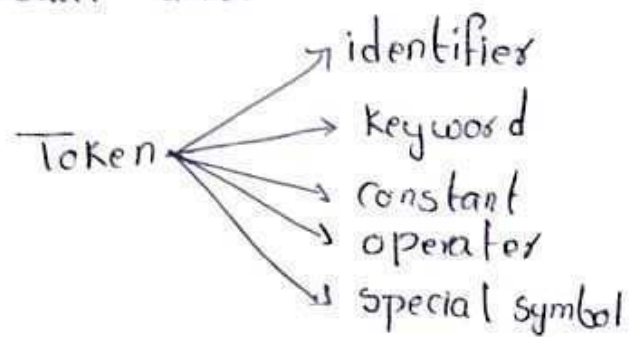
### Compilation:

Regular expression in lexical Analysis:





→ Lexical Analyzer takes the source program contents and converts them into tokens. Tokens are the individual units. 2.3



→ The role of the regular expressions in the lexical analyzer is it specifies the patterns of the tokens.

Example: identifier

$$([A-Z] | [a-z]) ([A-Z] | [a-z] | [0-9])^*$$

In most of the programming languages the identifier should start with alphabet and then it can contain the combination of alphabet & digit or  $\epsilon$ .

Programming languages (Java):

Regular Expressions are a language of string patterns built in to most modern programming languages including Java 1.4 on words used for searching, extracting and modifying text.

Java.util.regex contains classes for working with regular expressions in Java.

Finding patterns in Text:

By using regular expressions notation, it becomes easy to describe the patterns at high level.

→ A "compiler" for R.E is useful to turn the regular expressions in to executable code.

Example: "Find me a restaurant within 10 minutes drive of where I am now"

we focus on recognizing street addresses in particular. Some people live in 'Avenues' or 'Roads' or 'streets'

Thus we might use R.E like

Street | st\ . | Avenue | Ave\ . | Road | Rd\ .

Above expression is unix style notation with vertical bar rather than +, as union operator.

$[0-9] + [A-Z] ? [A-Z][a-z] * ([A-Z][a-z] *) *$   
(Street | st\ . | Avenue | Ave\ . | Road | Rd\ .)

- → Any character
  - ? → zero or one character
  - + → one or more digits
- ex: "123A Main st"

Algebraic laws for Regular Expressions:

Like arithmetic expressions, the R.E have a number of laws that work for them. Union as addition & concatenation as multiplication.

Associativity & Commutativity:  
→ Switch the order of operands  
→ regroup the operands when operator is applied twice.

- $L + M = M + L$  (commutative law for union)
- $(L + M) + N = L + (M + N)$  (Associative law for union)
- $(LM)N = L(MN)$  (Associative law for concatenation)



## Identities & Annihilators:

→ An identity for an operator is a value such that when the operator is applied to identity and some other value, the result is other value.

→ An annihilator for an operator is a value such that when the operator is applied to the annihilator & some other value, the result is annihilator.

$$\Rightarrow \emptyset + L = L + \emptyset = L \quad (\emptyset \text{ is identity for union})$$

$$\Rightarrow \epsilon L = L \epsilon = L \quad (\epsilon \text{ is identity for concatenation})$$

$$\Rightarrow \emptyset L = L \emptyset = \emptyset \quad (\emptyset \text{ is annihilator for concatenation})$$

## Distributive Laws:

$$\Rightarrow L(M+N) = LM + LN \quad (\text{left distributive law of concatenation})$$

$$\Rightarrow (M+N)L = ML + NL \quad (\text{right distributive law of concatenation})$$

## Idempotent law:

→ The result of applying it to two of the same values as arguments is that value is called as idempotent law.

$$* L + L = L \quad (\text{idempotent law for union})$$

## Laws involving closure.

$$\textcircled{1} (L^*)^* = L^*$$

$$\textcircled{2} \emptyset^* = \epsilon$$

$$\textcircled{3} \epsilon^* = \epsilon$$

$$\textcircled{4} L^+ = LL^* = L^*L$$

$$\textcircled{5} L^* = L^+ + \epsilon$$

$$\textcircled{6} L^? = \epsilon + L$$

$$\textcircled{7} (L+M)^* = (L^*M^*)^* = (L^*+M^*)^*$$

$$\textcircled{8} L + LL^* = LL^* + L = L^*$$

$$\textcircled{9} (PQ)^*P = ?(PQ)^*$$

$$\textcircled{10} (P+Q)R = PR + QR$$

$$\textcircled{11} R(P+Q) = RP + RQ$$

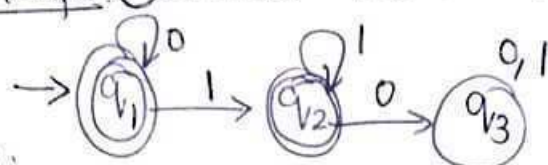


# Conversion of T.A to Regular Expression.

26

Ardery (Haussem)

Example ① Construct R.E for the given DFA. ② Find R.E for the NFA



Sol: Equations for  $q_1 = q_1 0 + \epsilon$

$$q_2 = q_1 1 + q_2 1$$

$$q_3 = q_2 0 + q_3(0+1)$$

We solve  $q_1$  &  $q_2$  as they are final states

$$q_1 = q_1 0 + \epsilon \quad (R = A + RP)$$

$$R = q_1, A = \epsilon, P = 0 \quad (R = RP^*)$$

$$q_1 = \epsilon 0^* \quad (ER = R)$$

$$\boxed{q_1 = 0^*}$$

$$q_2 = q_1 1 + q_2 1$$

$$q_2 = 0^* 1 + q_2 1$$

$$R = A + RP$$

$$R = q_2, A = 0^* 1, P = 1$$

$$R = RP^*$$

$$q_2 = 0^* 1 1^*$$

$$(RR^* = R^+)$$

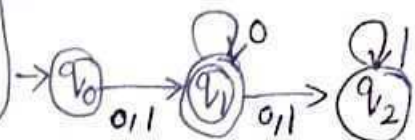
$$\boxed{q_2 = 0^* 1^+}$$

$$q_0 = q_1 1 + q_2 0 + \epsilon$$

$$= q_1 0 1 + q_2 0 0 + \epsilon$$

$$= q_1 0(1+10) + \epsilon \quad [R = RP^*]$$

$$\boxed{q_0 = \epsilon(0+10)^*}$$



Solution: equations for

$$q_0 = \epsilon$$

$$q_1 = q_0(0+1) + q_1 0$$

$$q_2 = q_1(0+1) + q_2 1$$

As  $q_1$  is final state, we solve for  $q_1$

$$q_1 = q_0(0+1) + q_1 0$$

$$q_1 = \epsilon(0+1) + q_1 0$$

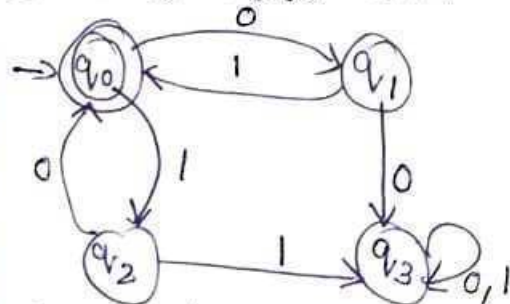
$$R = q_1, A = \epsilon(0+1), P = 0$$

$$R = RP^*$$

$$q_1 = \epsilon(0+1)0^* \quad [ER = R]$$

$$\boxed{q_1 = (0+1)0^*}$$

③ Construct R.E for DFA



Solution: Equations for

$$q_0 = q_1 1 + q_2 0 + \epsilon$$

$$q_1 = q_0 0 \quad q_2 = q_0 1$$

$$q_3 = q_1 0 + q_2 1 + q_3(0+1)$$

As  $q_0$  is final state solve  $q_0$

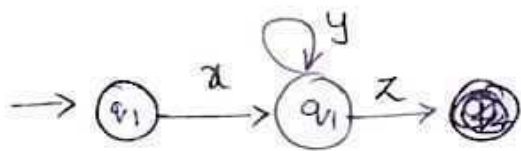
## Pumping lemma for Regular languages:

→ Pumping lemma is a powerful technique used for proving certain languages are not regular.

### Theorem:

- let  $L$  be a regular language  $\rightarrow$  for a DFA  $\rightarrow$  number of states of DFA
  - Then there exists a constant ' $n$ ' such that for every string  $w$  in  $L$ ,  $|w| \geq n$
- we can break  $w$  into 3 strings,  $w = xyz$  such that
1.  $y \neq \epsilon$  (or)  $|y| > 0$
  2.  $|xy| \leq n$
  3. For all  $k \geq 0$ , the string  $xy^kz$  is also in  $L$ .

Example: 1:  $n=3$   $w=abc$



Prove that  $L = \{0^n 1^n \mid n \geq 1\}$  is not regular.

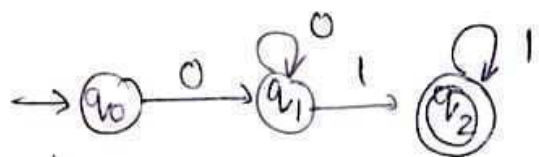
- Assume  $L$  is regular.
- let  $n$  be a constant.
  - let  $w = 0^n 1^n$   $|w| \geq n$
  - split  $w = xyz$  such that
1.  $y \neq \epsilon$
  2.  $|xy| \leq n$
  3. For all  $k \geq 0$ ,  $xy^kz \in L$

For given language the strings are  $01, 0011, 000111, \dots$

- All strings having equal number of 1's followed by 2:8  
equal number of 1's.

Finite automata has limited memory, it cannot remember whether it read 'n' no. of zeros or 'm' no. of zeros.

- consider DFA for given string



- consider string three zero's, after reading these the state  
'q1' is reached, now starts reading 1, but it cannot  
remember whether it has read 3, or 4, or 5 zero's.  
It cannot match number of zero's and 1's.

- Above DFA is acceptable for language

$$L = \{0^n 1^m \mid n \geq 1, m \geq 1\}$$

But the above DFA is not acceptable for language

$L = \{0^n 1^n \mid n \geq 1\}$ . For this language we cannot construct DFA. To prove this formally we need pumping lemma.

- Consider the string  $w = 0^n 1^n$  &  $n=2$  then  $w = 0011$ .

- split  $w = xyz$   $w = 0011$

$$xy = 00, y = 0, x = 0 \text{ and } z = 11$$

Assume  $k=2$  then  $xy^2z = 00011 \notin L$  as no. of 0's is not equal to no. of 1's.

Hence  $L = \{0^n 1^n \mid n \geq 1\}$  is not regular.



Example 2 show that  $L = \{b^{i^2} \mid i > 1\}$  is not regular. 29

Proof: Assume that  $b^{i^2}$  is a regular language.

$b^{i^2}$  length of the string  $|w| = n^2$

consider the pumping length is  $n$

$n^2 > n$   
Regular language for  $L = \{b^{i^2} \mid i > 1\}$  is  $\{b^2, b^3, b^4, \dots\}$   
 $= \{b^4, b^9, b^{16}, \dots\} = \{bbbb, bbbbbb, \dots\}$

condition 1: for  $i \geq 0$ ,  $xy^iz \in L$

$$\frac{b}{x} \frac{bbb}{y} \frac{b}{z}$$

$$x = b, y = bb, z = b$$

Pumping  $y$  take  $i = 0$ ,  $(bb)^0 = \emptyset \Rightarrow bb$

take  $i = 1$ ,  $(bb)^1 = bb \Rightarrow bbbb$

take  $i = 2$ ,  $(bb)^2 = bbbb \Rightarrow bbbbbb$

for  $i = 0$  &  $i = 2$  it is clear that our assumption is

wrong.

Hence  $L = \{b^{i^2} \mid i > 1\}$  is not regular.

Example 3 show that  $\{a^n b^{2n} \mid n > 0\}$  is not regular.

Assume that  $L$  is regular &  $L = \{abb, aabbbb, aaabbbbb, \dots\}$

length of the language is  $n + 2n = 3n = |w|$

length of pumping lemma =  $n$

$$|w| > n$$

take  $n = 2$

$$a^n b^{2n} = a^2 b^4 \Rightarrow \frac{a}{x} \frac{bb}{y} \frac{bb}{z}$$

case (i)  $y$  in  $b$

$$x = aa \quad y = bb \quad z = bb$$

$$\text{for } i \geq 0 \quad xy^iz \in L$$

$$\text{for } i = 0$$

$$aa(bb)^0bb = aabb \notin L$$

case (ii)  $y$  in  $a$

$$\frac{aa}{x} \frac{bb}{y} \frac{bb}{z}$$

$$x = a, y = a, z = bbbb$$

$$\text{for } i = 0$$

$$xy^iz = a(a)^0bbbb = abbbb \notin L$$

$$\text{for } i = 2$$

$$xy^2z = a a a bbbb \notin L$$

case (iii)  $y$  in  $a^k b$

$$\frac{a}{x} \frac{abbb}{y} \frac{b}{z}$$

$$x = a, y = abbb, z = b$$

$$\text{for } i = 0 \quad \cancel{a} ab \notin L$$

$$i = 2 \quad a abbb abbb b \notin L$$

Since the language  $\{a^n b^{2n} \mid n \geq 0\}$  is not regular.

Example 4:

Show that the language  $L = \{a^i b^{2i} \mid i \geq 0\}$  is not regular.

Solution: The set of strings accepted by language  $L$  is

$$L = \{abb, aabbbb, aaabbbb, \dots\}$$

Applying pumping lemma for any of the strings above.

Take the string  $abb$

It is of the form  $xyz$ .

where  $|xy| \leq i$ ,  $|y| \geq 1$

To find  $i$  such that  $xy^iz \notin L$

Take  $i = 2$  here, then

$$xyz = abb$$

$$xy^2z = a(bb)b \\ = abbb$$

$$\text{Hence } xy^2z = abbb \notin L$$

Since  $abbb$  is not present in the strings of  $L$ .

$\therefore L$  is not regular.

### Closure Properties of Regular languages:

→ closure properties on regular languages are defined as certain operations on regular language which are guaranteed to produce regular language.

→ closure refers to some language resulting in a new languages that is of same "type" as originals operated i.e., regular.

consider  $L_1$  &  $L_2$  are regular languages, then

- ① The union of two regular languages  $(L_1 \cup L_2)$  is regular.
- ② The intersection of two regular languages  $(L_1 \cap L_2)$  is regular.
- ③ The complement of two regular languages is regular,  
 $L$  complement( $L$ ) is  $\Sigma^* - L$ .
- ④ Reversal of a regular language is regular, i.e.  $L^R$ .  
 $L = \{0, 01, 100\}$        $L^R = \{0, 10, 001\}$



2/12  
⑤ Kleen closure:  $R$  is a regular expression from the languages  $L$  &  $M$  then  $R^*$  is a regular expression whose language is  $L^*$ .

⑥ Positive closure:  $R$  is a regular expression from the language  $L$  &  $M$ , then  $R^+$  is a regular expression whose language is  $L^+$ .

⑦ Set difference operator:  
 $L_1 - L_2$  is regular language, strings in  $L_1$  but not in  $L_2$ .

⑧ Homomorphism:

A homomorphism on an alphabet is a function that gives a string for each symbol in that alphabet.

ex:  $h(0) = ab$ ,  $h(1) = \epsilon$  extend to strings by

$$h(a_1 a_2 \dots a_n) = h(a_1) h(a_2) \dots h(a_n)$$

$$\text{ex: } h(01010) = ababab$$

if  $L$  is a regular language, and  $h$  is homomorphism on its alphabet then  $h(L) = \{h(w) \mid w \text{ is in } L\}$  is also a regular language.

⑨ Inverse homomorphism:

$$h^{-1}(L) = \{w \mid h(w) \text{ is in } L\}$$

$\therefore$  Inverse homomorphism of a language raise to regular language.

## Derision Properties of Regular languages;

2.13

These are the algorithms that define the formal description of a regular language.

- (i) Emptiness
- (ii) Non-emptiness
- (iii) Finiteness
- (iv) Infiniteness
- (v) Membership
- (vi) Equality

### Emptiness and Non-emptiness:

Step 1: The states that cannot be reached from initial states delete them (i.e., delete unreachable states)

Step 2: If the finite automata contains any final state the language is not empty.

Step 3: If the finite automata contains null final states the regular languages is empty.

### Finite & Infiniteness:

Step 1: Delete unreachable states.

Step 2: Delete dead states as we cannot move from the dead state to the final state.

Step 3: If the finite automata contains any loops then Regular language is infinite.

Step 4: If the finite automata cannot contain any loops then the regular language is finite.

## Membership:

If the string over an alphabet is accepted by the machine then we say that the string is member of the regular language.

Let us say the string from a language is  $w$ , it starts from the beginning state and after certain transition if it reaches to final state then the string is accepted.

## Equality:

Let the automatas  $M_1$  &  $M_2$  are said to be equal if they have same regular languages.

The automatas are minimized to derive unique properties in both of them.

## Minimization of Automata:

DFA minimization stands for converting a given DFA to its equivalent DFA with minimum number of states.

5 tuple notation for DFA  $\langle Q, \Sigma, q_0, \delta, F \rangle$ , after minimization are  $\langle Q', \Sigma, q_0, \delta', F' \rangle$ .

Step 1: we will divide  $Q$  (set of states) into two sets, one set contains all final states and other contains non-final states.

This partition is called  $P_0$ .

Step 2: Initialize  $k=1$

Step 3: Find  $P_k$  by partitioning the different sets of  $P_{k-1}$ . we will take all possible pairs of



States If two states are distinguishable, we will split the sets into different sets in  $P_K$ .

step 4: stop when  $P_K = P_{K-1}$  (No change in partition)

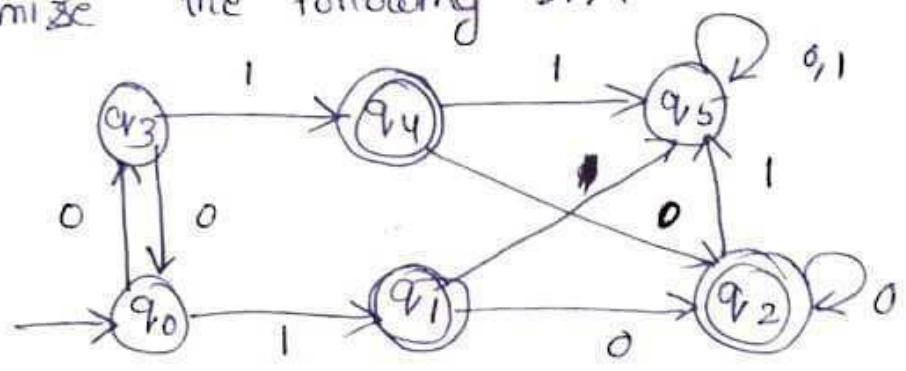
step 5 And states of one set are merged into one. Number of states in minimized DFA will be equal to number of sets in  $P_K$ .

Distinguishable. If two states are not equivalent, then we say they are distinguishable i.e., two states  $P$  &  $Q$  are distinguishable if there is at least one string  $w$  such that one of  $\hat{\delta}(P, w)$  &  $\hat{\delta}(Q, w)$  is accepting and other is not accepting.

How to find two states are distinguishable:

Two states  $(q_i, q_j)$  are distinguishable in partition  $P_K$  if for any input  $a$ ,  $\delta(q_i, a)$  and  $\delta(q_j, a)$  are in different sets in partition  $P_{K-1}$ .

Ex: Minimize the following DFA.



step 1:  $P_0 = \{ \{ q_1, q_2, q_4 \}, \{ q_0, q_3, q_5 \} \}$

step 2: To calculate  $P_1$ , we will check whether sets of partition  $P_0$  can be partitioned or not.

(i) for set  $\{q_1, q_2, q_4\}$  pairs are

$$(q_1, q_2) \quad (q_1, q_4) \quad (q_2, q_4)$$

$$(q_1, q_2) \Rightarrow \left. \begin{array}{l} \delta(q_1, 0) = q_2 \\ \delta(q_2, 0) = q_2 \end{array} \right\} \text{not distinguishable (i.e., equivalent)}$$

$$\left. \begin{array}{l} \delta(q_1, 1) = q_5 \\ \delta(q_2, 1) = q_5 \end{array} \right\} \text{not distinguishable.}$$

$$(q_1, q_4) \Rightarrow \left. \begin{array}{l} \delta(q_1, 0) = q_2 \\ \delta(q_4, 0) = q_2 \\ \delta(q_1, 1) = q_5 \\ \delta(q_4, 1) = q_5 \end{array} \right\} \text{not distinguishable}$$

$$(q_2, q_4) \Rightarrow \left. \begin{array}{l} \delta(q_2, 0) = q_2 \\ \delta(q_4, 0) = q_2 \\ \delta(q_2, 1) = q_5 \\ \delta(q_4, 1) = q_5 \end{array} \right\} \text{not distinguishable.}$$

(ii) for set  $\{q_0, q_3, q_5\}$  pairs are

$$(q_0, q_3) \quad (q_0, q_5) \quad (q_3, q_5)$$

$$(q_0, q_3) \Rightarrow \left. \begin{array}{l} \delta(q_0, 0) = q_3 \\ \delta(q_3, 0) = q_0 \end{array} \right\} \text{not distinguishable.}$$

$$\left. \begin{array}{l} \delta(q_0, 1) = q_1 \\ \delta(q_3, 1) = q_4 \end{array} \right\} \text{not distinguishable}$$

$$(q_0, q_5) \Rightarrow \left. \begin{array}{l} \delta(q_0, 0) = q_3 \\ \delta(q_5, 0) = q_5 \end{array} \right\} \text{not distinguishable.}$$

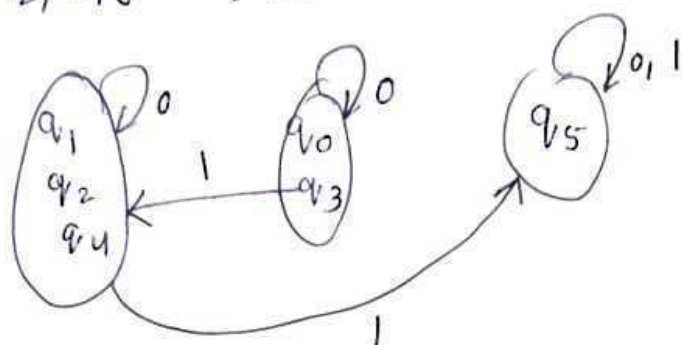
$$\left. \begin{array}{l} \delta(q_0, 1) = q_1 \\ \delta(q_5, 1) = q_5 \end{array} \right\} \text{distinguishable}$$

we can partition the set  $\{q_0, q_3, q_5\} \Rightarrow \{q_0, q_3\}$  and  $\{q_5\}$

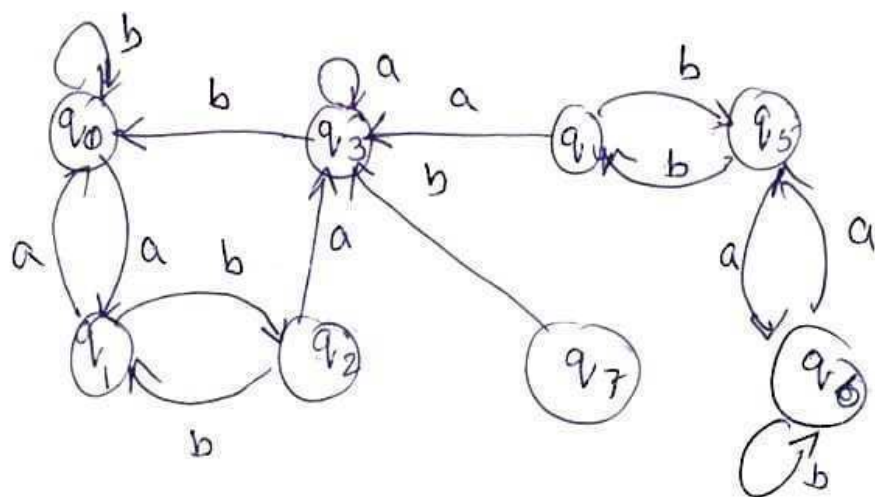
$$\{q_3, q_5\} = \left. \begin{array}{l} \delta(q_3, 0) = q_0 \\ \delta(q_5, 0) = q_5 \end{array} \right\} \text{not distinguishable}$$

$$\left. \begin{array}{l} \delta(q_3, 1) = q_4 \\ \delta(q_5, 1) = q_5 \end{array} \right\} \text{not distinguishable}$$

$$\{q_1, q_2, q_4\} \quad \{q_0, q_3\} \quad \{q_5\}$$

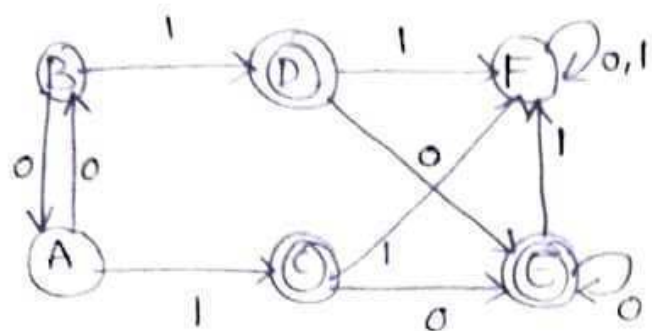


Example 2 minimize the following DFA





# Minimization of DFA - Table Filling method (Myhill-Nesode Theorem)



Steps:

- (1) Draw a table for all pairs of states  $(P, Q)$
  - (2) Mark all pairs where  $P \in F$  and  $Q \notin F$  (i.e., one state belongs to final state & other belongs to non-final state).
  - (3) If there are any unmarked pairs  $(P, Q)$  such that  $\delta(P, w), \delta(Q, w)$  is marked, then mark  $[P, Q]$ , where  $w$  is input symbol.
  - (4) Repeat this until no more markings can be made.
  - (5) Combine all the unmarked pairs and make them a single state in the minimized DFA.
- Follow above steps for given F.A. above.

Step 1 & 2

Steps:

B					
C	✓	✓			
D	✓	✓			
E	✓	✓			
F			✓	✓	✓
	A	B	C	D	E

Step 3:

Now take the pairs that are unmarked i.e.,  $[B, A]$ ,  $[F, A]$ ,  $[F, B]$ ,  $[D, C]$ ,  $[E, C]$ ,  $[E, D]$

$$\rightarrow [B, A] - \left. \begin{array}{l} \delta(B, 0) = A \\ \delta(A, 0) = B \end{array} \right\} \text{pair is not marked}$$

$$\left. \begin{array}{l} \delta(B, 1) = D \\ \delta(A, 1) = C \end{array} \right\} \text{pair is not marked}$$

$$\rightarrow [F, A] - \left. \begin{array}{l} \delta(F, 0) = F \\ \delta(A, 0) = B \end{array} \right\} \text{pair is unmarked}$$

$$\left. \begin{array}{l} \delta(F, 1) = F \\ \delta(A, 1) = C \end{array} \right\} \text{pair is marked}$$

Since  $[F, C]$  is marked mark  $[F, A]$  in table

$$\rightarrow [F, B] - \left. \begin{array}{l} \delta(F, 0) = F \\ \delta(B, 0) = A \end{array} \right\} \text{pair is marked mark } [F, B]$$

$$\left. \begin{array}{l} \delta(F, 1) = F \\ \delta(B, 1) = D \end{array} \right\} \text{pair is marked then mark } [F, B]$$

$$\rightarrow [D, C] - \left. \begin{array}{l} \delta(D, 0) = E \\ \delta(C, 0) = E \end{array} \right\} \text{not marked}$$

$$\left. \begin{array}{l} \delta(D, 1) = F \\ \delta(C, 1) = F \end{array} \right\} \text{unmarked}$$

$$\rightarrow [E, C] - \left. \begin{array}{l} \delta(E, 0) = E \\ \delta(C, 0) = E \end{array} \right\} \text{not marked}$$

$$\left. \begin{array}{l} \delta(E, 1) = F \\ \delta(C, 1) = F \end{array} \right\} \text{not marked}$$

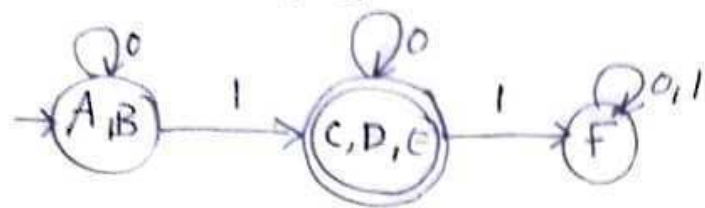
$$\rightarrow [E, D] - \left. \begin{array}{l} \delta(E, 0) = E \\ \delta(D, 0) = E \end{array} \right\} \text{not marked}$$

$$\left. \begin{array}{l} \delta(E, 1) = F \\ \delta(D, 1) = F \end{array} \right\} \text{not marked}$$

Finally the table after marking new pairs  $[F, A]$  &  $[F, B]$

B					
C	✓	✓			
D	✓	✓			
E	✓	✓			
F	✓	✓	✓	✓	✓
	A	B	C	D	E

Step 4: combine all the unmasked pairs and make them a single state i.e., unmasked pairs are  $[A, B], [C, D], [C, E], [D, E]$  2.21



is the minimized DFA.



### 3.2 REGULAR EXPRESSIONS

The languages accepted by FA are regular languages and these languages are easily described by simple expressions called regular expressions. We have some algebraic notations to represent the regular expressions.

*Regular expressions are means to represent certain sets of strings in some algebraic manner and regular expressions describe the language accepted by FA.*

If  $\Sigma$  is an alphabet then regular expression(s) over this can be described by following rules.

1. Any symbol from  $\Sigma$ ,  $\epsilon$  and  $\phi$  are regular expressions.
2. If  $r_1$  and  $r_2$  are two regular expressions then *union* of these represented as  $r_1 \cup r_2$  or  $r_1 + r_2$  is also a regular expression
3. If  $r_1$  and  $r_2$  are two regular expressions then *concatenation* of these represented as  $r_1 r_2$  is also a regular expression.
4. The Kleene closure of a regular expression  $r$  is denoted by  $r^*$  is also a regular expression.
5. If  $r$  is a regular expression then  $(r)$  is also a regular expression.
6. The regular expressions obtained by applying rules 1 to 5 once or more than once are also regular expressions.

#### Examples :

(1) If  $\Sigma = \{a, b\}$ , then

- |  |                |
|--|----------------|
| (a) $a$ is a regular expression        | (Using rule 1) |
| (b) $b$ is a regular expression        | (Using rule 1) |
| (c) $a + b$ is a regular expression    | (Using rule 2) |
| (d) $b^*$ is a regular expression      | (Using rule 4) |
| (e) $ab$ is a regular expression       | (Using rule 3) |
| (f) $ab + b^*$ is a regular expression | (Using rule 6) |

(2) Find regular expression for the following

- (a) A language consists of all the words over  $\{a, b\}$  ending in  $b$ .
- (b) A language consists of all the words over  $\{a, b\}$  ending in  $bb$ .
- (c) A language consists of all the words over  $\{a, b\}$  starting with  $a$  and ending in  $b$ .
- (d) A language consists of all the words over  $\{a, b\}$  having  $bb$  as a substring.
- (e) A language consists of all the words over  $\{a, b\}$  ending in  $aab$ .

**Solution :** Let  $\Sigma = \{a, b\}$ , and

All the words over  $\Sigma = \{\epsilon, a, b, aa, bb, ab, ba, aaa, \dots\} = \Sigma^*$  or  $(a + b)^*$  or  $(a \cup b)^*$

Now let us compute for final state, which denotes the regular expression.

$r_{ii}^1$  will be computed, because there are total 2 states and final state is  $q$ , whose start state is  $q$ .

$$r_{ii}^2 = (r_{ij}^1)(r_{jj}^1)^* (r_{ji}^1)$$

$$= 0(\epsilon)^*(\epsilon) + 0$$

$$= 0 + 0$$

$r_{ii}^2 = 0$  which is a final regular expression.

### 3.6.1 Arden's Method for Converting DFA to RE

As we have seen the Arden's theorem is useful for checking the equivalence of two regular expressions, we will also see its use in conversion of DFA to RE.

Following algorithm is used to build the r. e. from given DFA.

1. Let  $q_0$  be the initial state.
2. There are  $q_1, q_2, q_3, \dots, q_n$  number of states. The final state may be some  $q_j$  where  $j \leq n$ .
3. Let  $\alpha_j$  represents the transition from  $q_i$  to  $q_j$ .
4. Calculate  $q_i$  such that

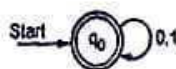
$$q_i = \alpha_{ij} \cdot q_j$$

If  $q_i$  is a start state

$$q_i = \alpha_{ij} \cdot q_j + \epsilon$$

5. Similarly compute the final state which ultimately gives the regular expression  $r$ .

**Example 1 :** Construct RE for the given DFA.



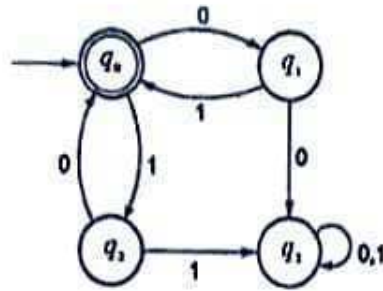
**Solution :**

Since there is only one state in the finite automata let us solve for  $q_0$  only.

$$q_0 = q_0 0 + q_0 1 + \epsilon$$

$$q_0 = q_0 (0 + 1) + \epsilon$$

**Example 3 :** Construct RE for the DFA given in below figure.



**Solution :** Let us see the equations

$$q_0 = q_1 1 + q_2 0 + \epsilon$$

$$q_1 = q_0 0$$

$$q_2 = q_0 1$$

$$q_3 = q_1 0 + q_2 1 + q_3 (0 + 1)$$

Let us solve  $q_0$  first,

$$q_0 = q_1 1 + q_2 0 + \epsilon$$

$$q_0 = q_0 01 + q_0 10 + \epsilon$$

$$q_0 = q_0 (01 + 10) + \epsilon$$

$$q_0 = \epsilon (01 + 10)^*$$

$$q_0 = (01 + 10)^*$$

$$\therefore R = Q + RP$$

$$\Rightarrow QP^* \text{ where}$$

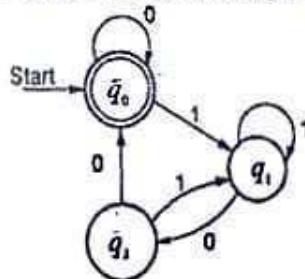
$$R = q_0, Q = \epsilon, P = (01 + 10)$$

Thus the regular expression will be

$$r = (01 + 10)^*$$

Since  $q_0$  is a final state, we are interested in  $q_0$  only.

**Example 4 :** Find out the regular expression from given DFA.





$$\begin{aligned}
 &= (\{\epsilon, a, b, aa, bb, \dots\})^* \\
 &= \{\epsilon, a, b, aa, bb, ab, ba, aaa, bbb, abb, baa, aabb, \dots\} \\
 &= \{\text{All the words over } \{a, b\}\} \\
 &= (a + b)^* \\
 \text{So, } (a^* + b^*)^* &= (a + b)^*
 \end{aligned}$$

### 3.3 IDENTITIES FOR RES

The two regular expressions  $P$  and  $Q$  are equivalent (denoted as  $P = Q$ ) if and only if  $P$  represents the same set of strings as  $Q$  does. For showing this equivalence of regular expressions we need to show some identities of regular expressions.

Let  $P, Q$  and  $R$  are regular expressions then the identity rules are as given below

1.  $\epsilon R = R \epsilon = R$
2.  $\epsilon^* = \epsilon$        $\epsilon$  is null string
3.  $(\phi)^* = \epsilon$        $\phi$  is empty string.
4.  $\phi R = R \phi = \phi$
5.  $\phi + = R = R$
6.  $R + R = R$
7.  $RR^* = R^* R = R^+$
8.  $(R^+)^* = R^*$
9.  $\epsilon + RR^* = R^*$
10.  $(P + Q)R = PR + QR$
11.  $(P + Q)^* = (P^* Q^*) = (P^* + Q^*)^*$
12.  $R^* (\epsilon + R) = (\epsilon + R) R^* = R^*$
13.  $(R + \epsilon)^* = R^*$
14.  $\epsilon + R^* = R^*$
15.  $(PQ)^* P = P(QP)^*$
16.  $R^* R + R = R^* R$

#### 3.3.1 Equivalence of two REs

Let us see one important theorem named Arden's Theorem which helps in checking the equivalence of two regular expressions.

(3)

**Arden's Theorem :** Let P and Q be the two regular expressions over the input set  $\Sigma$ . The regular expression R is given as

$$R = Q + RP$$

Which has a unique solution as  $R = QP^*$

**Proof :** Let, P and Q are two regular expressions over the input string  $\Sigma$ .

If P does not contain  $\epsilon$  then there exists R such that

$$R = Q + RP \quad \dots (1)$$

We will replace R by  $QP^*$  in equation 1.

Consider R. H. S. of equation 1.

$$= Q + QP^*P$$

$$= Q(\epsilon + P^*P)$$

$$= QP^*$$

$$\because \epsilon + R^*R = R^*$$

Thus

$$R = QP^*$$

is proved. To prove that  $R = QP^*$  is a unique solution, we will now replace L.H.S. of equation 1 by  $Q + RP$ . Then it becomes

$$Q + RP$$

But again R can be replaced by  $Q + RP$ .

$$\therefore Q + RP = Q + (Q + RP)P$$

$$= Q + QP + RP^2$$

Again replace R by  $Q + RP$ .

$$= Q + QP + (Q + RP)P^2$$

$$= Q + QP + QP^2 + RP^3$$

Thus if we go on replacing R by  $Q + RP$  then we get,

$$Q + RP = Q + QP + QP^2 + \dots + QP^i + RP^{i+1}$$

$$= Q(\epsilon + P + P^2 + \dots + P^i) + RP^{i+1}$$

From equation 1,

$$R = Q(\epsilon + P + P^2 + \dots + P^i) + RP^{i+1}$$

... (2)

Where

$$i \geq 0$$

Consider equation 2,

$$R = Q(\underbrace{\epsilon + P + P^2 + \dots + P^i}_P) + RP^{i+1}$$

$$\therefore R = QP^* + RP^{i+1}$$

Let w be a string of length i.

$= \{ \epsilon, 0, 00, 1, 11, 111, 01, 10, \dots \}$

$= \{ \epsilon, \text{any combination of 0's, any combination of 1's, any combination of 0 and 1} \}$

Hence, L. H. S. = R. H. S. is proved.

### 3.4 RELATIONSHIP BETWEEN FA AND RE

There is a close relationship between a finite automata and the regular expression we can show this relation in below figure.

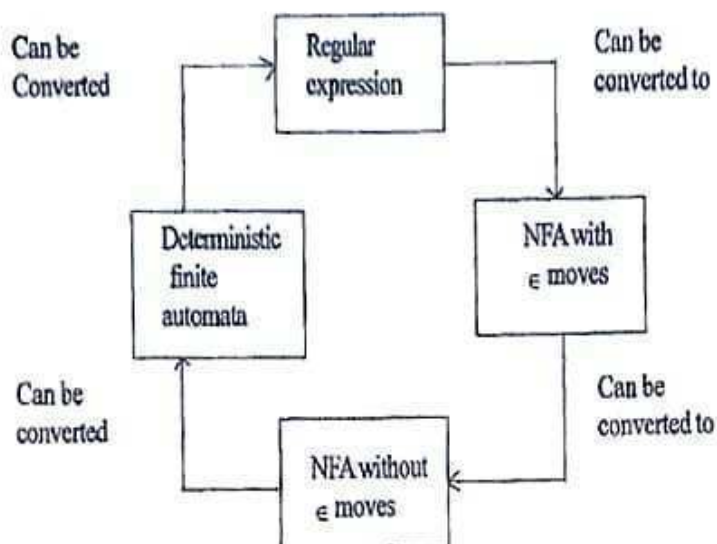


FIGURE : Relationship between FA and regular expression

The above figure shows that it is convenient to convert the regular expression to NFA with  $\epsilon$  moves. Let us see the theorem based on this conversion.

### 3.5 CONSTRUCTING FA FOR A GIVEN REs

**Theorem** : If  $r$  be a regular expression then there exists a NFA with  $\epsilon$  - moves, which accepts  $L(r)$ .

**Proof** : First we will discuss the construction of NFA  $M$  with  $\epsilon$  - moves for regular expression  $r$  and then we prove that  $L(M) = L(r)$ .

Let  $r$  be the regular expression over the alphabet  $\Sigma$ .

**Construction of NFA with  $\epsilon$  - moves**

**Case 1 :**

(i)  $r = \phi$



NFA  $M = (\{s, f\}, \emptyset, \delta, s, \{f\})$  as shown in Figure 1 (a)



Figure 1 (a)

(ii)  $r = \epsilon$

NFA  $M = (\{s\}, \{s\}, \delta, s, \{s\})$  as shown in Figure 1 (b)



Figure 1 (b)

(iii)  $r = a$ , for all  $a \in \Sigma$ ,

NFA  $M = (\{s, f\}, \Sigma, \delta, s, \{f\})$

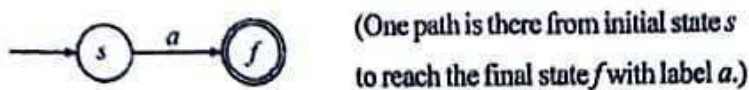


Figure 1 (c)

Case 2 :  $|r| \geq 1$

Let  $r_1$  and  $r_2$  be the two regular expressions over  $\Sigma_1, \Sigma_2$  and  $N_1$  and  $N_2$  are two NFA for  $r_1$  and  $r_2$  respectively as shown in Figure 2 (a).

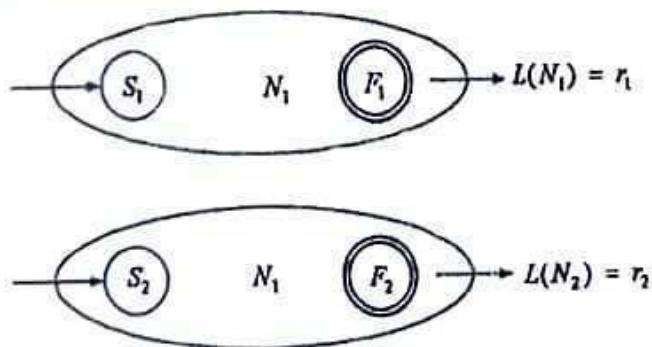


Figure 2 (a) NFA for regular expression  $r_1$  and  $r_2$

Now let us compute for final state, which denotes the regular expression.

$r_{11}^1$  will be computed, because there are total 2 states and final state is  $q_1$ , whose start state is  $q_1$ .

$$\begin{aligned} r_{11}^2 &= (r_{11}^1)(r_{11}^1) + (r_{12}^1)(r_{21}^1) \\ &= 0(\epsilon)^*(\epsilon) + 0 \\ &= 0 + 0 \end{aligned}$$

$r_{11}^2 = 0$  which is a final regular expression.

### 3.6.1 Arden's Method for Converting DFA to RE

As we have seen the Arden's theorem is useful for checking the equivalence of two regular expressions, we will also see its use in conversion of DFA to RE.

Following algorithm is used to build the r. e. from given DFA.

1. Let  $q_0$  be the initial state.
2. There are  $q_1, q_2, q_3, q_4, \dots, q_n$  number of states. The final state may be some  $q_j$ , where  $j \leq n$ .
3. Let  $\alpha_j$  represents the transition from  $q_i$  to  $q_j$ .
4. Calculate  $q_i$  such that

$$q_i = \alpha_{ji} \cdot q_i$$

If  $q_i$  is a start state

$$q_i = \alpha_{ji} \cdot q_i + \epsilon$$

5. Similarly compute the final state which ultimately gives the regular expression r.

**Example 1 :** Construct RE for the given DFA.



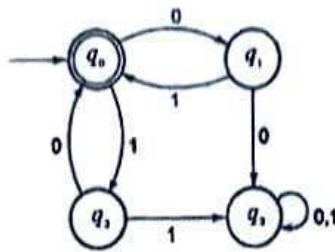
**Solution :**

Since there is only one state in the finite automata let us solve for  $q_0$  only.

$$q_0 = q_0 0 + q_0 1 + \epsilon$$

$$q_0 = q_0 (0 + 1) + \epsilon$$

**Example 3 :** Construct RE for the DFA given in below figure.



**Solution :** Let us see the equations

$$q_0 = q_1 1 + q_2 0 + \epsilon$$

$$q_1 = q_0 0$$

$$q_2 = q_0 1$$

$$q_3 = q_1 0 + q_2 1 + q_3 (0 + 1)$$

Let us solve  $q_0$  first,

$$q_0 = q_1 1 + q_2 0 + \epsilon$$

$$q_0 = q_0 01 + q_0 10 + \epsilon$$

$$q_0 = q_0 (01 + 10) + \epsilon$$

$$q_0 = \epsilon (01 + 10)^*$$

$$q_0 = (01 + 10)^*$$

$$\therefore R = Q + RP$$

$$\Rightarrow QP^* \text{ where}$$

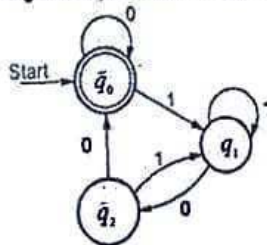
$$R = q_0, Q = \epsilon, P = (01 + 10)$$

Thus the regular expression will be

$$r = (01 + 10)^*$$

Since  $q_0$  is a final state, we are interested in  $q_0$  only.

**Example 4 :** Find out the regular expression from given DFA.





**Example 8 :** Show that the language  $L = \{a^i b^n \mid i > 0\}$  is not regular.

**Solution :** The set of strings accepted by language  $L$  is,

$$L = \{abb, aabbbb, aaabbbbb, aaaabbbbbbb, \dots\}$$

Applying Pumping lemma for any of the strings above.

Take the string  $abb$ .

It is of the form  $uvw$ .

Where,  $|uv| \leq i, |v| \geq 1$

To find  $i$  such that  $uv^i w \in L$

Take  $i = 2$  here, then

$$uv^i w = a(bbb)b$$

$$= abbbb$$

Hence  $uv^i w = abbbb \notin L$

Since  $abbb$  is not present in the strings of  $L$ .

$\therefore L$  is not regular.

**Example 9 :** Show that  $L = \{0^n \mid n \text{ is a perfect square}\}$  is not regular.

**Solution :**

**Step 1 :** Let  $L$  is regular by Pumping lemma. Let  $n$  be number of states of FA accepting  $L$ .

**Step 2 :** Let  $z = 0^n$  then  $|z| = n \geq 2$ .

Therefore, we can write  $z = uvw$ ; Where  $|uv| \leq n, |v| \geq 1$ .

Take any string of the language  $L = \{00, 0000, 000000, \dots\}$

Take  $0000$  as string, here  $u = 0, v = 0, w = 00$  to find  $i$  such that  $uv^i w \in L$ .

Take  $i = 2$  here, then

$$uv^i w = 0(0)^2 00$$

$$= 00000$$

This string  $00000$  is not present in strings of language  $L$ . So  $uv^i w \notin L$ .

$\therefore$  It is a contradiction.

### 3.9 PROPERTIES OF REGULAR SETS

Regular sets are closed under following properties.

1. Union
2. Concatenation

3. Kleene Closure
4. Complementation
5. Transpose
6. Intersection

1. **Union** : If  $R_1$  and  $R_2$  are two regular sets, then union of these denoted by  $R_1 + R_2$  or  $R_1 \cup R_2$  is also a regular set.

**Proof** : Let  $R_1$  and  $R_2$  be recognized by NFA  $N_1$  and  $N_2$  respectively as shown in Figure 1(a) and Figure 1(b).

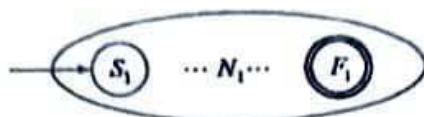


FIGURE 1(a) NFA for regular set  $R_1$

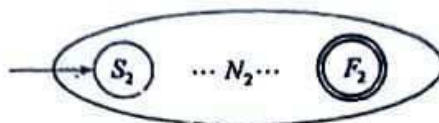


FIGURE 1(b) NFA for regular set  $R_2$

We construct a new NFA  $N$  based on union of  $N_1$  and  $N_2$  as shown in Figure 1 (c)

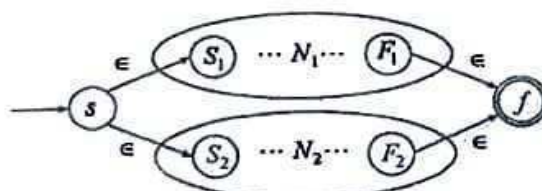


FIGURE 1(c) NFA for  $N_1 + N_2$

Now,

$$\begin{aligned}
 L(N) &= \epsilon L(N_1) \epsilon + \epsilon L(N_2) \epsilon \\
 &= \epsilon R_1 \epsilon + \epsilon R_2 \epsilon \\
 &= R_1 + R_2
 \end{aligned}$$

Since,  $N$  is FA, hence  $L(N)$  is a regular set (language). Therefore,  $R_1 + R_2$  is a regular set.

2. **Concatenation** : If  $R_1$  and  $R_2$  are two regular sets, then concatenation of these denoted by  $R_1R_2$  is also a regular set.

**Proof** : Let  $R_1$  and  $R_2$  be recognized by NFA  $N_1$  and  $N_2$  respectively as shown in Figure 2(a) and Figure 2(b).

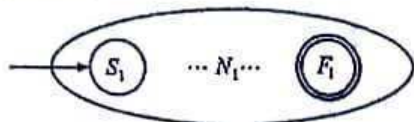


FIGURE 2(a) NFA for regular set  $R_1$

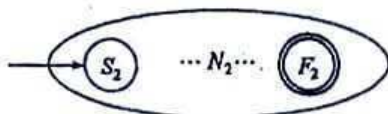


FIGURE 2(b) NFA for regular set  $R_2$

We construct a new NFA  $N$  based on concatenation of  $N_1$  and  $N_2$  as shown in Figure 2(c).

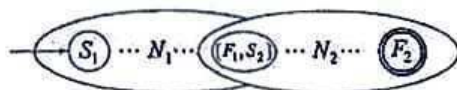


FIGURE 2(c) NFA for regular set  $R_1R_2$

Now,

$L(N)$  = Regular set accepted by  $N_1$  followed by regular set accepted by  $N_2 = R_1R_2$

Since,  $L(N)$  is a regular set, hence  $R_1R_2$  is also a regular set.

3. **Kleene Closure** : If  $R$  is a regular set, then Kleene closure of this denoted by  $R^*$  is also a regular set.

**Proof** : Let  $R$  is accepted by NFA  $N$  shown in Figure 3(a).

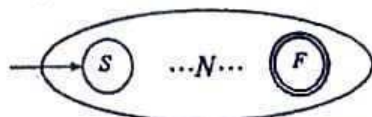
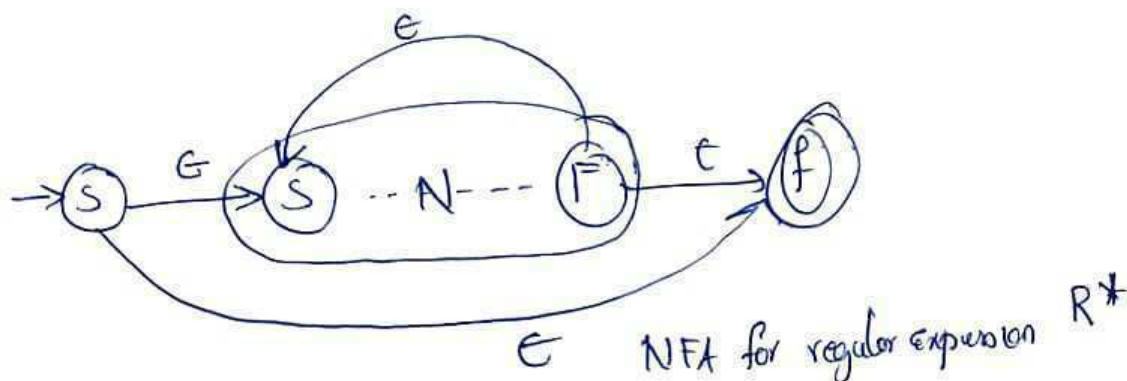


FIGURE 3(a) NFA for regular set  $R$





CONTEXT FREE GRAMMARSContext free grammar:

A grammar 'G' is said to be CFG if every production of the form ' $\alpha \rightarrow \beta$ ' satisfies the following rules.

Rule 1: LHS should contain only one non-terminal.

$$\therefore \alpha \in N^* \text{ and } |\alpha| = 1$$

Rule 2: RHS can be anything, Non-terminal or terminals.

$$\therefore \beta \in (N+T)^*$$

→ It is denoted by 4 tuple notation.

$$G = \{V, T, P, S\}$$

where,  $V$  → set of variables or non-terminals.

$T$  = set of terminals

$S$  = starting symbol of the grammar.

$P$  = set of finite no. of productions or rules.

Example: Consider  $E \rightarrow E+T \mid T$

$$T \rightarrow F$$

$$F \rightarrow id$$

In the above CFG

$$V \text{ or non-terminals} = \{E, T, F\}$$

$$\text{Terminals } T = \{+, id\}$$

$$S = \{E\}$$

$$P = \{E \rightarrow E+T, E \rightarrow T, T \rightarrow F, F \rightarrow id\}$$

Derivations using a Grammar:

It is a step by step process of replacing the non-terminals with the appropriate productions.

→ There are two types of derivations.

(a) left most derivation (LMD)

(b) Right most derivation (RMD)

left most derivation (LMD):

In each and every step of the derivation, left most non-terminal is replaced with the production.

Ex: considers the following productions.

$$E \rightarrow E + T \mid T$$

$$T \rightarrow T * F \mid F$$

$$F \rightarrow (E) \mid id$$

Derive  $id + id * id$  using LMD.

Solution:  $E \Rightarrow E + T$  ( $\because E \rightarrow E + T$ )

$$\Rightarrow T + T \quad (\because E \rightarrow T)$$

$$\Rightarrow F + T \quad (\because T \rightarrow F)$$

$$\Rightarrow id + T \quad (\because F \rightarrow id)$$

$$\Rightarrow id + T * F \quad (T \rightarrow T * F)$$

$$\Rightarrow id + F * F \quad (\because T \rightarrow F)$$

$$\Rightarrow id + id * F \quad (\because F \rightarrow id)$$

$$\Rightarrow id + id * id \quad (\because F \rightarrow id)$$

$$E \xRightarrow{*} id + id * id.$$

Right most derivation:

In each and every step of the derivation right most non-terminal is replaced with the production.

Example:

consider the following productions.

$$E \rightarrow E + T \mid T$$

$$T \rightarrow T * F \mid F$$

$$F \rightarrow (E) \mid id$$

Derived  $id + id * id$  using RMD

Solution:

$$\begin{aligned}
 E &\Rightarrow E + T & (\because E \rightarrow E + T) \\
 &\Rightarrow E + T * F & (\because T \rightarrow T * F) \\
 &\Rightarrow E + T * id & (\because F \rightarrow id) \\
 &\Rightarrow E + F * id & (\because T \rightarrow F) \\
 &\Rightarrow E + id * id & (\because F \rightarrow id) \\
 &\Rightarrow T + id * id & (\because E \rightarrow T) \\
 &\Rightarrow F + id * id & (\because T \rightarrow F) \\
 &\Rightarrow id + id * id & (\because F \rightarrow id)
 \end{aligned}$$

$$E \Rightarrow^* id + id * id.$$

The language of a grammar:

Every grammar generates a language such as regular grammar generates regular language, context free grammar generates context free language.

→ The language generated by a grammar is nothing but the strings accepted by the grammar.

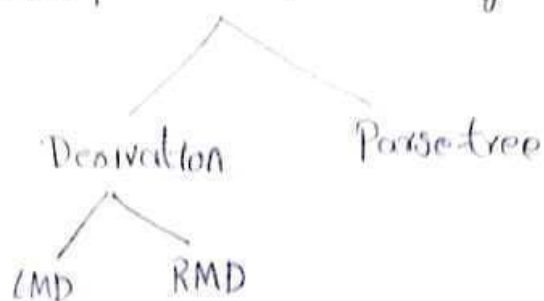
→ A string can be accepted or a string is a member of a grammar can be analyzed by 2 ways

(a) Derivation

(b) parse-tree.



# Acceptance of a string



## Parse tree

Also known as syntax tree or derivation tree.

→ It is an hierarchical representation of a derivation.

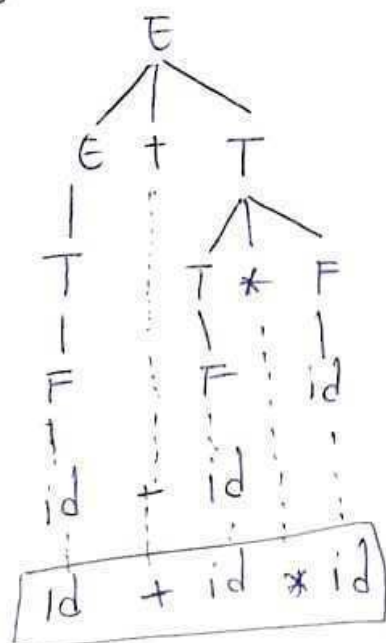
→ It consists of

- a root : starting : Non-terminal
- a leaf : Terminals
- Internals : Non-terminals.

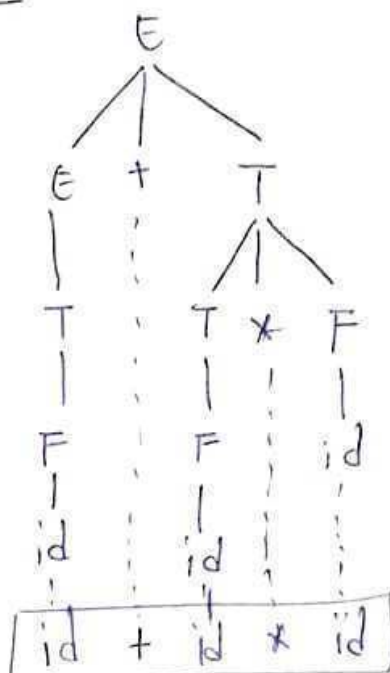
Ex: consider a string 'w' =  $id + id * id$  from the above example in LMD/RMD.

Deriving 'w' using parse tree:

LMD



RMD



we can observe that the parse tree of both LMD & RMD are same for  $w = id + id * id$

## Applications of CFG:

- ① It is the most widely used grammar.
  - ② CFG's are used in text processing and compilers.
  - ③ The parser's makes use of CFG to check the syntax of the source program, written in any language. Every statement of the source program is converted to its equivalent CFG and then to syntax tree by the parser.
  - ④ CFG is also used in markup languages.
  - ⑤ syntax analysis phase uses CFG to specify the syntactic rules to any programming languages.
  - ⑥ semantic analysis phase uses CFG to specify the type checking rules.
- Context free grammars were originally conceived by N. Chomsky to describe natural languages.
- CFG's are used to describe programming languages, and development of XML (Extensible Markup language), an essential part of XML is the Document type definition (DTD).

## Passes:

- ① YACC - Parser-generator.
- ② XML and Document-Type definitions.

Parsers: Many aspects of a programming language have a structure that may be described by regular expressions.

∴ Typical languages use parentheses and /or brackets in nested and balanced fashion. we must be able to

match some left parenthesis against right parenthesis 3.6 that appears immediately to its right, remove both of them, and repeat. If we eventually eliminate all the parentheses, then string was balanced and if we cannot match parentheses, then it is unbalanced.

Examples of strings of balanced parenthesis are  $(( ))$ ,  $(( ))$ ,  $(( ))(( ))$  and  $\epsilon$ , while  $) ($  and  $(($  are not.

A Grammar  $G_{bal} = (\{B\}, \{ (, ) \}, P, B)$  generates all and only the strings of balanced parenthesis, where  $P$  consists of the productions.

$$B \rightarrow BB \mid (B) \mid \epsilon$$

- First production  $B \rightarrow BB$  says concatenation of two strings of balanced parenthesis is balanced.
- Second Production  $B \rightarrow (B)$ , says if we replace a pair of parenthesis around a balanced string, then the result is balanced.
- Third production  $B \rightarrow \epsilon$  is the basis, it says that the empty string is balanced.

### YACC - Parser Generator

The generation of a parser (function that creates parse trees from source programs) has been institutionalized in the YACC command that appears in all unix systems



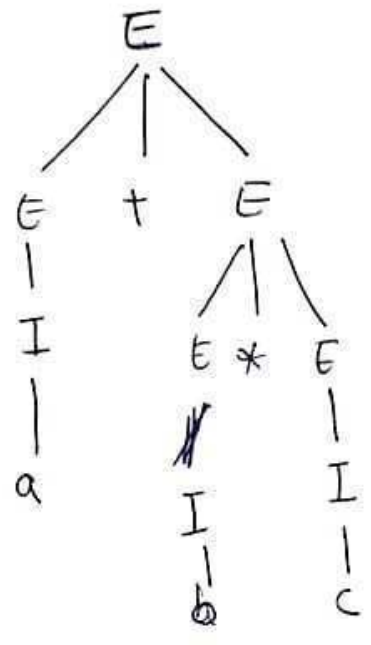
# Ambiguity in Grammar & languages.

A CFG is said to be ambiguous if there exists some  $w \in L(G)$  which has two distinct derivation trees. Alternatively, ambiguity implies the existence of two or more left most or right most derivations.

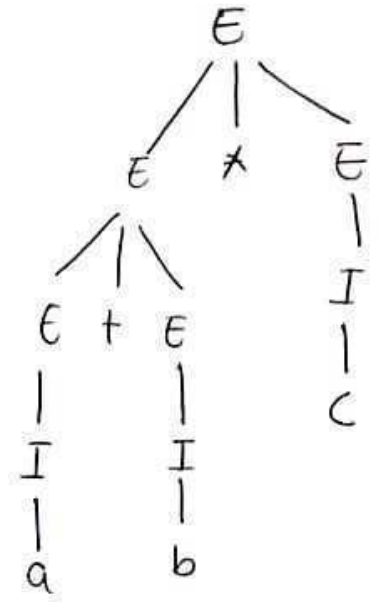
Ex consider the grammar  $G: (V, T, P, S)$  with  $V = \{E, I\}$   
 $T = \{a, b, c, +, *, (, )\}$  and productions:

- $C \rightarrow I$
- $E \rightarrow E + E$
- $E \rightarrow E * E$
- $E \rightarrow (E)$
- $I \rightarrow a | b | c$

consider two derivation trees for  $atb*c$



If  $a=5$   $b=6$   $c=7$   
 value of tree will be 47



If  $a=5$   $b=6$   $c=7$   
 value of tree will be 77

# Inherently ambiguous

A context free language  $L$  is said to be inherently ambiguous if all its grammars are ambiguous.

Ex consider the grammar for string aabbccdd

$$S \rightarrow AB \mid C$$

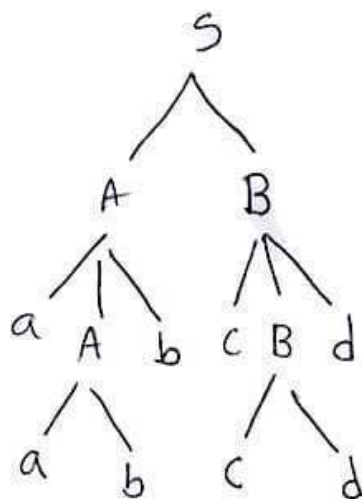
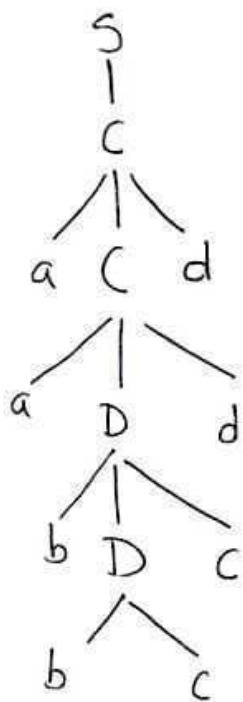
$$A \rightarrow aAb \mid ab$$

$$B \rightarrow cBd \mid cd$$

$$C \rightarrow aCd \mid aDd$$

$$D \rightarrow bDc \mid bc$$

Parse tree for string aabbccdd

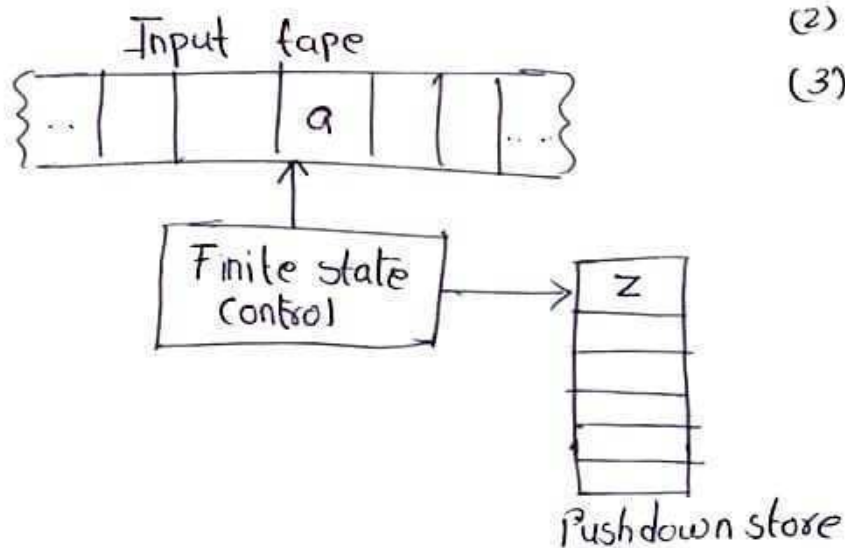


## PUSH DOWN AUTOMATA

- A Finite automata with a Stack memory can be viewed as push down automata (PDA)
- It can be defined as the automata or machine that processes all the context free languages.
  - FA cannot recognize every context free language and some context free languages are not regular.
  - some extra features are added to F.A in order to accept any type of CFH.
  - The PDA performs transitions by observing symbols at top of the stack, present state and on the input symbol.

PDA consists of three components

- (1) Input tape
- (2) control unit
- (3) stack structure



- Input tape consists of linear configuration of cells each of which contains a character from an alphabet. The tape can be moved one cell at a time to the left.



→ The stack is also a sequential structure that has a first element.

→ The control unit contains both tape heads

### Formal definition of PDA.

Formally PDA is defined as a seven tuple machine.

$$M = (Q, \Sigma, \Gamma, \delta, q_0, Z, F)$$

where  $Q \rightarrow$  Finite set of states

$\Sigma \rightarrow$  set of input symbols

$\delta \rightarrow Q \times \Sigma \times \Gamma \rightarrow Q \times \Gamma^*$

$\Gamma \rightarrow$  Finite set of symbols to push on the stack called "stack alphabet"

$q_0 \rightarrow$  Initial state of control unit  $\in Q$

$Z \rightarrow$  stack start symbol

$F \rightarrow$  set of final states  $F \subseteq Q$ .

The arguments of  $\delta$  are current state of control unit, the current input symbol and current ~~sq~~ symbol on the top of the stack.

### Instantaneous description of a PDA:

Instantaneous description of a PDA is a triplet  $(q, w, u)$

where  $q =$  current state of the automaton

$w =$  unread part of the input string.

$u =$  stack contents (written as a string, with leftmost symbol on top of the stack).

Let the symbol ' $\vdash$ ' denote move of the PDA and suppose that  $\delta(q_1, a, x) = \{(q_2, y), \dots\}$  then following is possible.

$$(q_1, aw, xz) \vdash (q_2, w, yz)$$

This notation tells that in moving from state  $q_1$  to state  $q_2$ , an 'a' is consumed from the input string 'aw' and the x at the top of the stack 'xz' is replaced with y, leaving yz on the stack.

Example:

construct a push down automata for a language

$$L = \{a^n b^n \mid n \geq 0\}$$

Solution: Firstly note down the basic strings generated by the following language.

$$L = \{\epsilon, ab, aabb, aaabbb, \dots\}$$

→ which means the input symbol 'a' is followed by the input symbol 'b' and no. of input symbols 'a' is equal to the no. of input symbols 'b'.

→ The main idea here is to push all the a's in the stack until the control reaches 'b' in the string, then pop 'a' for each 'b'.

→ Repeat the above step until the stack is empty and we reach the end point of the string ' $\epsilon$ '.

Note: If we reach the end point of the string and still find 'a' in the stack then it means that number of

'a's are more than b's.

∴ Not acceptable / Rejected.

→ If the stack is empty and there are still b's left in the string, then it means that b's are more than a's.

∴ Not acceptable / Rejected.

→ consider the string generated by the language

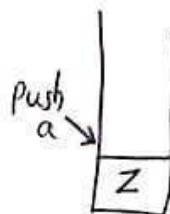
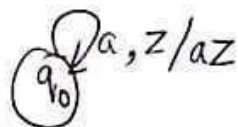
string  $\boxed{a|a|a|b|b|b|\epsilon}$

step 1: Read the first input symbol

$\boxed{a|a|a|b|b|b|\epsilon}$   
↑

current state	i/p	Top of stack	next state	operation
$q_0$	a	z	$q_0$	push

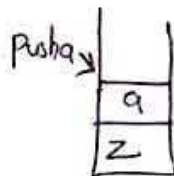
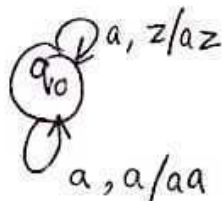
$(q_0, a, z) \vdash \{q_0, az\}$



step 2:

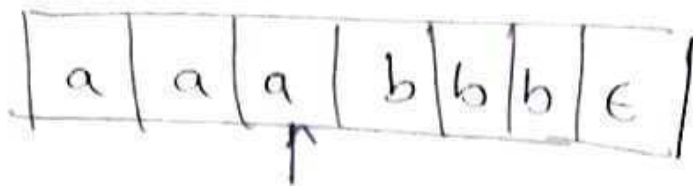
$\boxed{a|a|a|b|b|b|\epsilon}$   
↑

$(q_0, a, a) = \{q_0, aa\}$



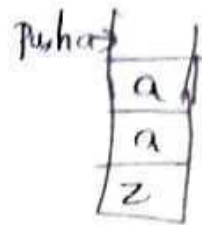


Step 2:

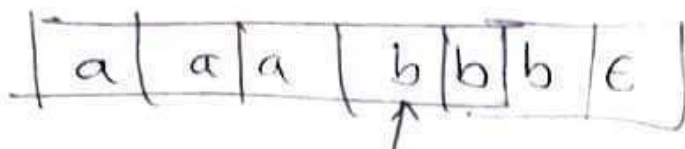


$$(q_0, a, a) \vdash \{q_0, aa\}$$

repeat step 2



Step 3:

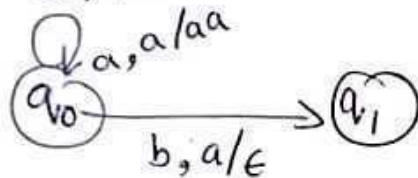


$$(q_0, b, a) \vdash \{q_1, \epsilon\}$$

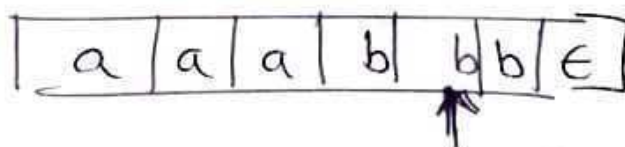
→ pop operation

→ change the state

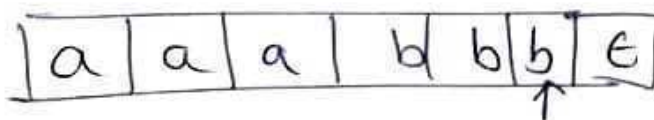
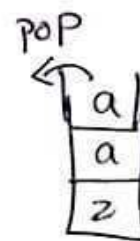
a, z / az



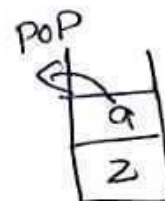
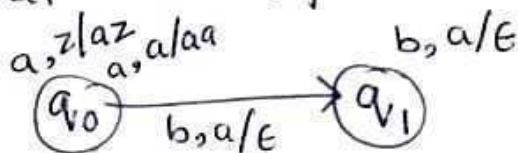
Step 4:



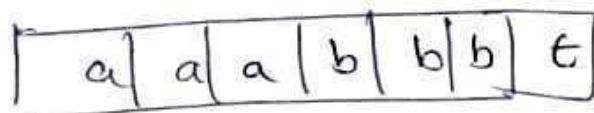
$$(q_1, b, a) \vdash \{q_1, \epsilon\}$$



repeat the step 4



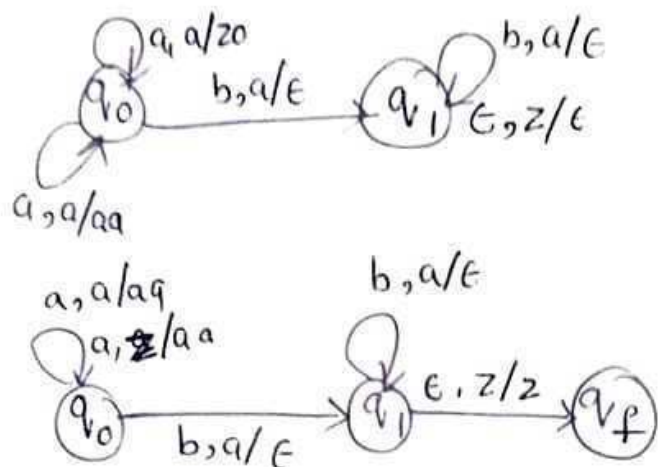
Step 5:



$$(q_1, \epsilon, z) \vdash \{q_1, \epsilon\}$$

$\therefore$  stack is empty reached the end of the string.

$\therefore$  The final PDA (acceptance by empty stack)



Acceptance by final state

- Introduce a new state from  $q_1$  to  $q_f$

$$(q_1, \epsilon, \underline{z}) \vdash (q_f, z)$$

Instantaneous description is

$$\delta(q_0, a, z) \vdash (q_0, az)$$

$$\delta(q_0, a, a) \vdash (q_0, aa)$$

$$\delta(q_0, b, a) \vdash (q_1, \epsilon)$$

$$\delta(q_1, b, a) \vdash (q_1, \epsilon)$$

$$\delta(q_1, \epsilon, zo) \vdash (q_1, \epsilon)$$

$$\delta(q_1, \epsilon, zo) \vdash (q_f, zo)$$

The simulation of the string is as follows

$$\delta(q_0, aaabbb, zo) \Rightarrow \delta(q_0, aabbb, azo)$$

$$\Rightarrow \delta(q_0, abbb, aazo)$$

$$\Rightarrow \delta(q_0, bbb, aaaa z_0)$$

$$\Rightarrow \delta(q_1, bbb, \underline{a}aaa z_0)$$

$$\Rightarrow \delta(q_1, \underline{b}bb, \underline{a}aaa z_0)$$

$$\Rightarrow \delta(q_1, b, \underline{a}aaa z_0)$$

$$\Rightarrow \delta(q_1, \epsilon, \underline{a}aaa z_0)$$

$$\Rightarrow \delta(q_2, \epsilon) \text{ Accepted.}$$

### The languages of PDA:

There are two ways to define the language of a PDA  $P = (Q, \Sigma, T, \delta, q_0, z_0, F)$  ( $L(P) \subseteq \Sigma^*$ )

because there are two notions of acceptance.

- (i) Acceptance by final state.
- (ii) Acceptance by empty stack.

#### Acceptance by final state:

A Push down automata is defined by  $P = (Q, \Sigma, T, \delta, q_0, z_0, F)$  accepts a given language by generating the strings  $w \in \Sigma^*$ , by entering the final state such that

$$(q_0, w, z_0) \Rightarrow (q_f, \epsilon, \alpha) \text{ where } q_f \in F \text{ and}$$

$$\alpha \in T^*$$

ie., A PDA can accept strings through final state based on the following conditions.



(a) If string is completely traversed (i.e., it comes to an end).

(b) If the PDA has visited its final state

$$\text{i.e. } \delta(q_i, \epsilon, z_0) = (q_f, z_0)$$

### Acceptance by Empty stack:

A PDA is defined by  $P = (Q, \Sigma, \Gamma, \delta, q_0, z_0, F)$  accepts a given language by generating the set of strings  $w \in \Sigma^*$  by making its stack empty such that

$$(q_0, w, z_0) \Rightarrow (q_0, \epsilon, \epsilon)$$

→ A PDA can accept strings by empty stack based on the following conditions.

(a) If the string is completely traversed (i.e., it comes to one end)

(b) If the PDA has its stack empty.

Thus if a string of PDA are accepted by empty stack then the final state should be of type.

$$\delta(q_i, \epsilon, z_0) \Rightarrow (q_f, \epsilon)$$

Example 2 PDA for  
 $L = \{a^n b^m c^n \mid n \geq 1, m \geq 1\}$

Soln strings generated by this language is  
 $L = \{abc, aabcc, abbbc, \dots\}$

i.e. equal no. of a's & equal number of c's and any number of b's between a's & c's.

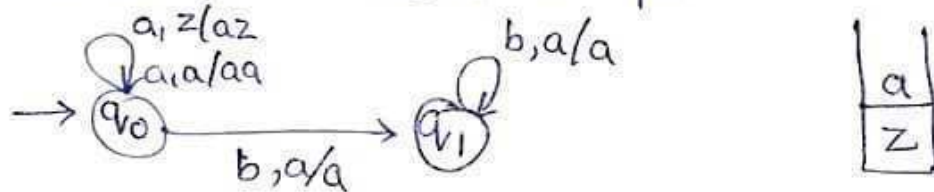
→ The concept here is all a's are pushed on to the stack, when b will come 'no operation' and for each c pop one 'a' then stack will be empty.

Steps are as follows.

(i) First push 'a' on to the stack.

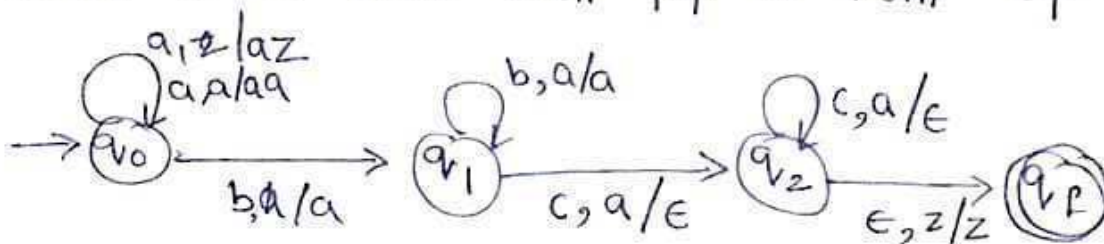


(ii) when b is read as input.



Here any number of b's may be read, but no operation is performed.

(iii) when 'c' is read then pop 'a' from top of stack i.e.,



For each 'c' pop 'a' then stack will be empty then reaches final state. This language is deterministic PDA (DPDA).

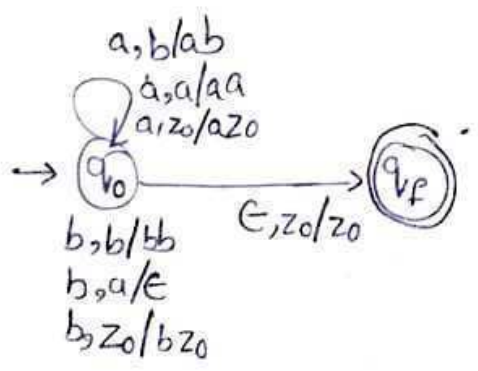
Consider any string 'aabbcc' generated by given language

- $(q_0, aabbcc, z) \vdash (q_0, abbbc, az)$  ( $\because$  'a' pushed on to stack)
- $\vdash (q_0, bbbc, aaz)$  (second 'a' is also pushed on to stack)
- $\vdash (q_0, bbcc, aaz)$  ( $\because$  when 'b' is read stack top remains same as no operation is performed)
- $\vdash (q_1, bcc, aaz)$  ( $\because$  No operation)
- $\vdash (q_1, cc, aaz)$
- $\vdash (q_2, c, aaz)$  (when 'c' is read change state and pop 'a')
- $\vdash (q_2, \epsilon, z)$

Therefore when  $\epsilon$  is read then state reaches the acceptance / final state.

Example 3: PDA for  $L = \{w \in (a,b)^* \mid na = nb\}$

Soln:  $L = \{ \epsilon, ab, ba, abab, baba, \dots \}$





## Push down Automata.

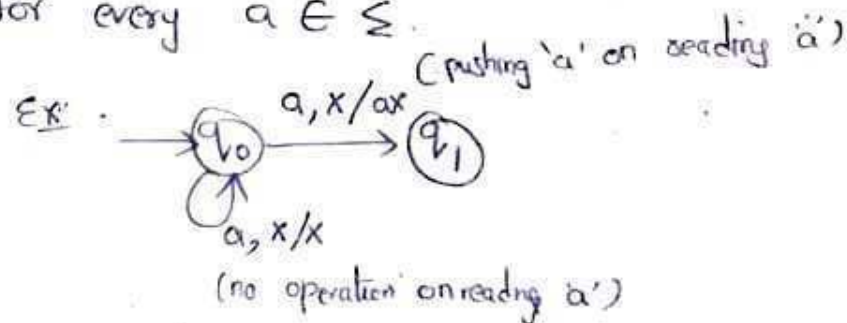
- (1) DPDA (Deterministic push down automata)
- (2) NPDA (Non-deterministic " " " " )

### DPDA :

- (1) Center symbol is known (ie. up to which element we need to perform push and at which element we need to pop. ex:  $a^n b^n$ ,  $wcwr$ ).
- (2) There is only one move in every situation.

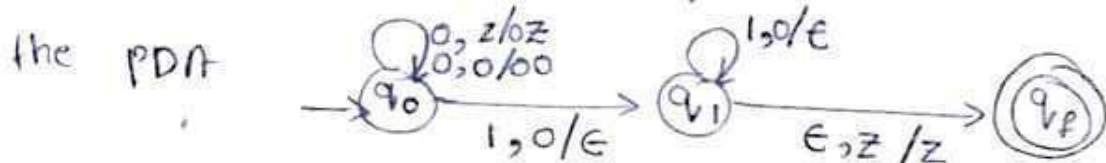
$$\delta(q_0, a, z_0) \Rightarrow (q_0, az_0)$$

If  $M$  is deterministic then it should satisfy, for any  $q \in Q$ ,  $a \in \Sigma$  and  $x \in T$  then  $(q, a, x)$  has at most one transition, and  $(q, \epsilon, x) \neq \emptyset$  then  $(q, a, x) \neq \emptyset$  for every  $a \in \Sigma$ .



Here two operations are performed for same input symbol for same state, this is not allowed in DPDA.

→ The grammar  $L = \{0^n 1^n \mid n \geq 1\}$ , the string 011 has



The ID's here are

$$(q_0, 0, z) \vdash (q_0, 0z)$$

$$(q_0, 0, 0) \vdash (q_0, 00)$$

$$(q_0, 1, 0) \vdash (q_1, \epsilon)$$

$$(q_1, 1, 0) \vdash (q_1, \epsilon)$$

$$(q_1, \epsilon, z) \vdash (q_f, z)$$

This is a deterministic PDA because in first two steps of ID input is same and stack top is different.

### NPDA:

(1) Center is not known.

(2)  $ww^R$  suppose aaaa is string, we don't know for which we need to push or pop.

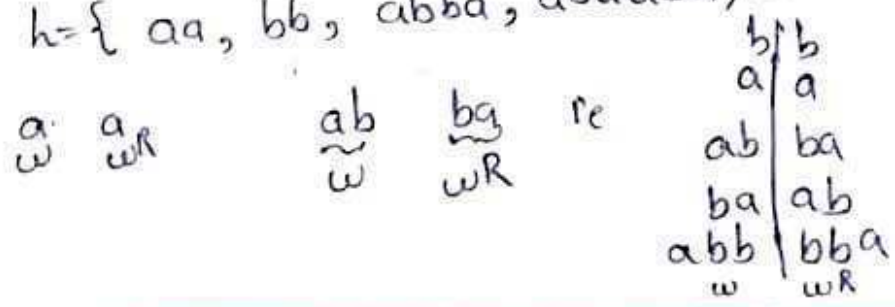
(3) There are multiple moves in every situation.

$$\delta(q_0, a, a) \vdash (q_0, aa) \text{ (or) } (q_1, a) \text{ (or) } (q_1, \epsilon)$$

Examples of DPDA  $\neq$  NPDA are:

① construct PDA for  $L = \{ww^R \mid w \in (a,b)^*\}$

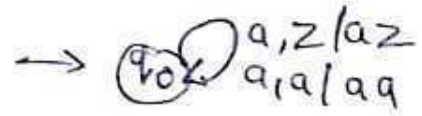
similar language  $L = \{aa, bb, abba, abaaba, \dots\}$



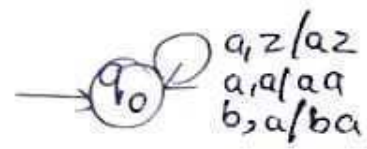
→ The concept here is for each symbol of  $w$  we need to perform push operation and for  $w^R$  perform pop operation, then stack will be empty.

→ some times we are not clear where to stop push operation and start pop operation, so this cannot be a deterministic PDA.

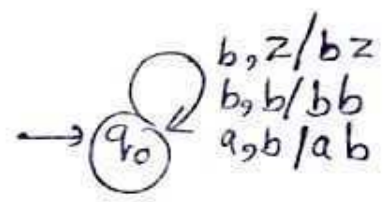
→ when ~~we read~~ we read input a then



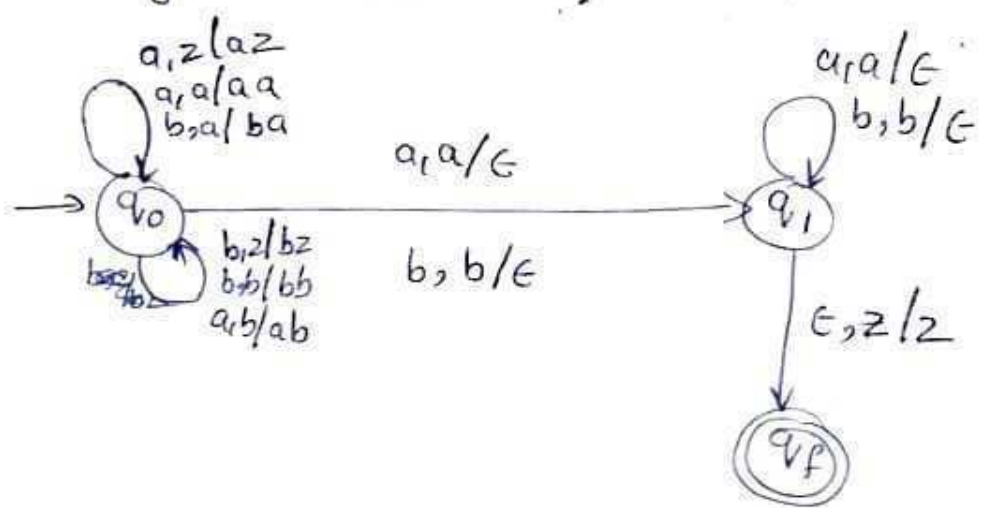
→ when we read input b then (ie.  $w = aab$ )



→ Suppos 'b' is read on empty stack (ie  $w = bba$ )



→ To go to  $q_1$  state.





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This is a Non deterministic PDA because for

$$(q_0, a, a) \Rightarrow (q_0, aa) \text{ - push operator}$$

$$(q_0, a, a) \Rightarrow (q_1, \epsilon) \text{ - pop operator}$$

Here for same i/p & same stack top different operations are performed (hats why this is 'NPDA')

→ This is a CFL because only NPDA can have

~~Example~~

CFL language, but not DPDA

For string abba

$$(q_0, w, z) \vdash (q_0, abba, z)$$

$$(q_0, bba, az)$$

$$(q_0, ba, baz)$$

$$(q_1, a, az)$$

$$(q_1, \epsilon, z)$$

Example

$$L = \{ w c w^R \mid w \in (a, b)^* \text{ and } c \text{ is input alphabet} \}$$

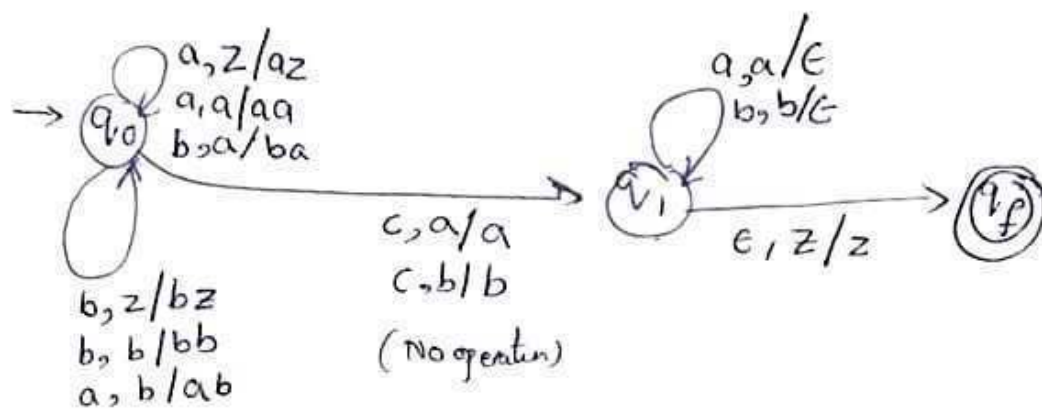
Solution

consider strings

aca

bcb

$\frac{abc}{w} \frac{ba}{w^R}$



This is deterministic PDA.

Consider string  $abcba$

$(q_0, abcba, z) \vdash (q_0, bcba, az)$

$\vdash (q_0, cba, baz)$

$\vdash (q_0, ba, baz)$

$\vdash (q_1, a, az)$

$\vdash (q_1, \epsilon, z)$

$\vdash (q_f, \epsilon, z)$

→ This language is deterministic context free language.

Equivalence of CFG & PDA:

Languages defined by PDA's are exactly the context free language.



Equivalence of three ways of defining the CFL's

3.24

The goal is to prove that the following three classes of languages.

1. The context free languages i.e. the languages defined by CFG's.
2. The languages that are accepted by final state by some PDA.
3. The languages that are accepted by empty stack by some PDA.

Conversion from grammars to Push down automata:

$$G = \{V, T, P, s\} \quad M = \{Q, \Sigma, T, \delta, q_0, Z_0, F\}$$

$$A \rightarrow \alpha$$

$$A \in V \text{ \& } \alpha \in (V \cup T)^*$$

Then push down automata  $P$  that accepts  $L(G)$  by empty stack as follows:

$$P = (\{q\}, T, V \cup T, \delta, q, s)$$

$\{q\}$  — no. of states

$T$  — i/p symbol is replaced by  $T$ .

$T$  — is replaced by  $V \cup T$

where transition function  $\delta$  is defined by:



1. For each variable  $A$ ,

$$\delta(q, \epsilon, A) = \{(q, \beta) \mid A \rightarrow \beta \text{ is a production of } P\}$$

(i.e., push operation)

2. For each terminal  $a$ ,

$$\delta(q, a, a) = \{(q, \epsilon)\} \quad (\text{i.e., pop operation})$$

Example

① construct a PDA equivalent to the grammar

$$S \rightarrow aAA$$

$$A \rightarrow aS \mid bS \mid a.$$

Solution: First identify set of variables & Terminals

$$T = \{a, b\}$$

$$V = \{S, A\}$$

For every variable

$$\delta(q, \epsilon, A) = \{(q, aAA)\}$$

$$\delta(q, \epsilon, S) = \{(q, aS), (q, bS), (q, a)\}$$

For each terminal

$$\delta(q, a, a) = \{(q, \epsilon)\}$$

$$\delta(q, b, b) = \{(q, \epsilon)\}$$

These four transition functions are used for PDA.

Consider string  $aabb$  is acceptable or not

$$\delta(q, aabb, S) \vdash$$

Ex 2 Construct a PDA for the following CFG and test whether "abbabb" is in  $N(P)$ .

$$G = (\{S, A\}, \{a, b\}, R, S)$$

$$R = \{S \rightarrow AA|a, A \rightarrow SA|b\}$$

Soln Identify set of Variables & Terminals

$$V = \{S, A\} \quad \& \quad T = \{a, b\}$$

For each Variable

$$\delta(q, \epsilon, S) = \{(q, AA), (q, a)\}$$

$$\delta(q, \epsilon, A) = \{(q, SA), (q, b)\}$$

For each terminal

$$\delta(q, a, a) = \{(q, \epsilon)\}$$

$$\delta(q, b, b) = \{(q, \epsilon)\}$$

$$\delta(q, \text{abbabb}, S) \vdash (q, \text{abbabb}, AA)$$

$$\vdash (q, \text{abbabb}, SAA)$$

$$\vdash (q, \underline{\text{a}}\text{bbabb}, \underline{\text{a}}AA)$$

$$\vdash (q, \text{bbabb}, AA)$$

$$\vdash (q, \underline{\text{b}}\text{babb}, \underline{\text{b}}A)$$

$$\vdash (q, \text{babb}, A)$$

$$\vdash (q, \text{babb}, SA)$$

$$\vdash (q, \text{babb}, AAA)$$

$$\vdash (q, \underline{\text{b}}\text{abb}, \underline{\text{b}}AA)$$

$$\vdash (q, \text{abb}, AA)$$

$$\vdash (q, \text{abb}, SAA)$$

$$\vdash (q, \underline{\text{a}}\text{bb}, \underline{\text{a}}AA)$$

$$\vdash (q, \text{bb}, AA)$$

$$\vdash (q, \underline{\text{b}}\text{b}, \underline{\text{b}}A)$$

$$\vdash (q, \text{b}, A)$$

$$\vdash (q, \underline{\text{b}}, \underline{\text{b}})$$

$$\vdash (q, \epsilon)$$

Finally stack is empty, string is accepted.

## Conversion of PDA to CFG:

To convert the PDA to CFG, we use the following rules:

R1: The productions from start symbol  $S$  are given by

$$S \rightarrow [q_0, z_0, q] \text{ for some state } q \text{ in } Q.$$

R2: Each move that pops a symbol from stack, with transitions.

$$\delta(q, a, z_i) = (q, \epsilon)$$

includes a production as

$$[q, z_i, q_1] \rightarrow a \text{ for } q_1 \text{ in } Q$$

R3: Each move that does not pop any symbol from stack with transition as

$$(i) \delta(q, a, z) = (q_1, z_1 z_2 z_3 \dots)$$

includes a production as

$$[q_1, z_0, q_m] \rightarrow a [q_1, z_2, q_2] [q_2, z_3, q_3] [q_3, z_4, q_4] \dots [q_{m-1}, z_m, q_m]$$

for each  $q_i$  in  $Q$  where  $1 \leq i \leq m$

$$(ii) \delta(q, a, z) = (q_1, \epsilon)$$

$$\text{then } [q, z, q_1] \rightarrow a$$

$$(iii) \delta(q, \epsilon, z) \rightarrow (q_1, \epsilon)$$

$$\text{then } [q, z, q_1] \rightarrow \epsilon$$

After defining the rules apply simplification of grammar to get reduced grammar.

Example: convert the following PDA to CFG.

$$\delta(q_0, a, z_0) = (q_0, a z_0)$$

$$\delta(q_0, a, a) = (q_0, a a)$$

$$\delta(q_0, b, a) = (q_1, a)$$

$$\delta(q_1, b, a) = (q_1, a)$$

$$\delta(q_1, a, a) = (q_1, \epsilon)$$

$$\delta(q_1, \epsilon, z_0) = (q_1, \epsilon)$$



Soln To convert to CFG first note set of variables,  
 $V = \{S$  and seven tuple notation of PDA ie.

$$P = (Q, \Sigma, \Gamma, \delta, q_0, Z_0, F)$$

$$P = (\{q_0, q_1\}, \{a, b\}, \{a, z_0\}, \delta, q_0, Z_0, F)$$

$$V = \{S, [q_0, a, q_0], [q_0, z_0, q_0], [q_0, a, q_1], [q_0, z_0, q_1], [q_1, a, q_0], [q_1, z_0, q_0], [q_1, a, q_1], [q_1, z_0, q_1]\}$$

These are variables of CFG  
 Now writing productions for states by considering R1.

$$(i) \begin{cases} S \rightarrow [q_0, z_0, q_0] \\ S \rightarrow [q_0, z_0, q_1] \end{cases} \quad \left. \begin{array}{l} [q_0, z_0] \text{ is common then followed by} \\ \text{state } \{q_0, q_1\} \end{array} \right\}$$

$$(ii) \delta(q_0, a, z_0) = (q_0, a z_0) \quad \left( \because \text{As there are two symbol in stack } 2^2 = 4 \text{ productions are possible} \right)$$

$$\begin{aligned} [q_0, z_0, q_0] &\rightarrow a [q_0, a, q_0] [q_0, z_0, q_0] \\ [q_0, z_0, q_0] &\rightarrow a [q_0, a, q_1] [q_1, z_0, q_0] \\ [q_0, z_0, q_1] &\rightarrow a [q_0, a, q_0] [q_0, z_0, q_1] \\ [q_0, z_0, q_1] &\rightarrow a [q_0, a, q_1] [q_1, z_0, q_1] \end{aligned}$$

states are written alternatively

$$(iii) \delta(q_0, a, a) = (q_0, aa)$$

$$\begin{aligned} [q_0, a, q_0] &\rightarrow a [q_0, a, q_0] [q_0, a, q_0] \\ [q_0, a, q_0] &\rightarrow a [q_0, a, q_1] [q_1, a, q_0] \\ [q_0, a, q_1] &\rightarrow a [q_0, a, q_0] [q_0, a, q_1] \\ [q_0, a, q_1] &\rightarrow a [q_0, a, q_1] [q_1, a, q_1] \end{aligned}$$

$$(iii) \delta(q_0, b, a) = (q_1, a)$$

$$[q_0 \quad a \quad q_0] \rightarrow b [q_1 \quad a \quad q_0]$$

$$[q_0 \quad a \quad q_1] \rightarrow b [q_1 \quad a \quad q_1]$$

states

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(stack contains only one symbol)  
Two productions are possible  
 $2^1 - 2$  and power is 1 then  
right side is only one derivable

$$(iv) \delta(q_1, b, a) = (q_1, a)$$

$$[q_1 \quad a \quad q_0] \rightarrow b [q_1 \quad a \quad q_0]$$

$$[q_1 \quad a \quad q_1] \rightarrow b [q_1 \quad a \quad q_1]$$

$$(v) \delta(q_1, a, a) = (q_1, \epsilon) \quad [\because \text{stack is empty}]$$

$$[q_1 \quad a \quad q_1] \rightarrow a$$

$$(vi) \delta(q_1, \epsilon, z_0) = (q_1, \epsilon)$$

$$[q_1 \quad z_0 \quad q_1] \rightarrow \epsilon$$

These are the set of productions for CFG

Ex 4.2 Convert the following PDA into CFG

$$P = (\{q_0, q_1, P\}, \{0, 1\}, \{X, Z\}, \delta, q_0, Z)$$

$$\delta(q_0, 1, Z) = (q_1, XZ)$$

$$\delta(q_0, 0, X) = (P, X)$$

$$\delta(q_0, 1, X) = (q_0, XX)$$

$$\delta(P, 1, X) = (P, \epsilon)$$

$$\delta(q_0, \epsilon, X) = (q_0, \epsilon)$$

$$\delta(P, 0, Z) = (q_1, Z)$$

### The Language of a Grammar

If  $G(V, T, P, S)$  is a CFG, the language of  $G$ , denoted by  $L(G)$ , is the set of terminal strings that have derivations from the start symbol.

$$L(G) = \{w \in T^* \mid S \rightarrow w\}$$

### Sentential Forms

Derivations from the start symbol produce strings that have a special role called "sentential forms". That is if  $G = (V, T, P, S)$  is a CFG, then any string in  $(V \cup T)^*$  such that  $S \rightarrow \alpha$  is a sentential form. If  $S \rightarrow \alpha$ , then  $\alpha$  is a left-sentential form, and if  $S \rightarrow \alpha$ , then  $\alpha$  is a right-sentential form. Note that the language  $L(G)$  is those sentential forms that are in  $T^*$ ; that is they consist solely of terminals.

For example,  $E^* (I + E)$  is a sentential form, since there is a

$$\text{derivation } E \rightarrow E^* E \rightarrow E^* (E) \rightarrow E^* (E + E) \rightarrow E^* (I + E)$$

However this derivation is neither leftmost nor rightmost, since at the last step, the middle  $E$  is replaced.

As an example of a left-sentential form, consider  $a^* E$ , with the leftmost derivation.  $E \rightarrow E^* E \rightarrow I^* E \rightarrow a^* E$

Additionally, the derivation

$$E \rightarrow E^* E \rightarrow E^* (E) \rightarrow E^* (E +$$

$E)$  shows that

$$E^* (E + E) \text{ is a right-sentential form.}$$

### : Applications of Context – Free Grammars

- Parsers
- The YACC Parser Generator
- Markup Languages
- XML and Document type definitions

### The YACC Parser Generator

```
E → E + E | E * E |
(E) | id % { #include
<stdio.h> % }
% token ID id
%%
Exp : id { $$ = $1 ; printf ("result is %d\n", $1); }
      | Exp "+" Exp { $$ = $1 + $3; }
      | Exp "*" Exp { $$ = $1 * $3; }
      | "(" Exp ")" { $$ = $2; }
      ;
```



%%

```

int main (void) {
return yyparse ( );
}
void yyerror (char *s) {
fprintf (stderr, "%s\n", s);
}
%{
#include "y.tab.h"
}%
%%
[0-9]+      {yylval.ID = atoi(yytext); return id;}
[ \t \n]    ;
[+ * ( )]   {return yytext[0];}
.           {ECHO; yyerror ("unexpected character");}
%%

```

### Example 2:

```

%{
#include <stdio.h>
}%
%start line
%token <a_number> number
%type <a_number> exp term factor
%%
line : exp ';' {printf ("result is %d\n", $1);}
;
exp : term {$$ = $1;}
    | exp '+' term {$$ = $1 + $3;}
    | exp '-' term {$$ = $1 - $3;}
term : factor {$$ = $1;}
    | term '*' factor {$$ = $1 * $3;}
    | term '/' factor {$$ = $1 / $3;}
;
factor : number {$$ = $1;}
| '(' exp ')' {$$ = $2;}
;
%%
int main (void) {
return yyparse ( );
}
void yyerror (char *s) {
fprintf (stderr, "%s\n", s);
}
%{
#include "y.tab.h"
}%
%%

```

```

[0-9]+ {yyval.a_number = atoi(yytext); return number;}
[ \t\n] ;
[-+*/(){}]{return yytext[0];}
. {ECHO; yyerror ("unexpected character");}
%%

```

## Markup Languages

### Functions

- Creating links between documents
- Describing the format of the document

### Example

The Things I *hate*

1. Moldy bread
2. People who drive too  
slow in the fast lane

HTML Source

```

<P> The things I
<EM>hate</EM>: <OL>
<LI> Moldy bread
<LI>People who drive too
slow in the fast lane
</OL>

```

HTML Grammar

- Char a | A | ...
  - Text e | Char Text
  - Doc e | Element Doc
  - Element Text |
    - <EM> Doc </EM>|
    - <p> Doc |
    - <OL> List </OL>| ...
5. List-Item <LI> Doc
  6. List e | List-Item List Start symbol

# XML and Document type definitions.

1.  $A \rightarrow E_1 E_2$ .

$A \rightarrow BC$

$B \rightarrow E_1$

$C \rightarrow E_2$

2.  $A \rightarrow E_1 \mid E_2$ .

$A \rightarrow E_1$

$A \rightarrow E_2$

3.  $A \rightarrow (E_1)^*$

$A \rightarrow BA$

$A \rightarrow \epsilon$

$B \rightarrow E_1$

4.  $A \rightarrow (E_1)^+$

$A \rightarrow BA$

$A \rightarrow B$

$B \rightarrow E_1$

5.  $A \rightarrow (E_1)?$

$A \rightarrow \epsilon$

$A \rightarrow E_1$

## 4.4: Ambiguity

A context - free grammar  $G$  is said to be ambiguous if there exists some  $w \in L(G)$  which has at least two distinct derivation trees. Alternatively, ambiguity implies the existence of two or more left most or rightmost derivations.

### Ex:-

Consider the grammar  $G=(V,T,E,P)$  with  $V=\{E,I\}$ ,  $T=\{a,b,c,+,*,(,)\}$ , and

productions.  $E \rightarrow I$ ,

$E \rightarrow E+E$ ,

$E \rightarrow E * E$ ,

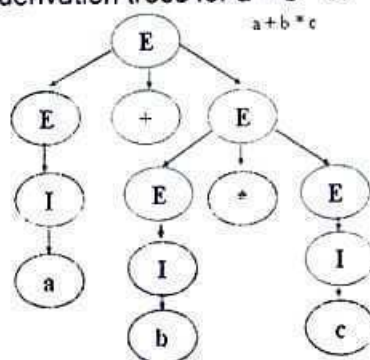
$E \rightarrow (E)$ ,

$I \rightarrow a|b|c$

Consider two derivation trees for  $a + b * c$ .

### Tree I

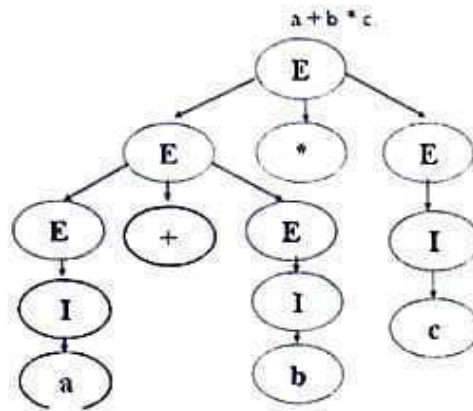
Let  $a=5$ ,  $b=6$ ,  $c=7$   
The value for Tree I  
will be 47





### Tree II

Let  $a=5$ ,  $b=6$ ,  $c=7$   
The value for Tree II  
will be 77



Now unambiguous grammar for the above  
Example:

$E \rightarrow T$ ,  $T \rightarrow F$ ,  $F \rightarrow I$ ,  $E \rightarrow E + T$ ,  $T \rightarrow T * F$ ,  
 $F \rightarrow (E)$ ,  $I \rightarrow a|b|c$

### Inherent Ambiguity

A CFL L is said to be inherently ambiguous if all its grammars are  
ambiguous Example:

Consider the Grammar for string

aabbccdd  $S \rightarrow AB | C$

$A \rightarrow aAb | ab$

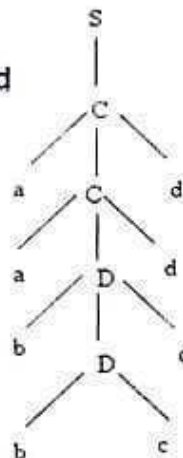
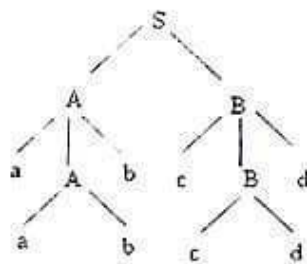
$B \rightarrow cBd | cd$

$C \rightarrow aCd | aDd$

$D \rightarrow bDc | bc$

Parse tree for string aabbccdd

Parse tree for string aabbccdd



# ASSIGNMENT QUESTIONS

- 1) The following grammar generates the language of RE

$$0^*1(0+1)^*$$

$$S \rightarrow A|B$$

$$A \rightarrow 0A|$$

$$B \rightarrow 0B|1B|$$

Give leftmost and rightmost derivations of the following strings

a) 00101    b) 1001    c) 00011

- 2) Consider the grammar

$$S \rightarrow aS|aSbS|$$

Show that deviation for the string aab is ambiguous

- 3) The following grammar generates the language of RE

$$0^*1(0+1)^*$$

$$S \rightarrow A|B$$

$$A \rightarrow 0A|$$

$$B \rightarrow 0B|1B|$$

Give leftmost and rightmost derivations of the following strings

a) 00101    b) 1001    c) 00011

- 4) Consider the grammar

$$S \rightarrow aS|aSbS|S$$

Show that deviation for the string aab is ambiguous

NORMAL FORMS FOR CONTEXT-FREE GRAMMARSMinimization of context free grammar (CFG):

The CFG may contain some extra non terminals which are useless. This causes the length of grammar to be increased. Hence we delete some useless symbols which is then called as simplification of grammar.

The properties of reduced grammar are given below:

- 1) Each terminal and non-terminal of grammar appears in the derivation of some word in the language.
- 2) There should not be any productions as  $x \rightarrow y$  where  $x$  and  $y$  are non-terminals.
- 3) If  $\epsilon$  is not in the language 'L' then there need not be the production  $x \rightarrow \epsilon$ .

Elimination of useless symbols (or) unreachable symbols:

→ A symbol which is not involved in any string generation by the grammar is called as useless symbol.

(or)  
→ A symbol which is not reachable from the starting symbol is called as unreachable symbols.

$$\left. \begin{array}{l} S \xRightarrow{*} \alpha p \beta \\ \alpha p \beta \xRightarrow{*} w \end{array} \right\} \text{ Here } p \text{ is said to be useful symbol}$$



Ex: ①  $A \rightarrow aB \mid b$

$B \rightarrow bA \mid b$

$C \rightarrow ec \mid f$

$D \rightarrow d \mid dE$

$E \rightarrow aE$

Sol<sup>n</sup>: Here, the production 'c' is useless as it is unreachable from starting symbol 'A', and the production  $E \rightarrow aE$  cannot be terminated. So, it is also useless. Hence we can delete the useless symbols.



②  $G = (V, T, P, S)$  where  $V = \{S, T, X\}$   $T = \{0, 1\}$

$S \rightarrow 0T \mid 1T \mid x \mid 0 \mid 1$

$T \rightarrow 00$

Sol<sup>n</sup>: Here X is not having any further derivations. Hence we delete X and associated if exists any

$$\therefore \begin{aligned} S &\rightarrow 0T \mid 1T \mid x \mid 0 \mid 1 & S &\rightarrow 0T \mid 1T \mid 0 \mid 1 \\ & & \Rightarrow T &\rightarrow 00 \\ T &\rightarrow 00 \end{aligned}$$

③ Consider the CFG  $G = (V, T, P, S)$  where  $V = \{S, A, B\}$

$T = \{0, 1\}$  ,  $P = \{$

$S \rightarrow A11B \mid 11A$

$S \rightarrow 1B \mid 11$

$A \rightarrow 0$

$B \rightarrow BB \}$

Soln Here B doesnot derive any terminal symbols so, we delete B and associated strings i.e.  $B \rightarrow BB$  and  $S \rightarrow ABB$

The resultant will be  $P = \{ S \rightarrow 11A, S \rightarrow 11, A \rightarrow 0 \} \Rightarrow \{ S \rightarrow 11A/11, A \rightarrow 0 \}$

### Elimination of $\epsilon$ productions from grammar:

If the grammar contains ' $\epsilon$ ' which means it has no value, we remove it from the production rules.  
 $\rightarrow$  ' $\epsilon$ ' productions for a non-terminal is replaced by introducing a new production for the given N. T without  $\epsilon$  Non Terminal.

[Note: only one ' $\epsilon$ ' can be eliminated at a time]

Ex Remove ' $\epsilon$ ' production from the following CFG, by preserving meaning of it.

$$\begin{aligned} S &\rightarrow aA \mid bBb \mid ab \\ A &\rightarrow aA \mid \epsilon \\ B &\rightarrow bBa \mid \epsilon \end{aligned}$$

Soln:

$$\begin{aligned} S &\rightarrow aA \mid bBb \mid ab \\ A &\rightarrow aA \mid \epsilon \\ B &\rightarrow bBa \mid \epsilon \end{aligned} \xrightarrow[\text{'\epsilon' from A}]{\text{Removing}}$$

$$\begin{aligned} S &\rightarrow aA \mid bBb \mid ab \mid a \\ A &\rightarrow aA \mid a \\ B &\rightarrow bBa \mid \epsilon \end{aligned}$$

$\Downarrow$  Removing ' $\epsilon$ ' from B

$$\begin{aligned} S &\rightarrow aA \mid bBb \mid ab \mid a \mid bb \\ A &\rightarrow aA \mid a \\ B &\rightarrow bBa \mid ba \end{aligned}$$

Ex (2)  $A \rightarrow 0B1 \mid 1B1$   
 $B \rightarrow 0B \mid 1B \mid \epsilon$

Solution:

$$A \rightarrow 0B1 \mid 1B1$$

$$B \rightarrow 0B \mid 1B \mid 0 \mid 1$$

Ex. 3  $S \rightarrow aSa$

$$S \rightarrow bSb$$

$$S \rightarrow \epsilon$$

Sol:  $S \rightarrow aSa \mid bSb \mid aa \mid bb$

4.4

Elimination of unit productions:

A production is said to be unit if a non-terminal derives another non-terminal alone. Ex:  $X \rightarrow Y$ .  
 $\rightarrow$  A unit production can be eliminated by replacing R.H.S non-terminal with all its productions.

Ex  $E \rightarrow E+T \mid T$   
 $T \rightarrow T * F \mid F$   
 $F \rightarrow (E) \mid id$

Solution:

$$E \rightarrow E+T$$

$$E \rightarrow T$$

$$T \rightarrow T * F \Rightarrow$$

$$T \rightarrow F$$

$$F \rightarrow (E)$$

$$F \rightarrow id$$

Here  $E \rightarrow T$  and  $T \rightarrow F$  are unit productions

$$E \rightarrow E+T$$

$$E \rightarrow T$$

$$T \rightarrow T * F$$

$$T \rightarrow (E) \mid id \Rightarrow$$

$$F \rightarrow (E)$$

$$F \rightarrow id$$

Here  $T \rightarrow F$  is eliminated by replacing  $F$  productions

$$E \rightarrow E+T$$

$$E \rightarrow T * F \mid (E) \mid id$$

$$T \rightarrow T * F$$

$$T \rightarrow (E) \mid id$$

$$F \rightarrow (E)$$

$$F \rightarrow id$$

Here  $E \rightarrow T$  is eliminated by replacing  $T$  productions



## Normal Forms:

At the right hand of production rules we have different combinations of terminal & non-terminal. we need to arrange them in a specific format i.e., fixed number of terminals.

This process is known as "normalization".

→ These are 2 types of Normal Forms They are :-

(1) Chomsky Normal Form (CNF)

(2) Greibach Normal Form (GNF)

### 1) Chomsky Normal Form:

A grammar is said to be in CNF if every production of the form is  $A \rightarrow XY$  or  $A \rightarrow a$  i.e., In right hand side either two non-terminals or only one terminal is allowed.

Non-terminal	→	Nonterminal . Non terminal
Non-terminal	→	Terminal .

### Conversion of context Free grammar to Chomsky's Normal Form:

Before converting the CFG to CNF we reduce the grammar by removing useless symbols and  $\epsilon$  productions and removing unit productions.

Step 1: Remove  $\epsilon$  productions.

Step 2: Remove unit productions.

Step 3: Remove useless symbols.

Step 4: Replace terminal symbol with new variable. 4.6

Step 5: Break the symbols having length more than 2.

Ex: Convert the following CFG to CNF

$$S \rightarrow AaBb \mid abA$$

$$A \rightarrow ab \mid Bb$$

$$B \rightarrow bA \mid b$$

Soln: Given grammar is

$$S \rightarrow AaBb$$

$$S \rightarrow abA$$

$$A \rightarrow ab$$

$$A \rightarrow Bb$$

$$B \rightarrow bA$$

$$B \rightarrow b$$

The given CFG does not contain any useless symbols,  $\epsilon$  & unit productions. Now replace  $x \rightarrow a$  &  $y \rightarrow b$

$$S \rightarrow AxBy$$

$$S \rightarrow XYA$$

$$A \rightarrow XY$$

$$A \rightarrow BY$$

$$B \rightarrow YA$$

$$B \rightarrow b$$

$\Rightarrow$

Replace

$$Ax \rightarrow P$$

$$By \rightarrow Q$$

$$XY \rightarrow R$$

$$S \rightarrow PQ$$

$$P \rightarrow AX$$

$$Q \rightarrow BY$$

$$S \rightarrow RA$$

$$R \rightarrow XY$$

$$A \rightarrow XY$$

$$A \rightarrow BY$$

$$B \rightarrow YA$$

$$B \rightarrow b$$

$$x \rightarrow a$$

$$y \rightarrow b$$

Ex 2 Convert the following CFG to CNF

$$S \rightarrow ABA$$

$$A \rightarrow aA \mid \epsilon$$

$$B \rightarrow bB \mid \epsilon$$

Solution The given CFG contains ' $\epsilon$ ' productions.  
So, remove ' $\epsilon$ ' productions from the grammar.

→ eliminating ' $\epsilon$ ' from A

$$S \rightarrow ABA \mid BA \mid AB \mid B$$

$$A \rightarrow aA \mid a$$

$$B \rightarrow bB \mid \epsilon$$

→ eliminating ' $\epsilon$ ' from B

$$S \rightarrow ABA \mid BA \mid AB \mid B \mid AA \mid A$$

$$A \rightarrow aA \mid a$$

$$B \rightarrow bB \mid b$$

Now, the grammar contains unit productions. So eliminate them by replacing R.H.S N.T with all the productions.

$$S \rightarrow ABA \mid BA \mid AB \mid aA \mid a \mid b \mid bB$$

$$A \rightarrow aA \mid a$$

$$B \rightarrow bB \mid b$$

Now convert it into CNF

replace  $X \rightarrow AB$

$$A_1 \rightarrow a$$

$$B_1 \rightarrow b$$



$$S \rightarrow XA$$

$$S \rightarrow BA$$

$$S \rightarrow AB$$

$$S \rightarrow A_1A$$

$$S \rightarrow a$$

$$S \rightarrow B_1B$$

$$S \rightarrow b$$

$$A \rightarrow A_1A$$

$$A \rightarrow a$$

$$B \rightarrow B_1B$$

$$B \rightarrow b$$

$$X \rightarrow AB$$

$$A_1 \rightarrow a$$

$$B_1 \rightarrow b$$

## Gribech Normal Form

A Grammar is said to be in GNF if every production of the form  $A \rightarrow a\alpha$  i.e., a terminal followed by any number of non-terminals.

steps to convert CFG to GNF:

step 1: check if the given CFG has any unit productions or Null productions and useless symbols, remove if there are any.

step 2: check whether the CFG is already in CNF and convert it to CNF if it is not.

step 3: change the names of the non-terminals symbols into some  $A_i$  in ascending order of  $i$ .

step 4: Alter the rules so that the non-terminals are in ascending order, such that if the production is of the form  $A_i \rightarrow A_jX$  then  $i < j$  and should never be  $i \geq j$ .

step 5: Remove left recursion, introduce a new variable to remove the left recursion.

Example: Convert the following CFG to GNF

$$S \rightarrow CA | BB$$

$$B \rightarrow b | SB$$

$$C \rightarrow b$$

$$A \rightarrow a$$

Solution: changing the non terminal symbols in Ascending order <sup>4.9</sup>

Replace: S with  $A_1$

C with  $A_2$  we get

A with  $A_3$

B with  $A_4$

$$A_1 \rightarrow A_2 A_3 \mid A_4 A_4$$

$$A_4 \rightarrow b \mid A_1 A_4$$

$$A_2 \rightarrow b$$

$$A_3 \rightarrow a$$

Here  $A_4 \rightarrow b \mid A_1 A_4$  is violating the rule-4 [ $A_4 > A_1$ ] i.e.,  $i > j$ .  $\therefore$  replace  $A_1$  with its productions.

$$A_1 \rightarrow A_2 A_3 \mid A_4 A_4$$

$$A_4 \rightarrow b \mid A_2 A_3 A_4 \mid A_4 A_4 A_4$$

$$A_2 \rightarrow b$$

$$A_3 \rightarrow a$$

$\Rightarrow$  Again  $A_4$  production is violating the rule. so replace it with  $A_2$  productions.

$$A_4 \rightarrow b \mid b A_3 A_4 \mid \underbrace{A_4 A_4 A_4}_{\text{left recursion}}$$

$\Rightarrow$  Introduce a new variable.

$$Z \rightarrow A_4 A_4 Z \mid A_4 A_4 \text{ then}$$

$$A_4 \rightarrow b \mid b A_3 A_4 \mid b Z \mid b A_3 A_4 Z$$

The grammar is

$$A_1 \rightarrow A_2 A_3 \mid A_4 A_4$$

$$A_4 \rightarrow b \mid b A_3 A_4 \mid b Z \mid b A_3 A_4 Z$$

$$Z \rightarrow A_4 A_4 \mid A_4 A_4 Z$$

$$A_2 \rightarrow b$$

$$A_3 \rightarrow a$$

→ Here  $A_1$  production is not in the GNF. so replace with their respective productions.

$$A_1 \longrightarrow bA_3 \mid bA_4 \mid bA_3A_4A_4 \mid bZA_4 \mid bA_3A_4ZA_4$$

$$A_4 \longrightarrow b \mid bA_3A_4 \mid bZ \mid bA_3A_4Z$$

$$Z \longrightarrow bA_4 \mid bA_3A_4A_4 \mid bZA_4 \mid bA_3A_4ZA_4 \mid bA_4Z \mid bA_3A_4A_4Z \mid bZA_4Z \mid bA_3A_4ZA_4Z$$

$$A_2 \longrightarrow b$$

$$A_3 \longrightarrow a$$



Ex ② Conversion of CFG to GNF

$$S \rightarrow AA|a$$

$$A \rightarrow SS|b$$

Solution Given grammar is already in simplified form. There are no null productions, no unit productions & no useless symbols in the grammar.

Step 1: Now we proceed for renaming of variables. Variables  $S$  &  $A$  are renamed as  $A_1$  &  $A_2$ .

So productions are.

$$A_1 \rightarrow A_2 A_2 | a$$

$$A_2 \rightarrow A_1 A_1 | b$$

Step 2: Now every production should be of the form

$$A_i \rightarrow A_j \alpha \quad (i \neq j)$$

' $A_2$ ' production does not satisfy the rule, hence substitute  $A_2$  with its production.

Therefore

$$A_2 \rightarrow A_2 A_2 A_1 | a A_1 | b$$

The resulting productions are

$$A_1 \rightarrow A_2 A_2 | a$$

$$A_2 \rightarrow A_2 A_2 A_1 | a A_1 | b$$

Step 3: Removing left recursion

$A_2$  production of the form  $A_2 \rightarrow \underbrace{A_2 A_2 A_1}_{\text{left recursion}} | a A_1 | b$  contains left recursion. So remove by introducing a new non terminal  $B$

Therefore

$$A_2 \rightarrow A_2 A_2 A_1 \mid a A_1 \mid b$$

can be written as

$$A_2 \rightarrow a A_1 B \mid b B \mid a A_1 \mid b$$

$$B \rightarrow A_2 A_1 B \mid A_2 A_1$$

If left recursive grammar B

$$\left[ \begin{array}{l} A \rightarrow A a \mid b \\ \text{can be written as} \\ A \rightarrow b \mid b B \\ B \rightarrow a \mid a B \end{array} \right]$$

Resulting productions are

$$A_1 \rightarrow A_2 A_2 \mid a$$

$$A_2 \rightarrow a A_1 B \mid b B \mid a A_1 \mid b$$

$$B \rightarrow A_2 A_1 B \mid A_2 A_1$$

Step 2:  $A_2$  productions are in GNF,  $A_1$  &  $B$  productions need to be converted to GNF

$A_1 \rightarrow A_2 A_2 \mid a$  replaced  $A_2$  with its production

$$A_1 \rightarrow a A_1 B A_2 \mid b B A_2 \mid a A_1 A_2 \mid b A_2 \mid a$$

New  $B \rightarrow A_2 A_1 B \mid A_2 A_1$  replace  $A_2$  with its production

$$B \rightarrow a A_1 B A_1 B \mid b B A_1 B \mid a A_1 A_1 B \mid b A_1 B \mid a A_1 B A_1 \mid b B A_1 \mid a A_1 A_1 \mid b A_1$$

Final grammar in GNF is

$$A_1 \rightarrow a A_1 B A_2 \mid b B A_2 \mid a A_1 A_2 \mid b A_2 \mid a$$

$$A_2 \rightarrow a A_1 B \mid b B \mid a A_1 \mid b$$

$$B \rightarrow a A_1 B A_1 B \mid b B A_1 B \mid a A_1 A_1 B \mid b A_1 B \mid a A_1 B A_1 \mid b B A_1 \mid a A_1 A_1 \mid b A_1$$

## Pumping lemma for context free languages:

> To prove certain languages are not context free

- let  $L$  be a CFL.

- let  $n$  be a constant.

- Any string  $Z$  in  $L$ ,  $|Z| \geq n$

- split  $Z = uvwxy$  such that

(i)  $|vwx| \leq n$

(ii)  $v \neq \epsilon$  or  $|vx| \geq 1$

(iii) for all  $i \geq 0$ ,  $uv^iwx^iy \in L$

Ex: Show that  $L = \{a^n b^n c^n \mid n \geq 1\}$  is not a CFL.

Proof: - let  $L$  be a CFL

-  $n$  be a constant.

- let  $Z = a^n b^n c^n$   $|Z| \geq n$

- split  $L$ , hence we get



$$Z = uvwxy$$

$$u = a^n \quad vwx = b^n \quad y = c^n$$

$$(i) |vwx| \leq n$$

$$(ii) vwx = b^n$$

$$|vx| = b^{n-m}$$

$$\text{for all } i \geq 0 \quad uv^iwx^iy$$

$$\begin{aligned} uv^iwx^iy &= uv^{i-1}wxix^{i-1}y \\ &= uvw(vx)^{i-1}xy \\ &= uvw(b^{n-m})^{i-1}xy \\ &= a^n b^n (b^{n-m})^{i-1} c^n \\ &= a^n b^{ni-mi-n+m} c^n \\ &= a^n b^m c^n \\ &\text{not in CFH} \end{aligned}$$

$\therefore$  Hence language  $L$  is not CFH.

Ex: show that  $L = \{a^n b^n c^n \mid n \geq 0\}$  is not context free. 4.11

Solution

we divide string in to parts  $uvwx y$

(we) let  $n=4$   $S = a^4 b^4 c^4$   
 $v$  &  $x$  contain one type of symbol.

$$\begin{array}{ccccccc} a & a & a & a & b & b & b & b & c & c & c & c \\ \hline u & v & & & w & & & & x & y & & \end{array}$$

$$\text{let } k=2 \quad uv^2wx^2y$$

$$\Rightarrow a(aa)^2abbbba^2cc$$

$$\Rightarrow aaaaaa bbb b cccc$$

$$\Rightarrow a^6 b^4 c^5$$

The resultant string is not satisfying the condition

$$\therefore a^6 b^4 c^5 \notin L$$

Given language is not CFH.

Ex 2: Prove that the following language is CFH or not.  
 $L = \{a^i b^i c^i \mid i \geq 0\}$

Solution:  $L = \{\epsilon, abc, aabbcc, aaabbbccc, \dots\}$

$$n=4$$

$$Z = \begin{array}{ccccccc} a & a & b & b & c & c \\ \hline u & v & & & w & x & y \end{array}$$

$$|Z| = 4 \geq n$$

$$|aabb| \leq n$$

$$Z = \frac{a}{u} \frac{a}{v} \frac{bb}{w} \frac{c}{x} \frac{c}{y}$$

for  $i=1 \Rightarrow Z = uv^1wx^1y = aabbcc \in L$

for  $i=2 \Rightarrow Z = uv^2wx^2y$   
 $= uvvwxxy$   
 $= aaabbcc \notin L$

$\therefore$  Hence given language is not CFL.

### Closure properties of context free languages:

$\rightarrow$  Regular languages are closed under every properties whereas CFL are not closed under CID (Complement, Intersection, Difference).

$\rightarrow$  CFL are closed under union, concatenation, Kleen closure, Reverse, Homomorphism, Inverse homomorphism, Substitution.

union: CFL are said to be closed under union if  $L_1$  &  $L_2$  are two C.F.L then  $L_1 \cup L_2$  must be a CFL.

ex:  $L_1 = \{ a^n b^n c^m \mid m \geq 0 \text{ \& } n \geq 0 \}$  and

$$L_2 = \{ a^n b^m c^m \mid n \geq 0 \text{ \& } m \geq 0 \}$$

$$L_3 = L_1 \cup L_2 = \{ a^n b^m c^m \cup a^n b^n c^m \mid n \geq 0, m \geq 0 \} \text{ is CFL.}$$

$\therefore$  CFL is closed under union.

Concatenation: CFL are said to be closed under concatenation if  $L_1$  &  $L_2$  are two CFL's then  $L_1 \cdot L_2$  must be a CFL.

Ex:  $L_1 = \{ a^n b^n \mid n \geq 0 \}$  and  $L_2 = \{ c^m d^m \mid m \geq 0 \}$   
 $L_3 = L_1 \cdot L_2 = \{ a^n b^n c^m d^m \mid m \geq 0 \text{ \& } n \geq 0 \}$  is also CFL.  
 $\therefore$  CFL is closed under concatenation.

Kleen closure: If  $L_1$  is CFL, its kleen closure  $L_1^*$  is also CFL.

$$L_1 = \{ a^n b^n \mid n \geq 0 \}$$

$L_1^* = \{ a^n b^n \mid n \geq 0 \}^*$  is also kleen closure.

Intersection: If  $L_1$  &  $L_2$  are CFL then  $L_1 \cap L_2$  need not to be CFL.

$$L_1 = \{ a^n b^n c^m \mid n \geq 0 \text{ \& } m \geq 0 \}$$

$$L_2 = \{ a^m b^n c^n \mid n \geq 0 \text{ \& } m \geq 0 \}$$

$$L_3 = L_1 \cap L_2 = \{ a^n b^n c^n \mid n \geq 0 \} \text{ need not be CFL.}$$

complement: complementation of CFL need not to be in CFL.

$$\text{Ex: } \Sigma^* - L_1$$

Hence, CFL is not closed under intersection and complementation.

Deterministic context free language: DCFL are closed under complementation & inverse homomorphism.



## Decision properties of CFL's

### Membership:

- ~~unlike~~ unlike finite automata, we can't just run the string through the machine and see where it goes since PDA's are non-deterministic.
- Must consider all possible paths.
- Instead, start with your grammar in CNF.
- The proof of pumping lemma states that the longest derivation path of a string of size  $n$  will be  $2n-1$ .
- Systematically, generate all the strings, if we derive the string is in the language.

### Emptiness:

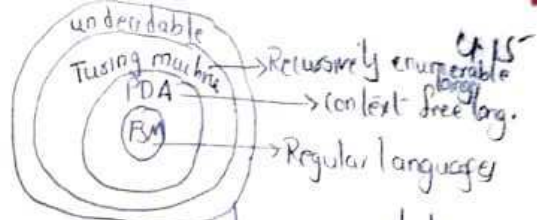
- Remove useless symbols & productions.
- If  $S$  is useless, then  $L(G)$  is empty.

### Finiteness:

- The language is infinite if there is a string  $x$  with length between  $n$  &  $2n$ .
- CFL  $n = 2^{p+1}$   $p$  is the non-terminal.  
 $\downarrow$   
 no. of states.
- If language  $L$  is passed through membership algorithm is infinite else  $L$  is finite.

# Turing machine

## Introduction to Turing machine



(1) It has an external memory which remembers arbitrary long sequence of input (where as F.A reads only current symbol).

(2) It has unlimited memory capability

(3) The model has facility by which the input at the left or right on the tape can be read easily.

(4) The machine can produce a certain output based on its input.

## Formal definition of Turing machine

The formal notation we use for Turing machine (TM) is similar to that used for finite automata. we represent TM by 7-tuple.

$$M = (Q, \Sigma, \Gamma, \delta, q_0, B, F)$$

$Q$  - Finite set of states of the finite control.

$\Sigma$  - Finite set of input symbols.

$\Gamma$  - Tape symbols.

$\delta$  - Transition function (or) mapping function.

$\delta(q, x)$  is defined as tuple  $(p, Y, D)$  where

$p$  - next state in  $Q$ .

$Y$  - is symbol in  $\Gamma$

$D$  - is direction either L or R i.e., left or right direction in which head moves

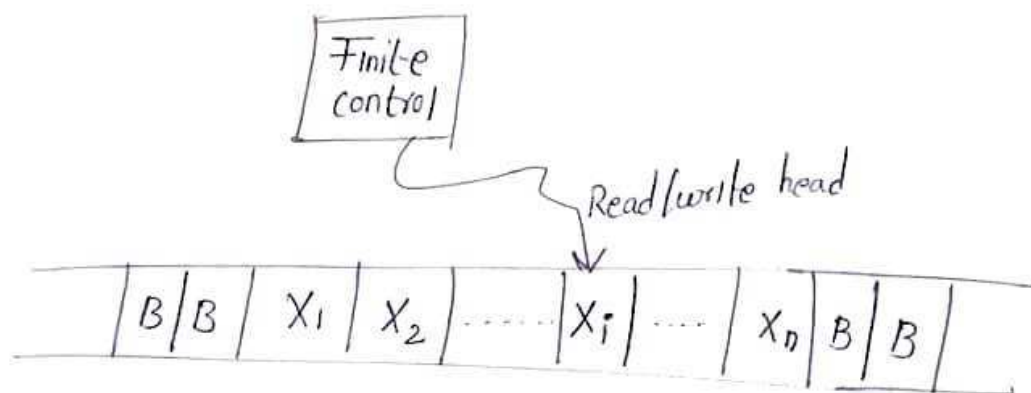
$q_0$  - The start state.

$B$  - The blank symbol, this symbol is in  $T$ , but not in  $\Sigma$ .

$F$  - Set of final or accepting states.

Block diagram of TM:

- Machine consists of a finite control, which can be in any of the finite set of states.
- A tape divided into squares (or) cells, each cell hold any one of the finite number of symbols.



Turing machine.

- The tape is infinite length on the left side as well as right side.
- The tape is divided into certain cells, it can hold input symbol and also blank.
- The reading head always points on the left most cell of the tape.
- Reading If the TM reaches the final state, the input string is accepted, otherwise rejected.



## Instantaneous descriptions for Turing machines

→ An instantaneous description is used to show the acceptance of some string by automata.

→ An ID of a TM is a string of the form  $\alpha_1 q \alpha_2$  where  $\alpha_1, \alpha_2 \in \Sigma^*$  and  $q \in K$ .

→ we use the string  $x_1 x_2 \dots x_{i-1} q x_i x_{i+1} \dots x_n$  to represent an ID in which

–  $q$  is the state of the Turing machine.

– The tape head is scanning the  $i$ th symbol from the left

–  $x_1 x_2 \dots x_n$  is the portion of the tape between the leftmost & the rightmost nonblank.

→ we describe instantaneous description by using tanstyle notation  $(\vdash)$ ,  $\vdash^*$  represents 0 or more moves.

case 1: left move

⇒ Suppose  $\delta(\overset{\text{current state}}{q}, \overset{\text{current tape symbol}}{x_i}) = (p, Y, L)$  i.e., the next move is leftward then

$$x_1 x_2 \dots x_{i-1} q x_i x_{i+1} \dots x_n \vdash_M x_1 x_2 \dots x_{i-2} p x_{i-1} Y x_{i+1} \dots x_n$$

(Here  $q$  is present state &  $x_i$  is the symbol currently scanned by tape head means we need to change  $q$  to  $p$  and  $x_i$  is replaced by  $Y$  & move is left mode

(i) if  $i=1$  then  $M$  moves to the blank to the left of  $x_1$ .

so 
$$x_1 x_2 \dots x_n \vdash_M p B Y x_2 \dots x_n$$

ii) if  $i=n$  &  $Y=B$  then the symbol  $B$  written over  $X_n$  joins the infinite sequence of trailing blanks and does not appear in next ID.

then  $X_1 X_2 \dots X_{n-1} q X_n \vdash X_1 X_2 \dots X_{n-2} P X_{n-1}$

case 2 : right move

$\delta(q, X_i) = (p, Y, R)$  the next move is rightward.

then

$X_1 X_2 \dots X_{i-1} q X_i X_{i+1} \dots X_n \vdash_M X_1 X_2 \dots X_{i-1} Y P X_{i+1} \dots X_n$

( $\therefore$  Now tape head is scanning  $X_i$ , here move is right move so it has to scan  $X_{i+1}$ )

Again there are two important exceptions.

i) If  $i=n$  then  $i+1$ st cell holds a blank, and that cell was not part of the previous ID, Thus we instead

have  $X_1 X_2 \dots X_{n-1} q X_n \vdash_M X_1 X_2 \dots X_{n-1} Y P B$

ii) If  $i=1$  &  $Y=B$  then the symbol  $B$  written over  $X_1$  joins the infinite sequence of leading blanks and does not appear in the next ID. Thus

$q X_1 X_2 \dots X_n \vdash_M P X_2 \dots X_n$

Ex: Design a Turing machine for  $L = \{a^n b^n / n \geq 1\}$  4/19

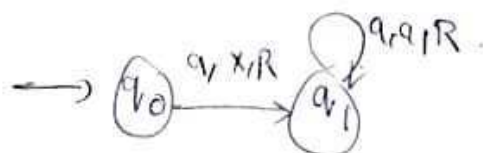
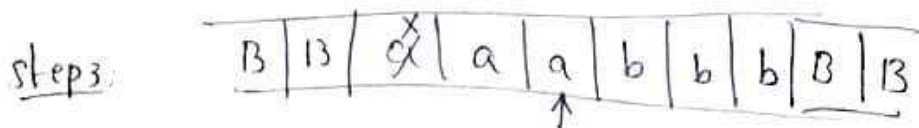
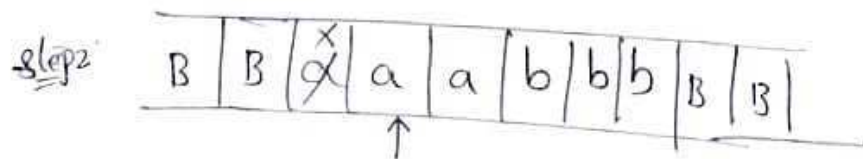
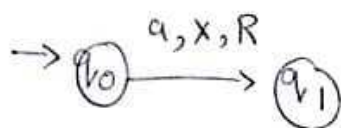
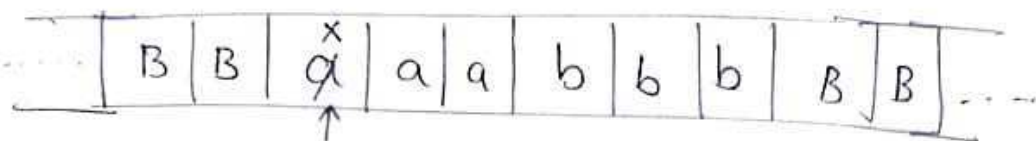
Soln: Given language  $L = \{ab, aabb, aaabbb, \dots\}$

→ Here the concept is if we read 'a', then change a to 'X', then move head towards right till we find 'b' (ie.  $\begin{matrix} a & a & b & b \\ X & & Y & \end{matrix}$ ) then change b to Y.

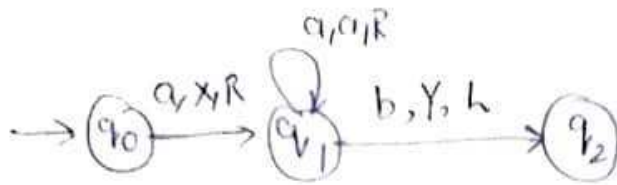
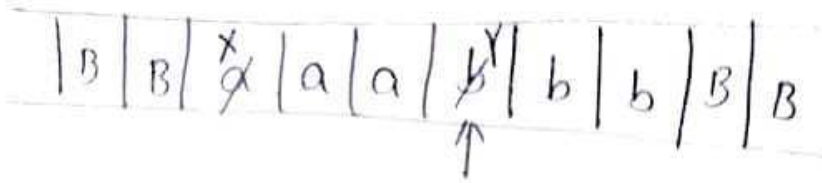
→ Next move towards left till we get 'X', then move the head towards right applying the same concept.

ie.,  $\begin{matrix} a & a & b & b \\ X & X & Y & Y \end{matrix}$

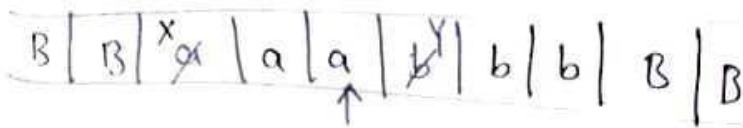
→ let the string is



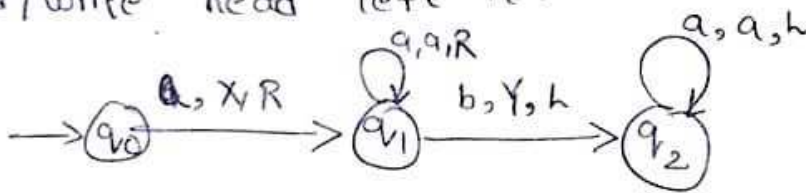
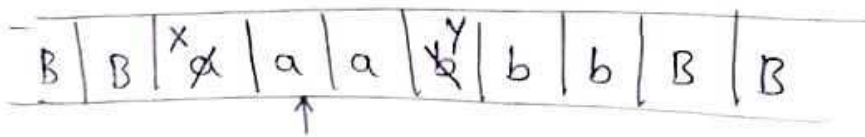


Step 4.

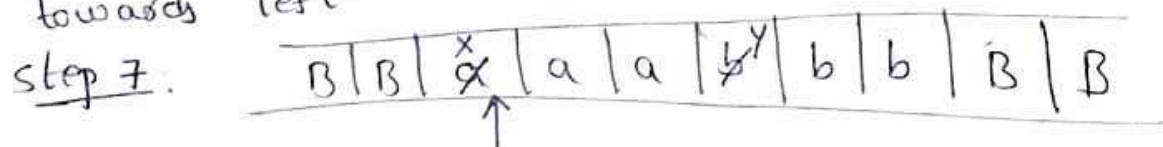
Here on reading b, then replace it with Y and move the read/write head towards left till we get X.

Step 5:

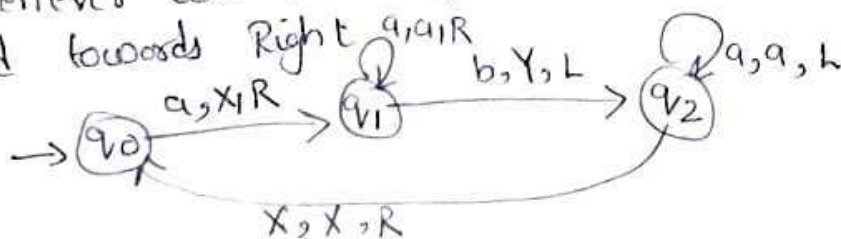
Now on reading a, no need to change state and move read/write head left i.e.

Step 6:

Now also on reading a, no need to change state, just move towards left.



whenever we read X, no need to change X, but move read/write head towards Right

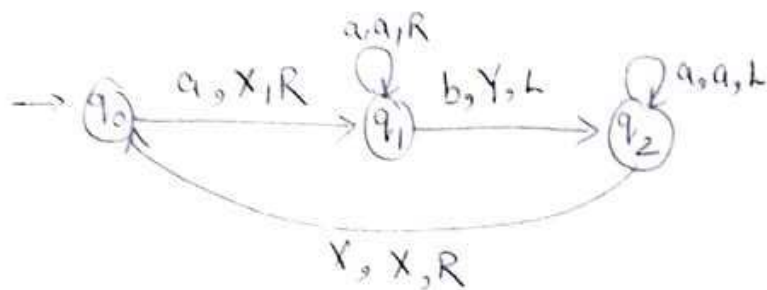


steps

4.21

B	B	X	X	a	Y	b	b	B	B
---	---	---	---	---	---	---	---	---	---

↑



Here a is applied on  $q_0$ , so 'a' is replaced with X and move towards Right.

Step 9.

B	B	X	X	a	Y	b	b	B	B
---	---	---	---	---	---	---	---	---	---

↑

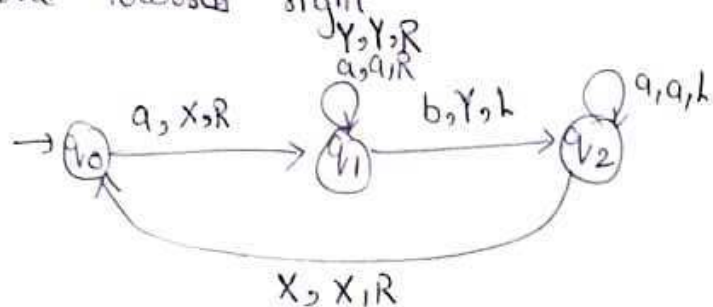
Now in state  $q_1$ , on reading a, no need to change state just move towards right.

Step 10:

B	B	X	X	a	Y	b	b	B	B
---	---	---	---	---	---	---	---	---	---

↑

Now on reading  $Y$ , no need to change  $Y$ , just move read/write head towards right.



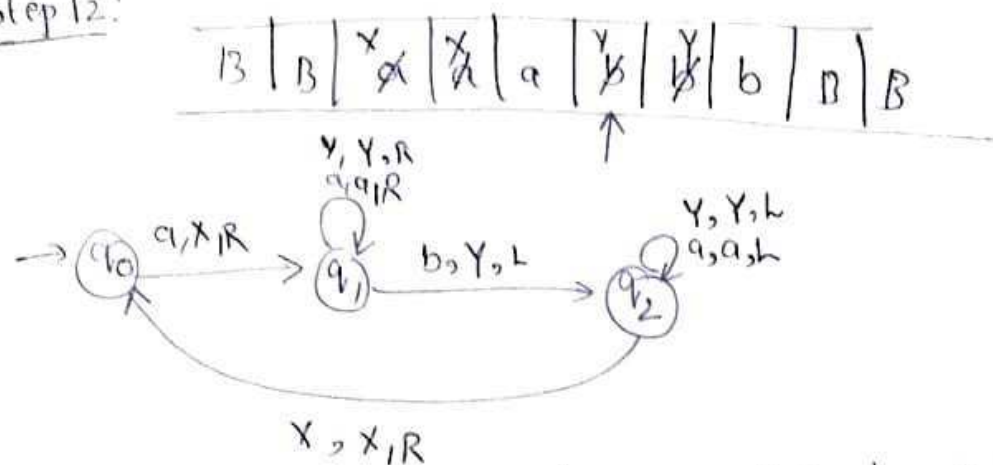
Step 11:

B	B	X	X	a	Y	X	Y	b	B	B
---	---	---	---	---	---	---	---	---	---	---

↑

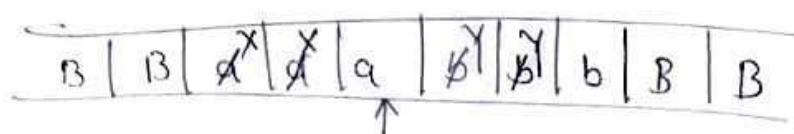
Now at state  $q_1$ , on reading b, change state to Y and move read/write head towards L.

step 12:



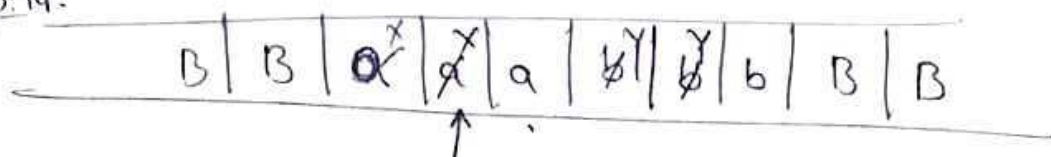
Here at state  $q_2$  on reading Y, don't change state, just move towards left.

step 13:



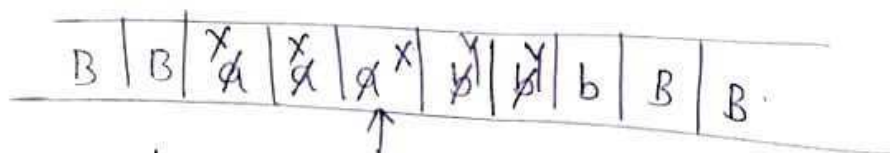
Here at state  $q_2$  on read a, don't change state just move towards left.

step 14:



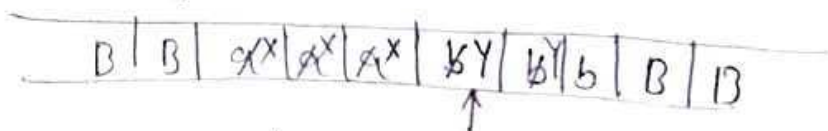
If we read X on  $q_2$ , don't change X, but move read/write head towards R, leading to  $q_0$ .

step 15:



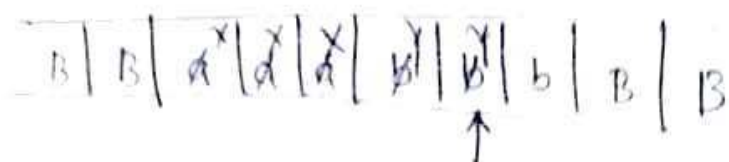
At  $q_0$  on reading a, change content to X and move read/write head towards right.

step 16:

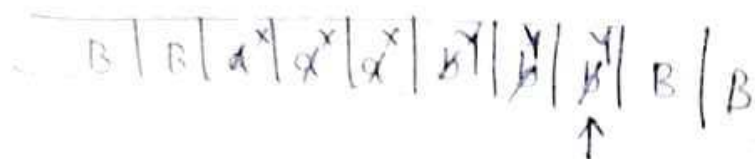


Now at  $q_1$  on reading Y, don't change the content, just move read/write head towards right.

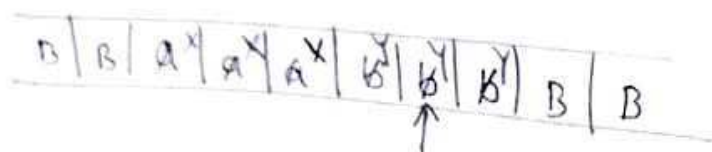


step 17

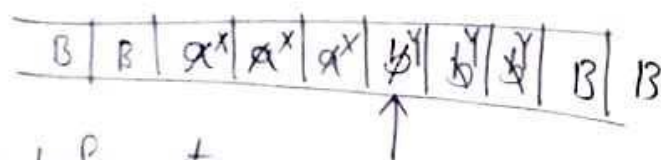
Same as before step.

step 18

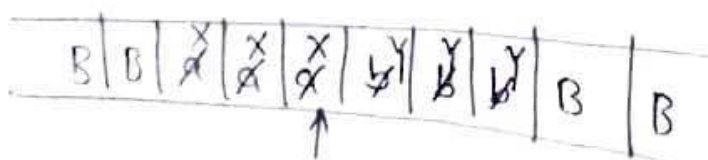
Now at  $q_1$  on reading b, change the content to Y and state is changed to  $q_2$  and move towards L.

step 19

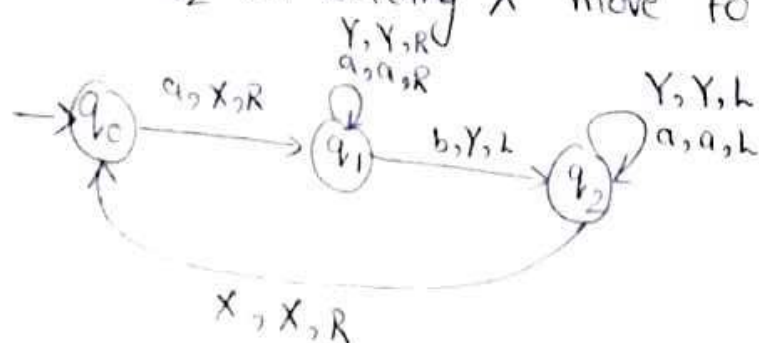
Same as before step.

step 20

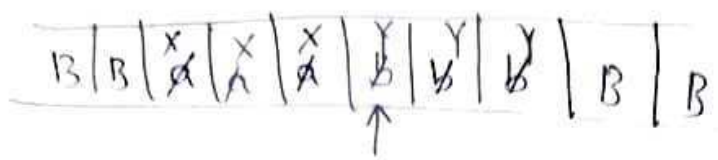
Same as before step

step 21

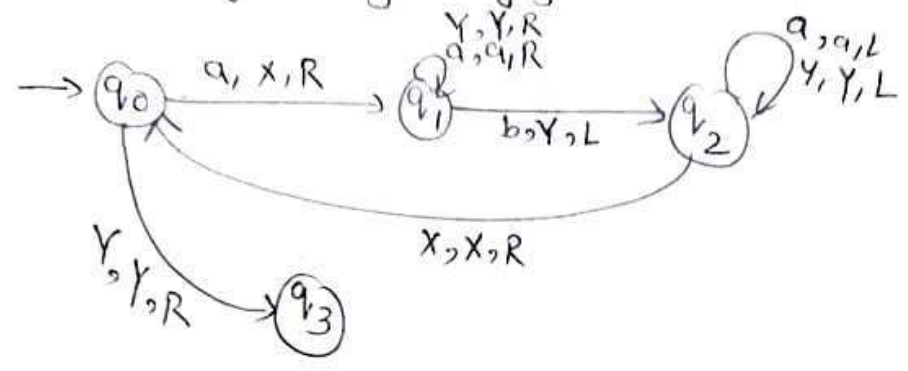
Now at  $q_2$  on reading X move to  $q_0$ , and move towards right.



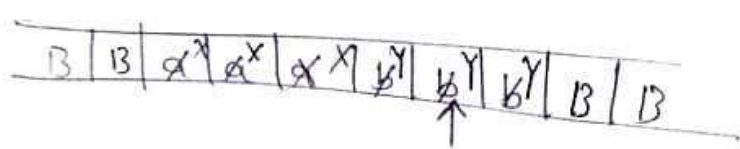
Step 22



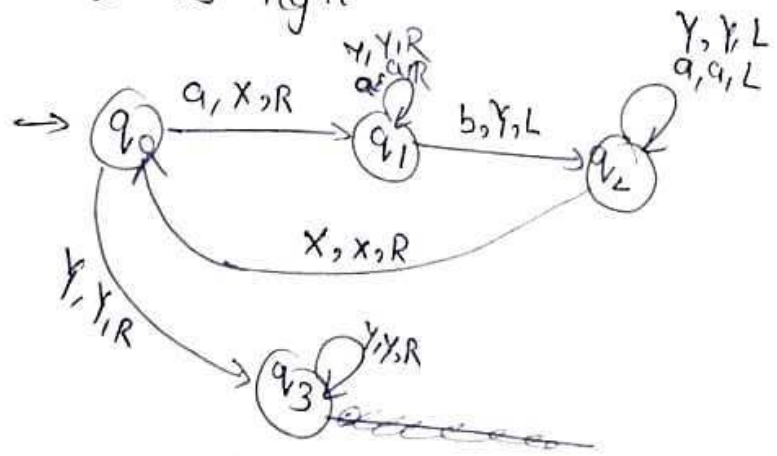
Now at  $q_0$ , on seeing Y, don't change Y, just move towards right by changing state to  $q_3$ .



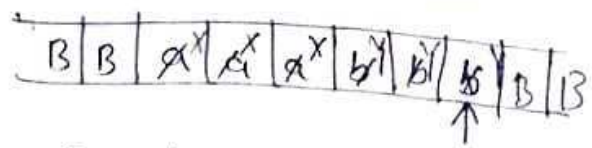
step 23



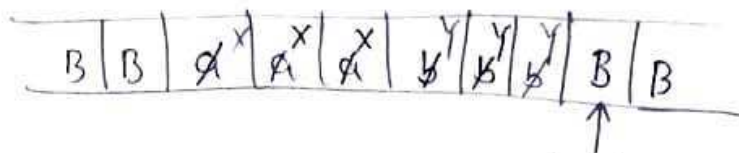
Now at  $q_3$ , on seeing Y, don't change Y, just move read/write head towards right.



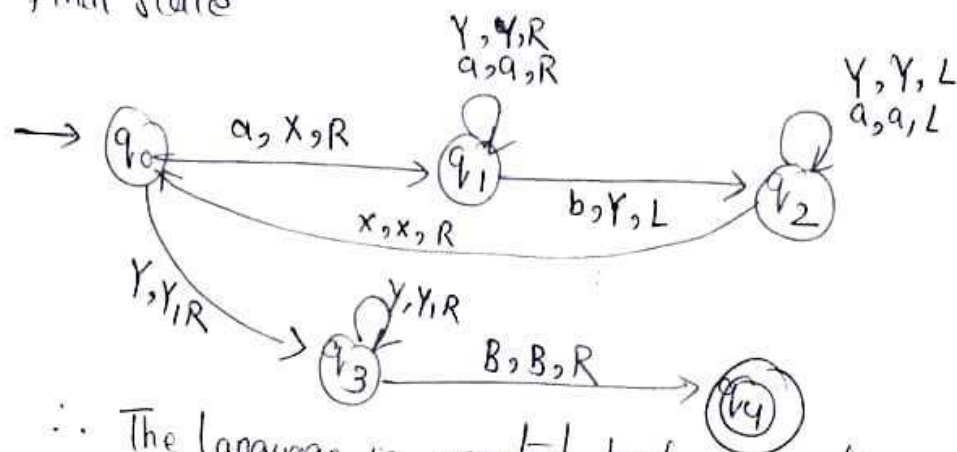
step 24



Repeat before step and move read/write head towards right.



Now at  $q_3$ , when we see blank symbol then it should be replaced by blank symbol only and leads to final state



$\therefore$  The language is accepted by Turing machine.  
Transition table is as follows, rows correspond to states and columns correspond to tape symbols.

states	a	b	X	Y	B
$q_0$	$(q_1, X, R)$	$\emptyset$	$\emptyset$	$(q_3, Y, R)$	$\emptyset$
$q_1$	$(q_1, a, R)$	$(q_2, Y, L)$	$\emptyset$	$(q_1, Y, R)$	$\emptyset$
$q_2$	$(q_2, a, L)$	$\emptyset$	$(q_0, X, R)$	$(q_2, Y, L)$	$\emptyset$
$q_3$	$\emptyset$	$\emptyset$	$\emptyset$	$(q_3, Y, R)$	$(q_4, B, R)$
$q_4$	$\emptyset$	$\emptyset$	$\emptyset$	$\emptyset$	$\emptyset$

Formal representation of Turing machine is

$$M = (\{q_0, q_1, q_2, q_3, q_4\}, \{a, b\}, \{a, b, X, Y, B\}, \delta, q_0, B, \{q_4\})$$



# Transition functions are

4.26

$$1. \delta(q_0, a) = (q_1, X, R)$$

$$2. \delta(q_1, a) = (q_1, a, R)$$

$$3. \delta(q_1, b) = (q_2, Y, L)$$

$$4. \delta(q_2, a) = (q_2, a, L)$$

$$5. \delta(q_2, X) = (q_0, X, R)$$

$$6. \delta(q_1, Y) = (q_1, Y, R)$$

$$7. \delta(q_2, Y) = (q_2, Y, L)$$

$$8. \delta(q_0, Y) = (q_3, Y, R)$$

$$9. \delta(q_3, Y) = (q_3, Y, R)$$

$$10. \delta(q_3, B) = (q_4, H, -)$$

↓  
halts.

Ex 2: Design Turing m/c for  $L = \{a^{2n}b^n \mid n \geq 1\}$

Soln: language  $L = \{aab, aaaaabb, aaaaaabbb, \dots\}$

→ Here the logic is, if we read two consecutive a's then make them as two x's and move right till we read b and replace b with Y i.e.,  $\underset{xx}{aaaa} \underset{Y}{b}$ .

→ Then move head towards left, till we get X, then make two a's to two x's, then move towards right and replace b with Y. i.e.,  $\underset{xx}{aaaa} \underset{xx}{bb} \underset{YY}{bb}$

$$1. \begin{array}{|c|} \hline B \\ \hline \end{array}$$

$$2. \begin{array}{|c|} \hline B \\ \hline \end{array}$$

$$3. \begin{array}{|c|c|} \hline B & B \\ \hline \end{array}$$

$$4. \begin{array}{|c|c|} \hline B & B \\ \hline \end{array}$$

$$5. \begin{array}{|c|c|} \hline B & B \\ \hline \end{array}$$

$$6. \begin{array}{|c|c|} \hline B & B \\ \hline \end{array}$$

$$7. \begin{array}{|c|c|} \hline B & B \\ \hline \end{array}$$

$$8. \begin{array}{|c|c|} \hline B & B \\ \hline \end{array}$$

→ Then same right and

→ Transition

1. 

B	B	<del>a</del> <sup>x</sup>	a	a	a	a	a	b	b	b	B	B
---	---	---------------------------	---	---	---	---	---	---	---	---	---	---

↑
2. 

B	B	<del>a</del> <sup>x</sup>	<del>a</del> <sup>x</sup>	a	a	a	a	b	b	b	B	B
---	---	---------------------------	---------------------------	---	---	---	---	---	---	---	---	---

↑
3. 

B	B	<del>a</del> <sup>x</sup>	<del>a</del> <sup>x</sup>	a	a	a	a	b	b	b	B	B
---	---	---------------------------	---------------------------	---	---	---	---	---	---	---	---	---

↑
4. 

B	B	<del>a</del> <sup>x</sup>	<del>a</del> <sup>x</sup>	a	a	a	a	b	b	b	B	B
---	---	---------------------------	---------------------------	---	---	---	---	---	---	---	---	---

↑
5. 

B	B	<del>a</del> <sup>x</sup>	<del>a</del> <sup>x</sup>	a	a	a	a	b	b	b	B	B
---	---	---------------------------	---------------------------	---	---	---	---	---	---	---	---	---

↑
6. 

B	B	<del>a</del> <sup>x</sup>	<del>a</del> <sup>x</sup>	a	a	a	a	b	b	B	B
---	---	---------------------------	---------------------------	---	---	---	---	---	---	---	---

↑
7. 

B	B	<del>a</del> <sup>x</sup>	<del>a</del> <sup>x</sup>	a	a	a	a	<del>b</del> <sup>y</sup>	b	B	B
---	---	---------------------------	---------------------------	---	---	---	---	---------------------------	---	---	---

↑
8. 

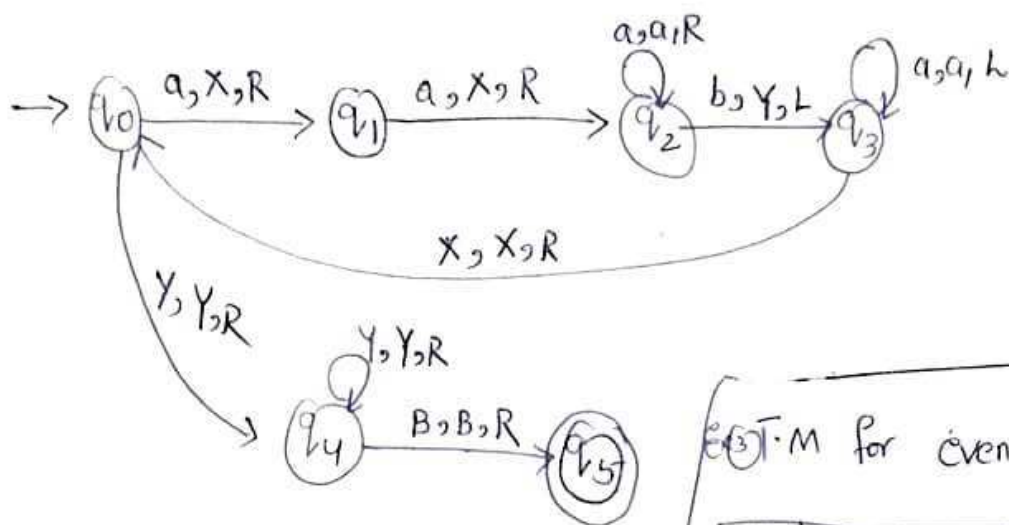
B	B	<del>a</del> <sup>x</sup>	<del>a</del> <sup>x</sup>	a	a	a	a	<del>b</del> <sup>y</sup>	b	<del>B</del>	B	B
---	---	---------------------------	---------------------------	---	---	---	---	---------------------------	---	--------------	---	---

↑

→ Then same process continues till we get x, then move right and repeat the same process.

→ Transition diagram is as follows.



Transition functions are

$$\delta(q_0, a) = (q_1, X, R)$$

$$\delta(q_1, a) = (q_2, X, R)$$

$$\delta(q_2, a) = (q_2, a, R)$$

$$\delta(q_2, b) = (q_3, a, L)$$

$$\delta(q_3, a) = (q_3, a, L)$$

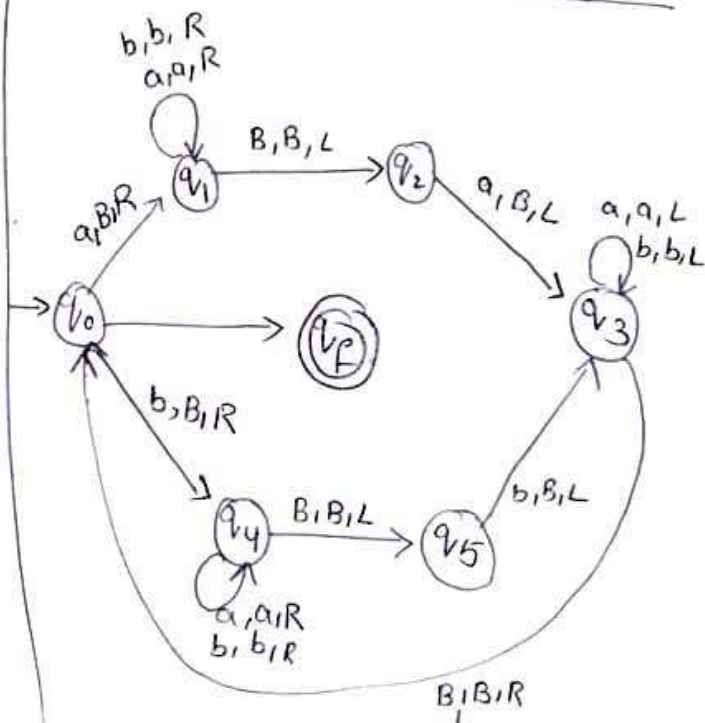
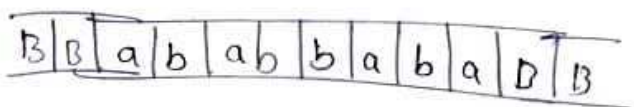
$$\delta(q_3, X) = (q_0, X, R)$$

$$\delta(q_0, Y) = (q_4, Y, R)$$

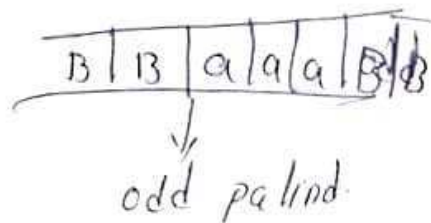
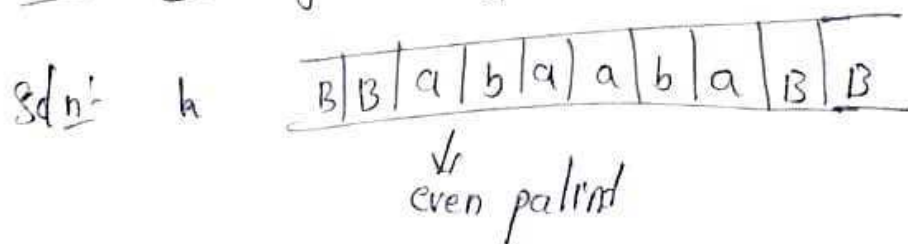
$$\delta(q_4, Y) = (q_4, Y, R)$$

$$\delta(q_4, B) = (q_5, B, -). \text{ string is accepted.}$$

Ex 3. T.M for even palindrome.



Ex 3. Design Turing machine for ~~even~~ palindrome.





Ex: Construct a T.M which accepts the language ~~all~~ every ~~re = {a,b}~~ of subtraction in unary notation.

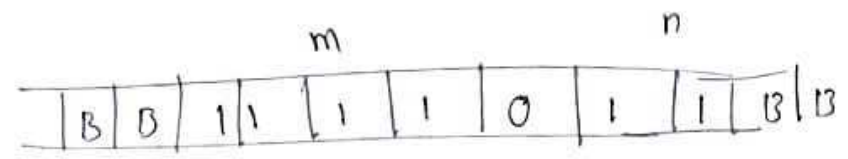
Soln:  $f(m, n) = \begin{cases} m-n & \text{if } m > n \\ 0 & \text{if } m \leq n \end{cases}$

let  $m=4$   $n=2$

Represented by  $m$   $n$   
 $(1111) - (11) = 11$

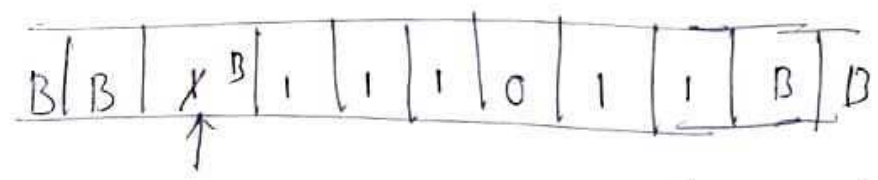
if  $m=2$   $n=4$

$m-n = 0$



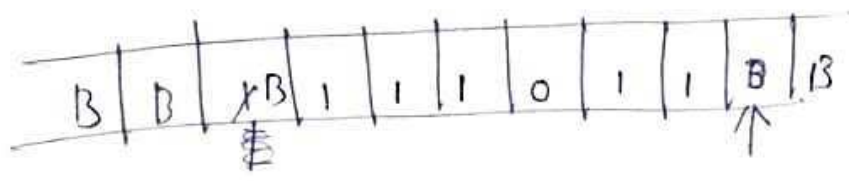
Here  $m$  &  $n$  are separated by using separator '0'.

Step 1:



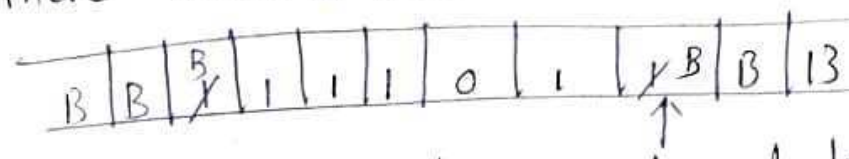
Replace 1 with B and move towards right without changing any symbol ; till Blank symbol

Step 2:

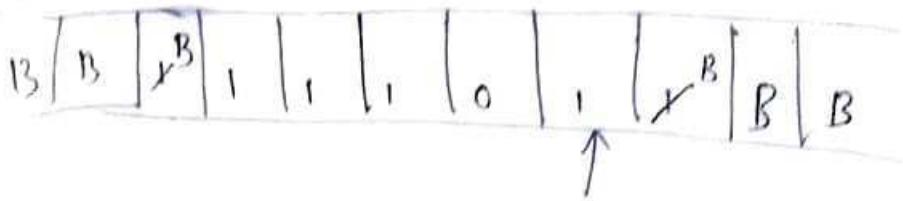
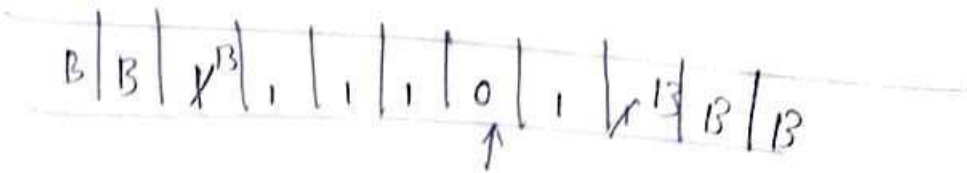
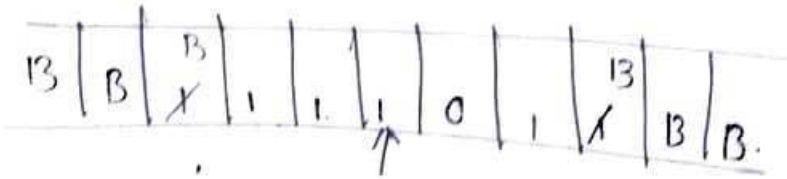
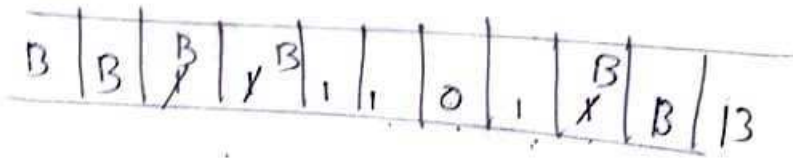
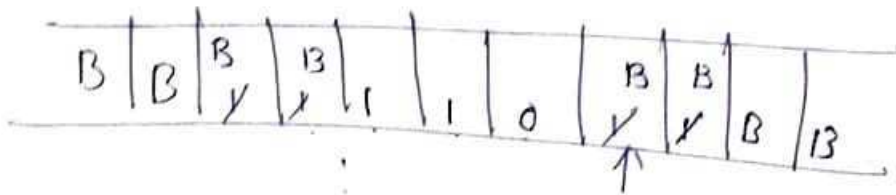
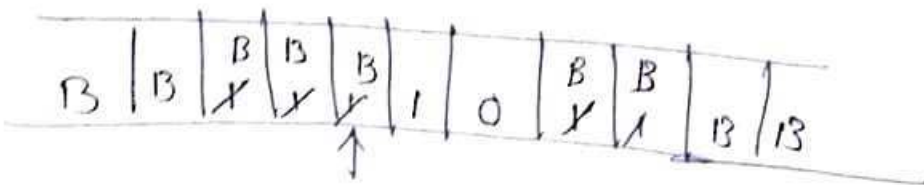


Now move towards left

Step 3:



Now replace '1' with B and move towards left until 'B' is read.

step 4:step 5:step 6:step 10:step 16:step 21:

## Types of Turing machine

Various types of TM's are

1. Turing machine with two dimensional tapes
2. Turing machine with multiple tapes
3. Turing machine with multiple heads
4. Turing machine with finite tape
5. Non deterministic turing machine.

It is observed that Computationally all these TM are equally powerful. That means one type can compute the same that other can, however the efficiency of computation may vary.

### 1) TM with two-dimensional tapes

This type of TM has two finite controls

- 1) Read / Write head
- 2) Two dimensional tape

This tape has infinite extension to right and down.

It is divided into small squares formed due to corresponding rows and columns.

→ TM with one dimensional tape is equally powerful to that of two dimensional tape.

	1	2	6	7	15	16	-
↓	3	5	8	14	17	26	-
	4	9	13	18	25	-	-
	10	12	19	24	-	-	-
	11	20	23	-	-	-	-
	21	22	-	-	-	-	-
	-	-	-	-	-	-	-

Two dimensional tape

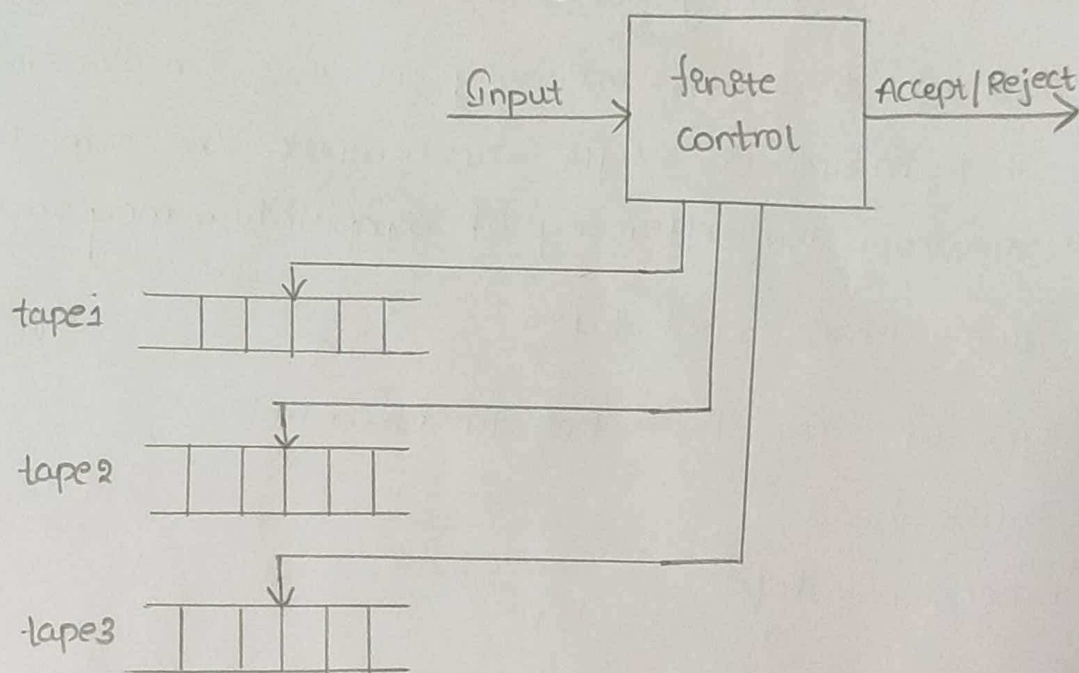


0	1	2	3	4	5	6	7	8	9	10	11	...
---	---	---	---	---	---	---	---	---	---	----	----	-----

The head of Two dimensional tape moves one square up, down, left or right.

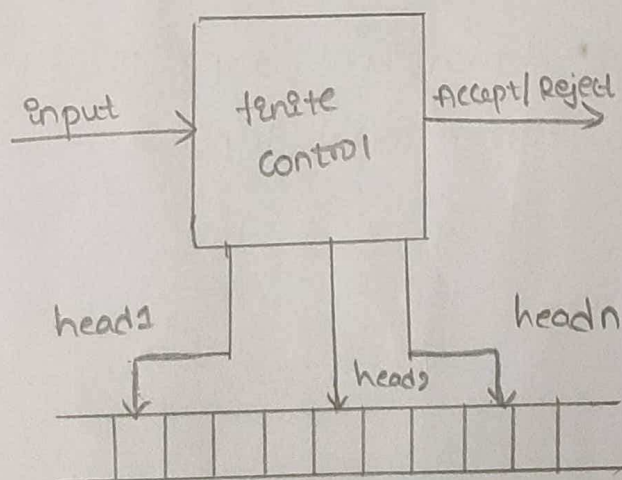
## 2) TM With multiple tapes

This is a kind of TM with one finite control and with more than one tape having its own read / write heads.



## 3) TM With multiple heads

The TM with multiple heads can be shown below

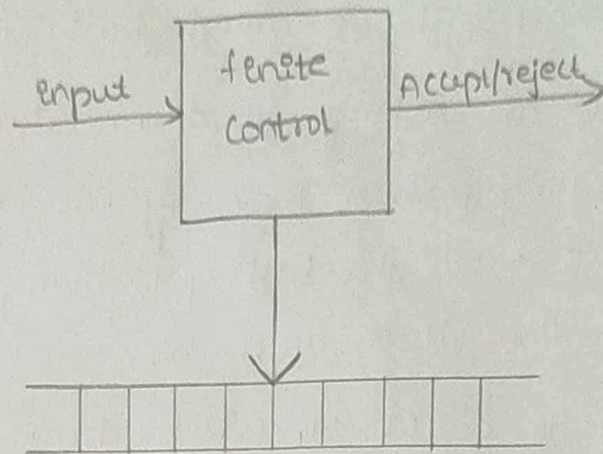


TM with multiple heads

There are  $n$  heads, but in any state, only one head can move. This type of TM are as powerful as one tape TM

#### 4) Turing machine with infinite tape.

This is a TM that have one finite control and one tape which extends infinitely in both directions.



This type of TM's are as powerful as one tape TM's whose tape has a left end.

#### 5) Non-deterministic turing machine

The concept of non-deterministic TM is similar to the NFA.  
→ for any state and any input symbol it can take any action from a set rather than a definite predetermined action.  
for example, the language like  $L = \{w_1 w_2 \mid w_1 \in (a+b)^*\}$  can

be shown by non deterministic TM.

→ The non deterministic TM is as powerful as deterministic TM.

#### HALTING PROBLEM

→ This is a famous undecidable problem of TM. To state halting problem we will consider the given configuration of a TM.

→ The OIP of TM can be

(i) halt: The machine starting at this configuration will halt after a finite number of states.

(ii) No halt: The machine starting at this configuration never

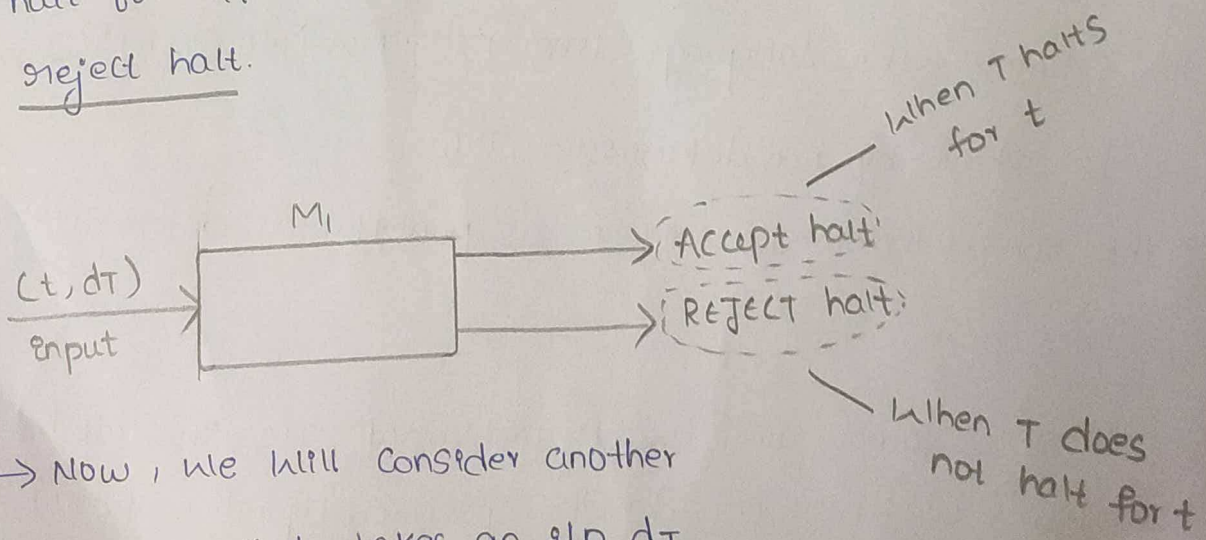


reaches a halt state, no matter how long it runs.

Now the question arises based on these two observations, given any functional matrix, i/p data tape and initial configuration, then is it possible to determine whether the process will ever halt? This is called halting problem.

That means we are asking for a procedure which enable us to solve the halting problem for every pair (machine, tape). The answer is "no". That is the halting problem is unsolvable. Now we will prove how it is unsolvable.

→ Let, there exists a TM  $M_1$  which decides whether or not any computation by a TM  $T$  will ever halt when a description  $d_T$  of  $T$  and tape  $t$  of  $T$  is given. [That means input to machine  $M_1$  will be (machine, tape)]. Then for every input  $(t, d_T)$  to  $M_1$ , if  $T$  halt for input  $t$ ,  $M_1$  also halts which is called accept halt. Similarly if  $T$  does not halt for i/p  $t$  then  $M_1$  will halt which is called reject halt.



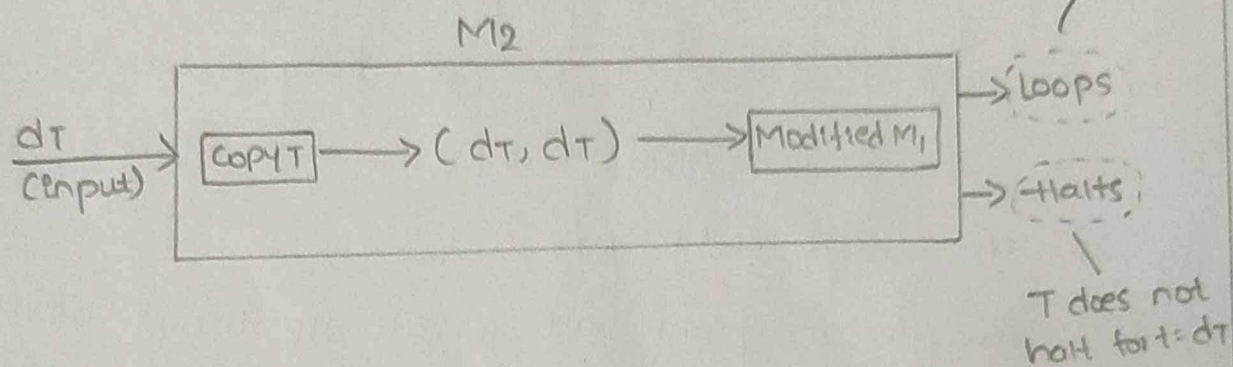
→ Now, we will consider another

TM  $M_2$  which takes an i/p  $d_T$ .

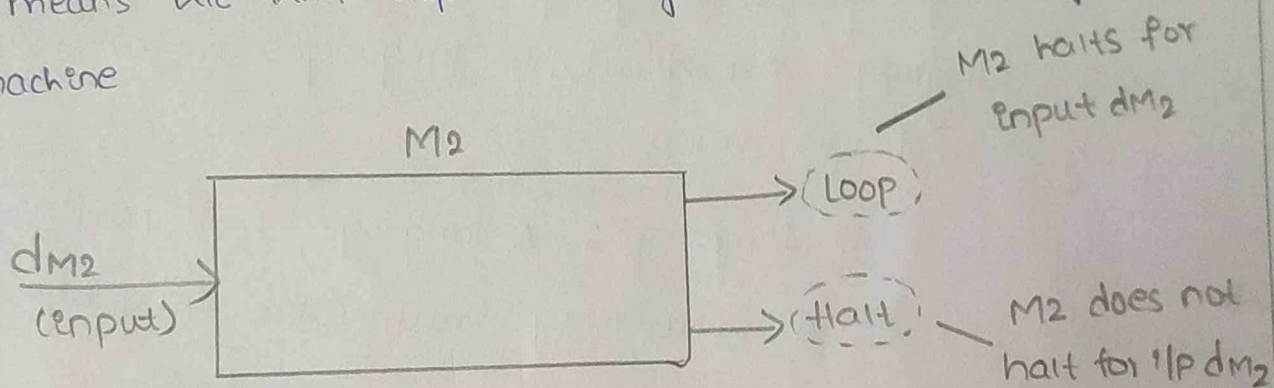
It first occupies  $d_T$  and duplicate  $d_T$  on its tape and then this duplicated tape information is given as i/p to machine  $M_1$ .



But machine  $M_1$  is a modified machine with the modification that whenever  $M_1$  is supposed to reach an accept halt,  $M_2$  loops forever. Hence behaviour of  $M_2$  is as given. It loops if  $T$  halts for i/p  $t = dt$  and halts if  $T$  does not halt for  $T = dt$ .  
 → The  $T$  is any arbitrary TM.



As  $M_2$  itself is one TM we will take  $M_2 = T$ . That means we will replace  $T$  by  $M_2$  from above given machine



Thus machine  $M_2$  halts for i/p  $dm_2$  if  $M_2$  does not halt for i/p  $dm_2$ . This is a Contradiction. That means a machine  $M_1$  which can tell whether any other TM will halt on particular i/p does not exist. Hence halting problem is unsolvable.



**Recursive language:** A language 'L' is said to be recursive if there exists a TM which accept all the strings in 'L' and reject all the strings not in 'L'.

→ The TM will halt every time and give an answer (accept or reject) for each and every string 'IP'.

**Recursively Enumerable language:**

→ A language 'L' is said to be recursively Enumerable language if there exists a TM which accepts (and therefore halt) for all the 'IP' strings which are in 'L'.

→ But may or may not halt for all 'IP' strings which are not in 'L'.

**Decidable language:** A language 'L' is decidable if it is a recursive language. All decidable languages are recursive languages and vice-versa.

**Partially Decidable language:** A language 'L' is partially decidable if 'L' is a recursively Enumerable language.

**Undecidable language:-** A language is undecidable if it not decidable.

→ An undecidable language may sometimes be partially decidable but not decidable.

⇒ if a language is not even partially decidable, then there exists no TM for that language.



## Undecidable problem about Turing Machine

1) Reduction

2) Empty and non-Empty language

3) Rice's Theorem.

Reduction: It is a technique in which if a problem  $P_1$  is reduced to a problem  $P_2$  Then any solution of  $P_2$  solves  $P_1$ .

→ In general we have an algorithm to convert an instance of a problem  $P_1$  to instance of a problem  $P_2$  that have the same answer then it is called  $P_1$  reduces  $P_2$ .

→ Hence if  $P_1$  is not Recursive, then  $P_2$  is also not recursive

→ if  $P_1$  is not recursively enumerable, then  $P_2$  is also not recursively enumerable.

Theorem: if  $P_1$  is reduced to  $P_2$  then,

i) if  $P_1$  is undecidable then  $P_2$  is also undecidable

ii) if  $P_1$  is non-RE then  $P_2$  is also non-RE

Proof: (i) consider an instance  $w_1$  of  $P_1$ . Then construct an algorithm such that the algorithm takes instance  $w_1$  as input

and convert it into another instance  $x$  of  $P_2$ .

→ Then apply that algorithm to check whether  $x$  is in  $P_2$ ,

if algorithm answers "yes" then that means  $x$  is in  $P_2$ .

→ If we can also say that  $w_1$  is in  $P_1$ .

→ Since we have obtained  $P_2$  after reduction of  $P_1$ . Similarly

if algorithm answers "no" then  $x$  is not in  $P_2$ , that also

means  $w_1$  is not in  $P_1$ .



This proves that if  $P_1$  is undecidable then  $P_2$  is also undecidable

(i) We assume that  $P_1$  is non-RE but  $P_2$  is RE. Now construct an algorithm to reduce  $P_1$  to  $P_2$ , but this algorithm  $P_2$  will be recognized. That means there will be a TM says that "yes" if the i/p is  $P_2$  but may or may not halt for the i/p which is not in  $P_2$ .

→ Apply a TM to check whether  $x$  is in  $P_2$ . If  $x$  is accepted that also means  $w$  is accepted.

→ This procedure describes a TM whose language is  $P_1$ . If  $w$  is in  $P_1$  then  $x$  is also in  $P_2$  and if  $w$  is not in  $P_1$  then  $x$  is also not in  $P_2$ .

This proves that if  $P_1$  is non-RE then  $P_2$  is also non-RE.

(ii) empty and non empty language:

There are two types of languages empty and non-empty. Let  $L_e$  denotes an empty language and  $L_{ne}$  denotes non-empty language.

Let  $w$  be a binary string and  $M_i$  be a TM.

If  $L(M_i) \neq \emptyset$  then  $M_i$  does not accept any i/p then

$w$  is in  $L_e$ .

Similarly if  $L(M_i)$  is not the empty language then

$w$  is in  $L_{ne}$ . Thus we can say that,

$$L_e = \{M_i \mid L(M_i) = \emptyset\} \quad L_{ne} = \{M \mid L(M) \neq \emptyset\}$$

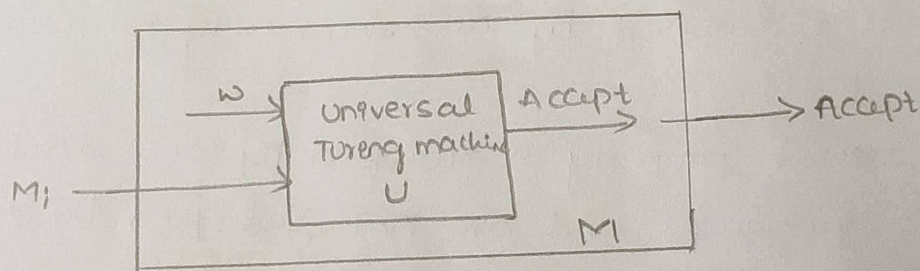


Both  $L_e$  and  $L_{ne}$  are Complement of one another

Theorem:  $L_{ne}$  is recursively enumerable.

Proof: To prove this, we simply need to prove that there exists some TM which accepts  $L_{ne}$ . To do this we need to construct non deterministic TM  $M$  that can be converted to deterministic TM.

The TM  $M$  accepts another TM  $M_i$  as i/p. With the non-deterministic capability  $M$  can guess the i/p  $w$  that can be accepted by  $M_i$ . If  $M_i$  accepts  $w$  then  $M$  also accepts the input  $M_i$ .



Thus if at all  $M_i$  accepts even one i/p, the  $M$  will guess that string and will accept  $M_i$ . But if  $\{L(M_i)\} = \emptyset$  that means  $M$  can make no guess about the i/p string and therefore can not accept  $M_i$ .

This proves that there should be such a TM whose language  $L(M) \neq \emptyset$ , thus  $L(M) = L_{ne}$ .

Rice's Theorem:-

Theorem: Every non-trivial property of RE language is undecidable

Proof: Rice theorem states that any non-trivial semantic property of a language which is recognized by a TM



is undecidable. A property,  $P$  is the language of all TM that satisfy that property.

formal definition: if  $P$  is a non-trivial property, and the language holding the language of all TMs that satisfy the property, property  $L_P$ , is recognized by TM  $M$ , then  $L_P = \{ \langle M \rangle \mid L(M) \in P \}$  is undecidable.

→ property of language  $P$  is simply a set of languages.

If any language belongs to  $P$  ( $L \in P$ ), it is said that

$L$  satisfies the property  $P$ .

→ A property is called to be trivial if either it is not satisfied by all recursively enumerable languages,

→ (Or) if it is satisfied by all recursively enumerable language.

→ A non-trivial property is satisfied by some recursively enumerable languages and are not satisfied by others.

formally speaking, in a non-trivial property, where  $L \in P$ , both the following properties hold:

property 1 - There exists TMs  $M_1$  and  $M_2$  that recognize the same language, i.e., either  $(\langle M_1 \rangle, \langle M_2 \rangle \in L)$

or  $(\langle M_1 \rangle, \langle M_2 \rangle \notin L)$

property 2 - There exists Turing machines  $M_1$  and  $M_2$  where  $M_1$  recognizes the language while  $M_2$  does not,

i.e.,  $\langle M_1 \rangle \in L$  and  $\langle M_2 \rangle \notin L$ .



proof: Suppose a property  $P$  is non-trivial and  $\varphi \in P$ .

Since,  $P$  is non-trivial, at least one language satisfies  $P$ ,  
i.e.,  $L(M_0) \in P$ ,  $\exists$  Turing machine  $M_0$ .

Let,  $w$  be the input in a particular instant and  $N$  is a  
Turing machine which follows -

On input  $x$

→ Run  $M$  on  $w$

→ If  $M$  does not accept (or doesn't halt),  
then do not accept  $x$  (or do not halt)

→ If  $M$  accepts  $w$  then run  $M_0$  on  $x$ .  
If  $M_0$  accepts  $x$ , then accept  $x$ .

A function that maps an instance  $ATM = \langle M, w \rangle$

$ATM = \{ \langle M, w \rangle \mid M \text{ accepts input } w \}$  to a  $N$

Such that

→ If  $M$  accepts  $w$  and  $N$  accepts the same  
language as  $M_0$ , then  $L(N) = L(M_0) \in P$

→ If  $M$  does not accept  $w$  and  $N$  accepts  
 $\varphi$ , Then  $L(N) = \varphi \notin P$

Since  $ATM$  is undecidable and it can be reduced  
to  $L_P$ ,  $L_P$  is also undecidable.



# Decidable properties of Formal Language

Decidable properties	RL	CFL	CSL	RCL	REL
1. Membership $w \in L(M)$	✓	✓	✓	✓	✗
2. Emptiness $L = \emptyset?$	✓	✓	✗	✗	✗
3. finiteness $ L  \leq n$	✓	✓	✗	✗	✗
4. Completeness $L = \Sigma^*$	✓	✓	✗	✗	✗
5. Equality $L_1 \stackrel{?}{=} L_2$	✓	✗	✗	✗	✗
6. Complement $L^c = \Sigma^* - L$	✓	✗	✗	✗	✗

✓ — decidable

✗ — undecidable.



## Post's Correspondence Problem (PCP)

The undecidability of strings is determined with the help of Post's Correspondence Problem (PCP).

→ "The Post's Correspondence Problem consists of two lists of strings that are equal length over the input  $\Sigma$ .

The two lists are  $A = w_1, w_2, w_3, \dots, w_n$

$B = x_1, x_2, x_3, \dots, x_n$

then there exists a non-empty set of strings

$i_1, i_2, i_3, \dots, i_n$  such that

$$w_{i_1}, w_{i_2}, w_{i_3}, \dots, w_{i_n} = x_{i_1}, x_{i_2}, x_{i_3}, \dots, x_{i_n}$$

To solve the PCP we try all the combination of  $i_1, i_2, i_3, \dots, i_n$  to find the  $w_{i_j} = x_{i_j}$ ; then we say that PCP has a solution.

Ex: Consider the Correspondence system as given below

$A = (1, 0, 010, 11)$  and  $B = (10, 10, 01, 1)$ . The i/p set is

$\Sigma = \{0, 1\}$ . find the solution.

Sol: A solution is 121334, that means

$$w_1, w_2, w_1, w_3, w_3, w_4 = x_1, x_2, x_1, x_3, x_3, x_4$$

$$10101001011 = 10101001011$$

$$|A| = 4 \cong |B| = 4$$

Ex(2): Obtain the solution for the following system of Post's Correspondence problem



$$A = \{100, 0, 1\} \quad B = \{1, 100, 00\}$$

The input symbols of  $A$  and  $B$  are same

$$\Sigma = \{0, 1\} = \Sigma = \{0, 1\}$$

$$|A| = 3 \quad \approx \quad |B| = 3$$

The solution is 1 3 1 1 3 2 2.

$$w_1 \quad w_3 \quad w_1 \quad w_1 \quad w_3 \quad w_2 \quad w_2$$

$$100 \quad 1 \quad 100 \quad 100 \quad 1 \quad 0 \quad 0$$

$$x_1 \quad x_3 \quad x_1 \quad x_1 \quad x_3 \quad x_2 \quad x_2$$

$$1 \quad 00 \quad 1 \quad 1 \quad 00 \quad 100 \quad 100$$

$$w_1 w_3 w_1 w_1 w_3 w_2 w_2 = x_1 x_3 x_1 x_1 x_3 x_2 x_2$$

$$1001100100100 = 1001100100100$$

ex③: Obtain the solution for the following system of post's correspondence problem  $A = \{ba, abb, bab\}$

$$B = \{bab, bb, abb\}$$

Now to consider 1, 3, 2 the string  $bababbb$  from set  $A$  and  $bababbb$  from set  $B$  thus the two strings obtained are not equal. As we can try various combinations from both the sets to find the unique sequence but we could not get such a sequence. Hence there is no sol<sup>n</sup> for this system.

ex④: Obtain sol<sup>n</sup> for the following correspondence system  $A = \{ba, ab, a, ba, b\}$ ,  $B = \{bab, baa, ba, a, aba\}$

The alp set is  $\{a, b\}$



$$w_1, w_5, w_2, w_3, w_4, w_4, w_3, w_4 = x_1, x_5, x_2, x_3, x_4, x_4, x_3, x_4$$

The soln give a unique string

babababa abaaabaa.

ex: Does PCP with two IPSTs  $x = (b, bb^3, ba)$  and  $y = (b^3, ba, a)$  have a sol<sup>n</sup>?

Now we have to find out such a sequence that strings  
formed by  $x$  and  $y$  are identical. Such a sequence is  
 $2, 1, 1, 3$ . Hence from  $x$  and  $y$  list

$$w_2 w_1 w_1 w_3 = x_2 x_4 x_4 x_3$$

$$bab^3bbab \quad bab^3b^3a$$

ex 6:- find whether the pcp  $P = \{(10, 101), (011, 11), (101, 011)\}$  has a match. Give the sol<sup>n</sup>.

let  $p = \{(10, 101), (011, 11), (101, 011)\}$

$$W_1 = \{10, 011, 101\}$$

$$K_2 = \{101, 11, 011\}$$

The pcp has a solution if  $w_{11} = w_{22}$ .

$$w_{12} = w_{23}, w_{13} = w_{21}$$

but  $w_{11} \neq w_{12}$

Hence we can not find any string  $w_1 = w_2$ .

Hence this PCP has no solution.



## Modified post Correspondence problem (MPCP):

The modified post Correspondence problem (MPCP) is just like PCP except that we specify both the set of tiles and also a special tile. Matches for MPCP have to start with the special tile.

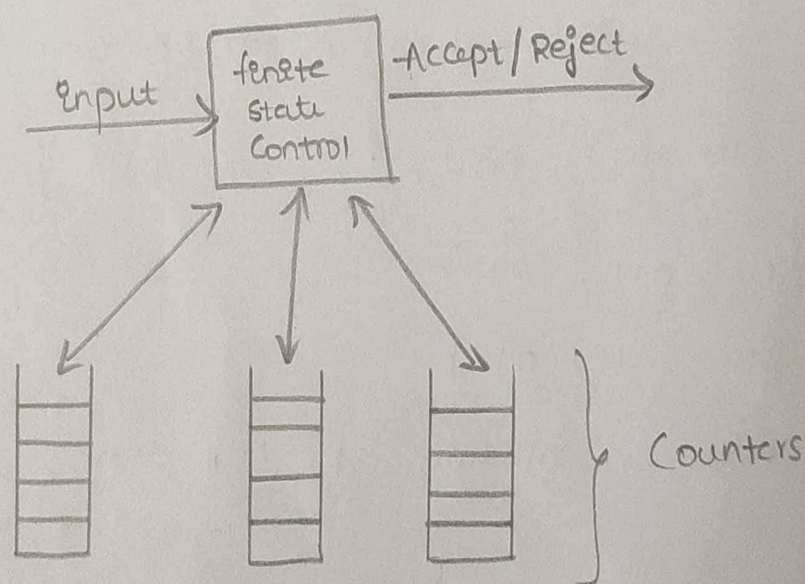
(or)

In MPCP the solution must start from 1.

Ex: 123, 113 ... etc.

## Counter machine

A Counter machine can be represented by following model-



Counter machine

There are two ways of representing Counter machine.

1) The Counter machine is similar to a multistack TM,

But the only difference b/w them is that in place of each stack there is a Counter. The Counter obtain non-negative integers. Each move of Counter machine



depends on its state, input symbol. In one move Counter machine can:

- i) change state
- ii) Add or subtract 1 from any of its counters.

The negative counters are not allowed at all.

Q) The Counter machine is similar to restricted multistack machine. These restrictions are -

- i) There are only two stack symbols:  $z_0$  and  $x$
- ii) The  $z_0$  is the bottom of stack marker. It is initially on each stack.
- iii) Replace  $z_0$  only by string of the form  $x^i z_0$  where  $i \geq 0$ .
- iv) Replace  $x$  only by  $x^i$  for  $i \geq 0$ . i.e. The  $z_0$  appears on the bottom of each stack and all other stack symbols are  $x$ .

There are two important observations about the Counter machine.

- 1) Every language accepted by a counter machine is recursively enumerable.
- 2) Every language accepted by one counter machine is a context free language.



## Non-Recursive enumerable language

### Universal Turing machine:

→ A universal Turing machine,  $M_u$  is an automata that, given as input the description of any TM  $M$  and a string  $w$  can simulate the computation of  $M$  for  $w$ .

→ To construct such  $M_u$ , we consider a Turing machine without loss of generality assume that

$$M = (Q, \{0, 1\}, \{0, 1, B\}, \delta, q_1, B, q_2)$$

$$\text{where } Q = \{q_1, q_2, \dots, q_n\}$$

$q_1$  = initial state

$q_2$  = final state

$\{0, 1, B\} \in \Sigma$  are represented as  $\{a_1, a_2, a_3\}$

→ Directions left or right are represented as  $D_1$  and  $D_2$  respectively.

→ The transitions of TM are encoded in special binary representation where each symbol is represented by 1

for ex: if there is a transition

$$\delta(q_i, a_j) = (q_k, a_l, D_m)$$

then the binary representation for the transition

$$is \ 0^i 1 0^j 1 0^k 1 0^l 1 0^m$$

transitions  $t_1, t_2, \dots, t_n$

$$||| t_1 || t_2 || t_3 || \dots || t_n |||$$

Note: transitions need not be in any particular order.



→ If a string has to be verified then the problem is represented as a tuple  $\langle M, w \rangle$  where  $M$  is definition of TM and  $w$  is input string.

Consider an example:

Let  $M = (\{q_1, q_2, q_3\}, \{0, 1\}, \{0, 1, B\}, \delta, q_1, B, q_2)$

have moves defined as

$$\delta(q_1, 1) = (q_3, 0, R)$$

$$\delta(q_3, 0) = (q_1, 1, R)$$

$$\delta(q_3, 1) = (q_2, 0, R)$$

$$\delta(q_3, B) = (q_3, 1, L)$$

Give the problem representation for the string  $w = 1011$

Sol: let the binary representation for

States:  $\{q_1, q_2, q_3\}$  be  $\{0, 00, 000\}$

alphabet:  $\{0, 1, B\}$  be  $\{0, 00, 000\}$

directions:  $\{L, R\}$  be  $\{0, 00\}$

Transitions are represented as follows.

$$\delta(q_1, 1) = (q_3, 0, R)$$

$$\delta(q_3, 0) = (q_1, 1, R)$$

$$\delta(q_3, 1) = (q_2, 0, R)$$

$$\delta(q_3, B) = (q_3, 1, L)$$

Binary representation

0100100010100

0001010100100

00010010010100

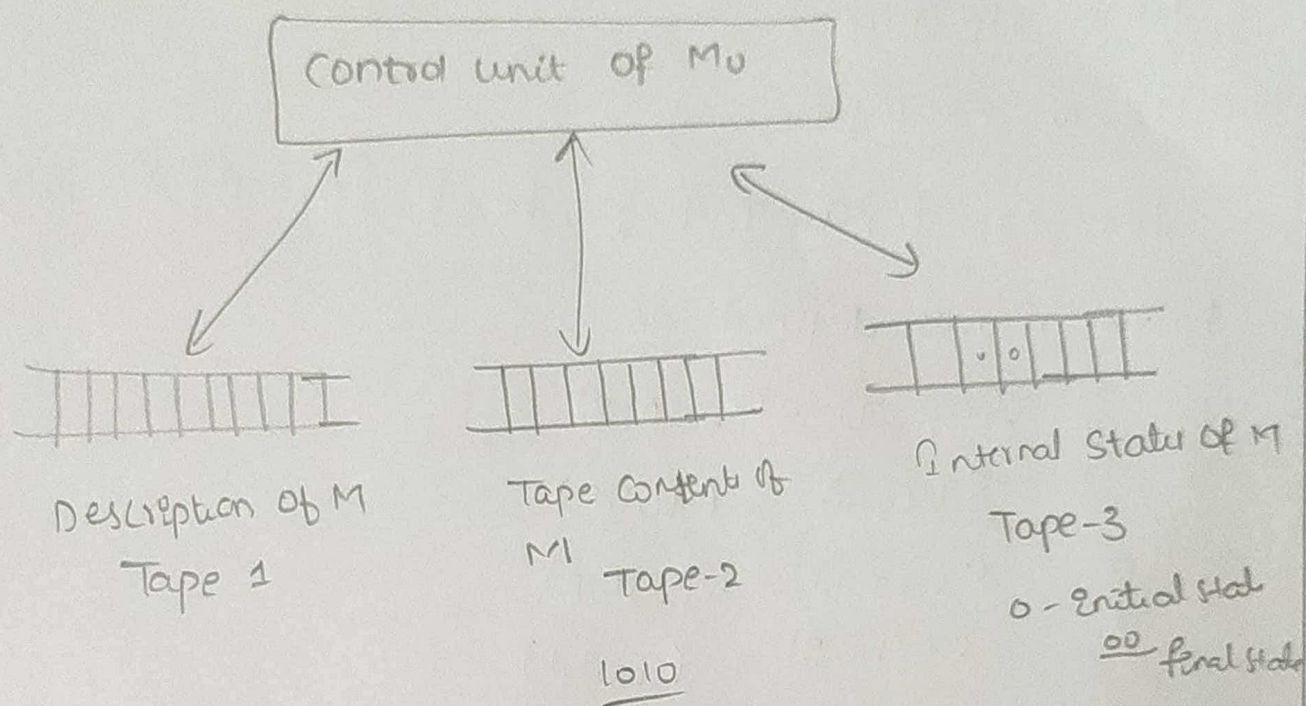
0001000100010010

∴ The problem representation  $\langle M, 1011 \rangle$  is

Ⓜ 0100100 01010011 0001010 10010011 000100  
1001010011 0001000100010010 Ⓜ 1011



The following diagram shows organizations of UTM which has a Control unit and Three tapes



Non Recursively Enumerable language:-

If a language is not represented by Turing machine with / without halting then it is called non-REL

Ex:- Diagonalization

→ The language that is not accepted by any TM this proves the diagonalization. It is not Recursively Enumerable

$\Sigma^*$  is countable  $2^{\Sigma^+}$  is uncountable.

	$\epsilon$	a	b	aa	ab	ba	bb	aaa	aab	...
1)	1	1	0	0	0	0	0	0	0	
2)	0	1	1	1	0	0	0	0	0	
3)	1	1	0	1	0	0	0	0	0	

110

001 → This language is should be Countable as we assumed but it will not present in any of machine So it is Contradiction. So it can be said to uncountable

$L = \{\epsilon, a\}$   
 $L_2 = \{a, b\}$ ,  $L_3 = \{\epsilon, a, aa\}$



## undecidable of problems

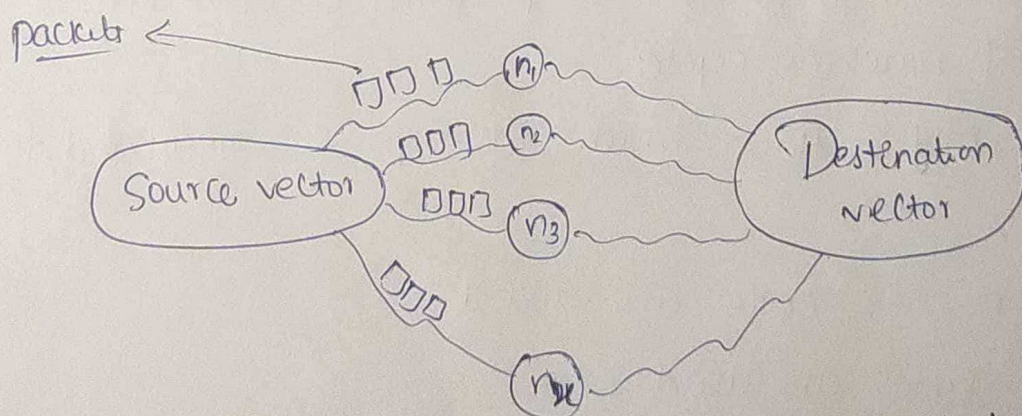
A problem  $P$  is said to be decidable if there exists a Turing machine to represent the problem or if there exists an algorithm to find the solution to the problem.

- 1) ambiguity in CFG
- 2) post correspondence problem (PCP)
- 3) Vertex visiting in a path
- 4) Code optimization

Code optimization:- It is an undecidable problem. We cannot say that a particular piece of code is optimized code (in terms of cost space time).

Vertex visiting problem:-

In a NW of computer's a packet travelling from source to destination may or may not visit a particular node in a path.



Ambiguity in CFG:- A Grammar  $G$  is said to be ambiguous if it generates more than one different parse for any string.

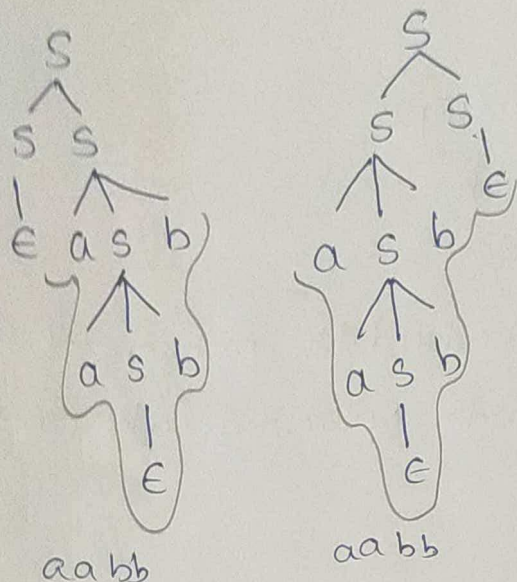
- It is a trial and error method.
- There exists no particular algorithm or Turing machine to prove the ambiguity in a grammar (undecidable problem).



Ex:  $S \rightarrow asb/ss$

$S \rightarrow \epsilon$

for string "aabb" the above grammar generates two parse tree



## Complexity classes

→ Time complexity :- how long computation takes to execute. In T.M this could be measured as no. of moves which are required to perform computation.

→ Number of machine cycle.

Space Complexity :- how much storage is required for computation.

→ In T.M, no. of cells are used.

→ no. of bytes are used.

## Types of Complexity classes

1) P-class: Set of decision problem is solvable in polynomial time or in the class P.

Q.1 there exists an algorithm  $A$  such that

→  $A$  takes instances of  $D$  as i/p

→  $A$  always o/p's the correct answer "yes" or "no".



→ There exists a polynomial  $p$  such that the execution of  $A$  on  $IP$  of size  $n$  always terminate on  $p(n)$  steps

Eg:- The minimum spanning tree problem is in class  $P$   
Kruskal's algorithm

The class  $P$  is often considered as synonymous with the class of computationally feasible problem although in practice this is somewhat unrealistic.

### NP class :-

A decision problem is non deterministically polynomial time solvable or in the class  $NP$  if there exists an Algorithm  $A$  such that -

→ There exists a polynomial  $p$  such that for each potential witness of each instance of size  $n$  of  $D$ , there exists an algorithm  $A$  takes at most  $p(n)$  steps

→ Think of a non-deterministic Computer as a Computer that magically guesses a solution, then has to verify that it is correct.

- if sol<sup>n</sup> exist, Computer always guesses it

- One way to imagine it: a parallel Computer that can freely spawn an infinite no. of processes

→ One process work on each possible solution

→ All processes attempt to verify that their solution works

→ if a process finds it has a working

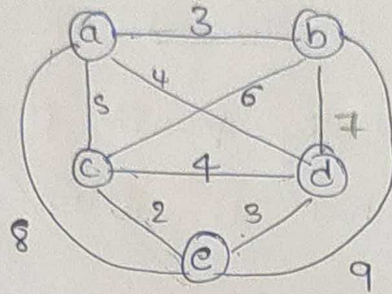
solution  $D$

So  $NP$  = problem verifiable in polynomial time.



→ Every problem in this class can be solvable in exponential time using exhaustive search.

eg:- Travelling salesman problem.

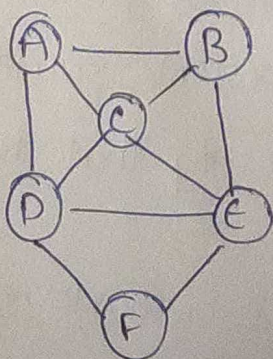


Routes	distance
a b c d e a	24
a b c e d a	19
a b d c e a	24
a b d e c a	16

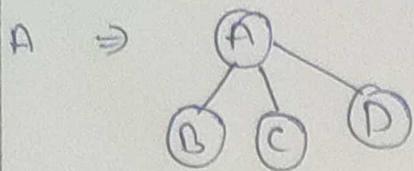
NP Complete: NP Complete are the subset of the Class NP.

It is the set of all decision problem whose solution can be solved & verified in polynomial time on a non deterministic TM is called NP Complete.

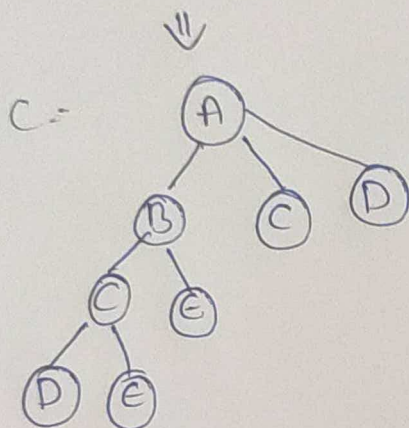
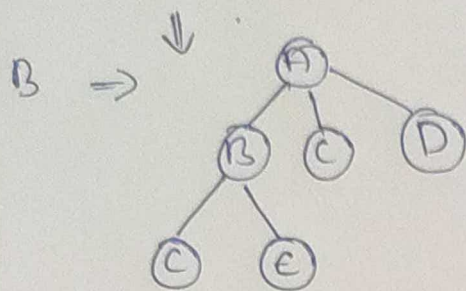
Ex:- hamilton cycle problem (starting from starting point and writing adjacent vertices as branches).



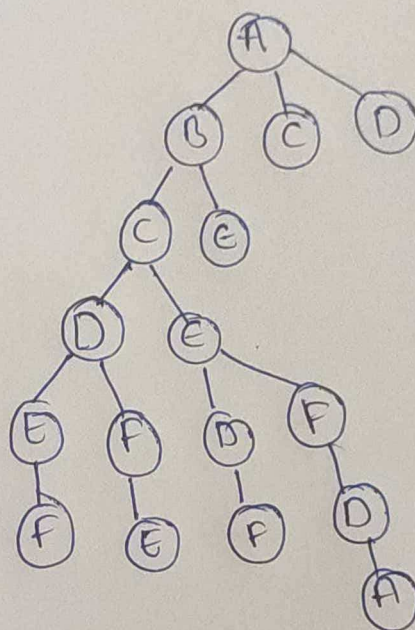




( $\because$  for A adjacent vertices)

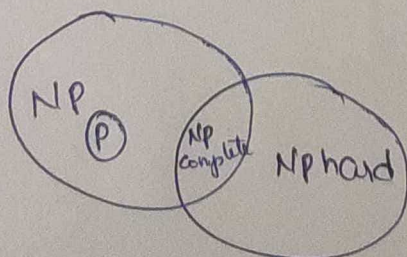


Similarly for D & +



$\leftarrow$  HC

NP hard: The Concept of NP hardness plays an vital role in the discussion about the relation between P & NP



Eg:- Travelling sales man problem